

# Scanning Probe Microscope Simulator Tutorial ver.β



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# Introduction

Scanning Probe Microscope (SPM) is a powerful experimental equipment, make use of which hyperfine structure and fine-scale physical properties of natural objects and artificial objects such as inorganic crystal surfaces, fine structure of a semiconductor, and biological nanostructure of organic molecules, self-assembled monolayers, protein molecules, DNAs, and so on , can be measured and functional development of them can be led.

Yet analysis of experimental results needs theoretical support because in the nano-range between a tip and samples mechanical, electronic and chemical process of atomic-scale are complicatedly entangled.

This software was developed in order to satisfy such necessity.

Based on SPM simulator technology of current research level, some simulators are prepared and can be selected in order to reduce calculation scale according to the problem.

In addition GUI (Graphical User Interface) is equipped to be operated easily.

From now on measuring technique of SPM will increase importance not only in the frontiers of basic research fields such as physical chemistry, life science, electronics ,information engineering and materials science but also in industrial fields such as semiconductor device, surface treatment technology, polymer material, bio-science, agriculture, advanced medicine, environmental catalyst, fuel cell, detergent and cosmetics industry. We are pleased if this software will help you as a research instrument in these fields.

This book is an operations manual of the SPM simulator of our company, and installation of the software, operating procedures and attentions are described. There are explanations focusing on fundamental operation methods in this book so that even the beginners can use the software easily. For further settings, please refer to another attached document "reference manual".

# **Composition of SPM simulator**

The SPM simulator of our company has roughly five functions.

- 1. Geometrical Mutual AFM Simulator
- 2. Finite Element Method AFM simulator
- 3. Soft Material Liquid AFM Simulator
- 4. Classical Force Field AFM Simulator
- 5. Quantum Mechanical SPM Simulator

In addition, the SPM simulator provides an assistant function, the sample structure modeling tool. The five functions above consist of the following six simulators.

### ① GEO ② FEM ③ LIQ ④ CG ⑤ MD ⑥ DFTB

The classification, the functional overview and the target area of each simulator are described in the following tables.

		simulat or name	overview
Geor	netrical Mutual AFM Simulator	GEO	The simulator which in the three kinds of data, tip shape, sample shape and AFM image, predicts one kind of data from the other two kinds of data with high speed.
			(In the calculation, data are mutually predicted by purely geometric calculation under the assumption that the tip-sample interaction is not counted, and the three-dimensional form of a tip and samples is not deformed.)
Elastic Body AFM Simulator		FEM	The simulator which predicts a AFM image under the assumption that van der Waals force between a tip and samples is counted on the base of classical mechanics, and in addition a tip and samples are subjected to the elasticity equation. (Tip shape and sample shape are inputted, and AFM image is predicted and outputted.)
Soft Material Liquid AFM Simulator		LIQ	The simulator which calculates the movement of a cantilever in a liquid, in consideration of the drag from fluid and samples and the elastic deformation of the lever itself.
Class	sical Force Field AFM Simulator		The simulator which calculates tip-sample interaction and predicts AFM image by using a force field based on classical mechanics.
	geometry optimizing AFM image simulator	CG	The simulator which predicts AFM image in consideration of deformation of samples based on energy relaxation method.
	molecular dynamics AFM image simulator	MD	MD The simulator which predicts AFM image in consideration of deformation of samples based on molecular dynamics method.
Quantum Dynamics SPM Simulator		DFTB	The simulator which predicts the AFM image/STM image/KPFM image by the method of calculating the electronic state of a system based on quantum mechanics and calculating tip- sample interaction.
	AFM image simulation		The function which predicts AFM image by calculating chemical interaction.
	STM image simulation		The function which predicts STM image by calculating tunneling current.
	KPFM image simulation		The function which predicts KPFM image by calculating contact potential difference.

#### table 2: overview of the assistant functions

		overview	remarks	
function of predicting sample surface structure		The function which compare a obtained image and simulated images, and predicts structure of samples from similar ones.	under development	
modeling function		Creating initial structure.		
semiconductor thin film modeling		Creating and editing semiconductor thin film models from crystal structure data.	to be distributed attached to the trial version	
molecular modeling		Creating and editing molecule structure.	using external software	
	protein modeling	Using a protein data bank.	using external software	

#### table 3: the target area of each simulator

		simulator	object	t scale	enviro	nment	samples	remarks
		name	macro- scale	micro- scale	vacuu m	liquid		
Geometrical Mutual AFM Simulator		GEO	0	_	0	_	nanoscale semiconductor devices,	calculation can be made in one second
Elastic Body AFM Simulator		FEM	0	—	0	-	(bio)polymer compounds	deformation of a tip and samples can be considered
Soft Material Liquid AFM Simulator		LIQ	0	_	0	0	polymer, biomolecule etc.	
Classical Force Field	geometry optimizing AFM image simulator	CG	_	0	0	•	organic small molecules, inorganic materials etc.	deformation of samples can be considered
AFM Simulator	molecular dynamics AFM image simulator	MD	_	0	0	•		deformation of samples can be considered temperature can be considered
Quantum Dynamics	AFM image simulation	DFTB	_	0	0	-		
SPM Simulator	STM image simulation		_	0	0	_		
	KPFM image simulation		•	0	0	_		

O: both trial version and release version cover this region

•:only release version covers this region

-: neither covers this region

#### Geometrical Mutual AFM simulator

Geometrical and tip-sample-image interactive AFM simulator (GeoAFM) provides users with a kind of a three-way data processor. Among three geometrical elements, a tip, sample material and its AFM image, this module reconstructs the one out of the other two. For example, it can reconstruct the AFM image from information about shapes of the tip and the sample material. The GeoAFM accomplishes the calculation very rapidly,



and it takes only a few seconds. A characteristic of this module is the fact that the GeoAFM can only sort out geometrical data and it neglects many physical properties of the tip and the sample material, Poisson's ratios, Young's moduli and the Hamaker constants. This module assumes that the tip always scans the surface of the material in the so-called contact mode.

#### Finite Element Method AFM simulator

Finite Element Method AFM simulator (FemAFM) carries out numerical calculations for obtaining an AFM surface image according to the classical theory of elasticity and the Lennard-Jones potential. The FemAFM solves the differential equation for the theory of the elasticity with the finite element method, so that it never regenerates quantum effects. This



module assumes that a tip put at the top of a cantilever always scans the surface of the material in the so-called non-contact mode, and the distance between the tip and the surface of the sample is equal to around a few angstroms.

#### Soft Material Liquid AFM Simulator

Soft Material Liquid AFM Simulator corresponds to dynamic AFM in liquid, and can simulate vibration analysis of cantilever and measuring visco-elastic soft material with SPM. The simulator

calculates movement of the cantilever with coupling three forces, a drag acted from surrounding fluid, a contact force from samples and an elastic deformation of a lever itself. This simulator can calculate (under development) an AFM image of visco-elastic samples as a vibration mode, and can guess physical property such as an elastic modulus of a sample. Moreover resonance frequency of the cantilever in liquid is determined and



vibration characteristics are found out, so that these are helpful for designing a shape of a cantilever and considering vibration conditions.

#### **Classical Force Field AFM Simulator**

Classical Force Field AFM Simulator is a simulator which predicts AFM image with the arrangement that the simulator sets up force field parameters to each atom of target AFM samples, and calculates tip-sample interaction based on it. And this simulator has a feature in the ability to predict structural change of sample molecules by interaction from a tip with an atom scale. This



simulator has two types of method to calculate molecule structure. One is a "geometry optimizing AFM image simulator" which explores stable atomic arrangement of molecule energy, and determines molecular structure. The other is a "molecular dynamics AFM image simulator" which predicts changing process of molecular structure by solving Newton's equation of motion about all atoms. Either can be selected according to the purpose, and simulation can be done.

#### Quantum Mechanical SPM Simulator

The Quantum Mechanical SPM Simulator, based on quantum mechanical calculation of an electronic state, can simulate images of a frequency shift, a tunneling current and a local contact potential difference about microscopic surface structures in vacuum. Also the scanning tunneling spectroscopy can be analyzed as an application of the tunneling current calculation. This simulation

adopts the tight binding calculation method based on the density functional theory, so that the simulation reduces a large calculation cost which is usually accompanied with quantum calculation. Therefore a realistic scale sample surfaces to compare with an experiment and comparatively large systems estimated by classical molecular dynamics method can be calculated.



#### Modeling Tool

Thin film modeling tool, one of the assistant functions, creates initial structures of a tip and a sample surface loaded by the SPM simulator. This tool makes atomic models used on the microscopic methods such as DFTB, CG and MD. But in some cases, the created models may also be used on the macroscopic methods. This tool is good at preparing a thin film model with an ideal surface. In addition, any



atom or atomic cluster can be added, deleted, transferred and changed.

### **Chapter 1**

# Installation of the software and preparation

### 1 installation of the software

We have distributed a free trial version of the SPM simulator since June 28, 2011. You can obtain a free trial version by requesting from the URL below.

URL for requesting a free trial version of the SPM simulator

https://www.aasri.jp/pub/spm/en/about spm 12 eng.html

The application form is as follows.

.

#### APPLICATION

If you answer the questions below, you can obtain a SPM simulator free, and you can inquire questions of practical calculation free.

Please input requirements below. You NUST input the contents with  $\star$ . What written in parenthesis '(', ')' are examples.

*name: (Taro Yamada)
≉affiliation: (AA Systems Co.,Ltd)
department (Information Technology Department)
position (section chief)
postal code (150-0013)
≉address (1-13-6 Ebisu,Shibuya, Tokyo Metropolice,
Japan)
phone number
*email address
*reinput email
¥your SPM knowledge: @a professional ⊘an amateur Click the applicable part
SPM simulator functions *Check the simulators you want from 1. to 4
□1. Geometrical Mutual AFM Simulator of a tip, samples and an image
1.1 function of Geometrical Mutual AFM Simulator
• the function which pred∦cts an AFM image from a tip shape and a sample shape
• the function which predicts a sample shape from a tip shape and an AFM imlage
• the function which predicts a tip shape from a sample shape and an Arm image schiegts (sallargon malarula, protain malarula)
• objecto (corragen morepute, protein morecute)
1.2 function of Elastic Body AFM Simulator
Select the simulators you want.



After your application is accepted, you will soon receive an e-mail with an account ID, a license key and download URL.

Download an installer named "SPMInstaller.zip" and save it to your computer.



Unzip SPMInstaller.zip.

🖻 SPM	
Elle Edit View Favorites Tools Help	
G Back + O + D Search D Folders	
Address 🛅 C:¥SPM	💌 🋃 Go
File and Folder Tasks 🛞	
Image: Rename this file     SPMInstaller.zi       Image: Rename this file     P       Image: Rename this file     P	
Other Places	
Details	
SPMInstaller.zip Compressed (zipped) Folder Date Modified: 2011年12月22 日,13:23 Size: 90.5 MB	
Type: Compressed (zipped) Folder Date Modified: 2011/12/22 13:23 Size: 90.5 MB	🛃 My Computer

When unzipped, a folder named SPMInstaller is created. Open the folder.



Double-click the Installer.exe to run.

You will see the following screen.



Then the next screen is shown. Click the "Next" button to continue.

SPM Simulator ver. beta Install Wizard	
Welcome to the Install Wizard for SPM Simulator ver. beta	
Install Wizard installs the SPM Simulator on your computer. Please click the Next button when you continue the installation.	
Caution Please install with administrator rights.	
Next Cancel	

A software license agreement is shown. Read it and continue installing if you agree.

SPM Simulator	ver. beta Install Wizard		
In order to insta please read the	all this software, following license agreement carefully.		
poftware License	Agreement		~
Advanced Algorit install and use a o provided by dowr	hms & Systems, Co., Ltd. ("AAS") gran copy of the software and printed mater lload via the Internet or any other mea	ts you ("Licensee") the righ ials ("Software"). The Softv ms.	t to ware is
1. Copyright Copyright and oth validly licensed by other countries, a laws and treaties	ner intellectual property rights of this S / third parties. This Software is protect and international copyright treaties, as ,	oftware are owned by AAS ed by copyright laws in Jap well as other intellectual pr	or an and operty
2. Grant of licens (a) AAS grants to	e i Licensee a non-exclusive license to us	e the Software subject to t	he terms 🔽
	<ul><li>I accept the terms.</li><li>I do not accept the terms.</li></ul>	Next C	ancel

When you check the "I accept the terms", then the "Next" button gets enabled. Click the "Next" button to continue.

SPM Simulator ver. beta Install Wizard	X
In order to install this software, please read the following license agreement carefully.	
Software License Agreement	•
Advanced Algorithms & Systems, Co., Ltd. ("AAS") grants you ("Licensee") the right to install and use a copy of the software and printed materials ("Software"). The Software is provided by download via the Internet or any other means.	
1. Copyright Copyright and other intellectual property rights of this Software are owned by AAS or validly licensed by third parties. This Software is protected by copyright laws in Japan and other countries, and international copyright treaties, as well as other intellectual property laws and treaties.	
<ol> <li>Grant of license         <ul> <li>(a) AAS grants to Licensee a non-exclusive license to use the Software subject to the terms</li> </ul> </li> </ol>	
I accept the terms.     Next     Cancel	

In the next screen, input the account ID and the license key received from e-mail, and click "OK" button to continue.

SPM Simulator ver. I	beta Install Wizard	
Please enter your Accou	unt ID and License Key which are provided by our e-mail.	
Account ID License Key	OK Cancel	

After that, you will choose the network connection. Please input your proxy settings according your network environment if necessary. Then click "OK" button to continue.

In the next screen, select the install directory in which the SPM Simulator will be installed. Then click "OK" button to continue.

SPM Simulator	ver, beta Install Wizard	
Install the simula In case that you	ator on the following folder. I want to change it, please select it from the Browser button.	
Install Dir.: 453	D:\Program Files\SpmSimulator Browse 307MB is available for the selected drive.	
	Canc	a <b>i</b>

The installer starts to install the SPM Simulator module in the requested directory.

🔲 SPM Simulator ver. beta Install Wizard	
Installing SPM Simula	itor
This page displays the progress of the installation. Installing Octane.bmp	
	Next

After the installation of SPM simulator module has been completed, the "Next" button will get

enabled. Click the "Next" button to continue.



The registration screen to a server is shown. Wait just a moment.

SPM Simulator ver. beta Install Wizard	
Register your license to the license server.	
Next	Cancel

After the registration to a server is completed, the following screen is shown and the "Next" button will get enabled. Click the "Next" button to continue.



The screen of completion is shown. You can create desktop shortcuts of the SPM simulators and can register the SPM simulator to your start menu. Please check them if you need, and click the "Finish" button. Then installation has been all completed.

🔲 SPM Simu	lator ver. beta Install Wizard	
	Installation of the SPM Simulator ver. beta finished.	
ব	Create shortcuts on your desktop Register to the Start Menu 	

### **Chapter 2**

## **Operation of the software**

### **1** Overview of the GUI

In this software, a XML file, which is called 'project file', manages in a unified manner all information required for simulating, such as component data (tip, sample, image), initial position of them, parameters used by each simulator and result file paths of calculation. Each simulator loads this project file as an input. The result files are written down at the indicated paths during and after the calculation. The integrated GUI helps you to create and edit a project file, and renders a calculation condition and the simulated results in a variety of forms (see figure below).



### 2 Part names and functions



We explain the main functions of each part on the screen.

#### [Menu Bar & Tool Bar]

With this bar, you can do file operations such as creating, loading and saving a project file, simulation control operations such as selecting and running a simulator, replaying, pausing and stopping the simulation and switching display of each window on and off.

#### **[**Project Editor]

Contents of a project file are shown as a tree view on this window. You can edit the shown values directly. The changes are immediately reflected on "Main View".

#### (Main View)

The Main View visualizes the setup information described in a project file such as components, initial positions of them and a scanning area. A layout of the components can be changed with use of a mouse, a keyboard and slider bars, and the changes are immediately reflected on "Project Editor". In addition, movement of a tip and deformation of samples are visualized during a simulation.

#### [Result View]

In this window, calculation results are rendered as images during and after a simulation.

#### [Log View]

Messages from a simulator or GUI are shown on this window.

#### [DB View]

A list of the components (molecular data of tips and samples) registered into database previously is shown on this window. In order to take a component you want into a project from the list, you only have to double-click the component.

#### [Project View]

Contents of a project file are shown by a text format on this window. You can not edit directly.

#### [Data View]

Numerical data of component is shown on this window. In the case of molecular data, charge information and movable/unmovable information of each atom can be set and be edited. But atomic species and coordinates of atoms can not be edited.

## 3 Start up GUI



- 1. a. Double-click "SPM Simulators" icon on your desktop.
- 1. b. Double-click (installed directory)¥SPMSimulator.exe.

See chapter 6 "Trouble Shooting" if GUI does not start up normally.

### 4 Operations of a project file

After starting up GUI, all operations begin from loading a project file. After a project file is loaded, the next step is to edit and save the file by use of GUI, and the final step is to run a simulation. In this section, we explain about file operations such as creating, loading and saving a project file.



- 1. "Menu Bar"  $\rightarrow$  [File]  $\rightarrow$  [New]<sup>1</sup>  $\rightarrow$  "Create new project" dialog box
- 2. Input "Project name"  $\rightarrow$  input "Directory"  $\rightarrow$  [OK]

On "Create new project" dialog box, you have to specify "Project name" and "Directory" (in which files for a project is made). Using [Ref] button, you can easily select "Directory" by use of an additional dialog.

After [OK] button is pressed, a directory named as "Project name" is created in "Directory", and a project file is created there.

For example, if you input 'C:¥' as "Directory" and 'test' as "Project name",

C:¥test¥test.pro

 $<sup>^{1}\;</sup>$  This operation can also be made by clicking "New" icon on "Tool Bar".

is created.

After a project file is created, a "Component" item is added on "Setup" tab in "Project Editor", and default parameter values are set automatically on "Simulator Tab" ("DFTB" in the figure).

#### Load an existing project file

[Load with the use of a file dialog box]



- 1. "Menu Bar"  $\rightarrow$  [File]  $\rightarrow$  [Open]<sup>2</sup>  $\rightarrow$  "Open Project" dialog box
- 2. Select a project file (\*.pro)  $\rightarrow$  [Open]

When a selected project is loaded, setup information and simulator's parameter information are shown on each tab of "Project Editor". Simultaneously, components such as a tip and samples are visualized on "Main View".

In addition, if calculation results already exist, they are rendered on "Result View".

#### [Load a recently used project file]

<u>File Edit S</u> imul	ation <u>D</u> is	play <u>H</u> elp
] <u>N</u> ew Ø Open	Ctrl+N Ctrl+O	lo Selected 👻 🔹 🕨 🔳 💵 DFTB 💟 Calculation 💟 📃
Recent Files	×	<u>1</u> C Kshinohara¥spm_v020110607¥debug¥DFTB¥DFTBTestCSV.pro
C Reload		2_C:¥shinohara¥azuma_fem¥demo−fem_azuma00.pro
📄 <u>S</u> ave SaveAs 🔄 Export Image	Ctrl+S	3 C:¥shinohara¥azuma_fem¥demo-fem_azuma01.pro 4 C:¥shinohara¥FemAFMGUI20110301¥debug¥FemAFM¥demo-fem.pro 5 C:¥shinohara¥FemAFMGUI20110301¥release¥FemAFM¥demo-fem.pro 6 C:¥shinohara¥FemAFMGUI20110301¥release¥DFTB¥Test.pro
⊗ <u>C</u> lose <u>Q</u> uit	Ctrl+F4 Ctrl+Q	7 C:¥shinohara¥FemAFMGUI20110301 ¥release¥FemAFM¥demo-fem3.pro 8 C:¥shinohara¥FemAFMGUI20110301 ¥femafm¥demo-fem-dna.pro 9 C:¥shinohara¥FemAFMGUI20110301 ¥femafm¥demo-fem.pro 10 C:¥shinohara¥FemAFMGUI20110301 ¥debug¥FemAFM¥demo-fem2.pro

1. "Menu Bar"  $\rightarrow$  [File]  $\rightarrow$  [Recent Files]  $\rightarrow$  a list of project files<sup>3</sup> $\rightarrow$  select a file

#### [Reload]

<u>F</u> ile	<u>E</u> dit	<u>S</u> imulation	<u>D</u> isp
1	<u>V</u> ew	Ctrl+	N
$\mathcal{O}$	⊇pen	Ctrl+	0
	Recent	Files	•
C I	Re <mark>N</mark> ad		
<b>-</b> -	<u>S</u> ave	Ctrl+	S
9	<u>S</u> ave As		
📰 E	Export I	mage	
ω 🥝	<u>)</u> lose	Ctrl+	F4
Ω	<u>a</u> uit	Ctrl+	Q

1. "Menu Bar" → [File] → [Reload]<sup>4</sup>

<sup>&</sup>lt;sup>2</sup> This operation also can be made by clicking "open" icon on "Tool Bar".

<sup>&</sup>lt;sup>3</sup> 10 recent files are shown at the most.

 $<sup>^{\</sup>rm 4}\,$  This operation also can be made by clicking "Reload" icon on "Tool Bar".

When setup information and parameter values are changed before saving the project file, the project can be returned to the last saved state by reloading.



1. "Menu Bar"  $\rightarrow$  [Display]  $\rightarrow$  [Current Project File: file name]

At the back of 'Current Project File:' the name of the current project file is shown. By selecting this menu, "Project View" is up and contents of the project file can be watched. But you can not edit the file directly on "Project View". Editing can be done on not "Project View" but "Project Editor".

#### Save a project file

When a project is edited on GUI, the modification does not reflect on the project file immediately. The project file is updated only after saving.

Because each simulator calculates with reference to the project file, the project file needs to be

saved before calculation in order to reflect the modification of the project on a simulation result. How to save a project file is as follows.

#### [Save]

1. "Manu Bar" → [File] → [Save]<sup>5</sup>



#### Save as

<u>File E</u> dit <u>S</u> New	imulation <u>D</u> is; Ctrl+N	Save project	<b>?</b> 🗙
© <u>O</u> pen Recent Fi © <u>R</u> eload	Ctrl+O iles •	Project name DFTB Directory C:¥shinohara¥spm_v020110620¥debug	Ref
Save SaveAs	Ctrl+S		cel
Export Im:	age		
🐼 <u>C</u> lose Quit	Ctrl+F4 Ctrl+Q		

- 1. "Manu Bar" → [File] → [Save As] → "Save Project" dialog box
- 2. Input "Project name"  $\rightarrow$  input "Directory"  $\rightarrow$  [OK]

On "Save Project" dialog box, "Project name" and project "Directory" are set.

If [Ref] button is pressed, you can use dialog box to set "Directory".

By pressing [OK] button, a directory which is the same name as project (called project directory) is

 $<sup>^5\;</sup>$  This operation also can be made by clicking "Save" icon on "Tool Bar".

created in the directory which "Directory" indicate, and a project file is created in the directory and saved.

At that time, all files needed for the project including component data and result files are copied into the project directory.

The current project file name is described on the title bar, on the top of GUI, and if the project is edited, symbol "\*" appears behind this file name.

This symbol disappears after saving.



1. "Manu Bar" → [File] → [Close]<sup>6</sup>

When the project is closed, all contents are deleted from "Project Editor", and the "Main View" is also cleared.

 $<sup>^{\</sup>rm 6}$   $\,$  This operation also can be made by clicking "Close" icon on "Tool Bar".

### 5 Edit project

#### Components

#### (1) Add, replace, remove

There are three kinds of component such as tip, sample and image. We now explain about a tip as an example.

【Add】



Setup DFTB Value Component Component Position X 0 y 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	mp
type value Component Tip tipTe Position X y 0	mp
Component     Tip     Distion     X     V     V     O	mp
Position     Y     Y     C     C     C	mp
Position y z 0	
x 0 y 0 z 0	
y 0 z 0	
z O	
🖻 Rotation	
alpha O	
beta O	
gamma O	
⊜ Size	
w 25	
d 25	
h 19.2124	
Property	
density 1	
young 2.66667	
poisson 0.333333	
hamaker 1	
🗏 ScanArea	
W U	
Distance Frances	



#### 1. "Project Editor" $\rightarrow$ "Setup" tab

2. Right-click on "Component" item  $\rightarrow$  context menu<sup>7</sup>

- 3. a. [Add Tip]  $\rightarrow$  [Database]  $\rightarrow$  "DB View"  $\rightarrow$  double-click a component
  - b. [Add Tip]  $\rightarrow$  [File]  $\rightarrow$  "Import File" dialog box  $\rightarrow$  select a file  $\rightarrow$  [Open]

c. [Add Tip]  $\rightarrow$  [Sphere/Cone/Pyramid/Pillar]  $\rightarrow$  parameter input dialog box  $\rightarrow$  input radius and angle  $\rightarrow$  [OK]

In the case of a tip, data to be added can be selected from [Database], [File], and four kinds of shape model [Sphere], [Cone], [Pyramid] and [Pillar]. Each of them has its own dialog box<sup>8</sup>.

In the case of [Database], a tip can be added by selecting and double-clicking a tip name from the data list. In the case of [File], open file dialog box is used. In the case of shape models, input dialog boxes for angle and radius will rise in accordance with the shape type.

When specified data are loaded by the operation described above, the item named "Tip" is added below "Component", and information of the tip is shown below "Tip" item. Simultaneously the tip is visualized on "Main View". Samples and an image can be added<sup>9</sup> in the same manner.

#### [Add an image file]

Graphics files, such as bitmap and jpeg, have information of brightness, but do not have information of height. Therefore it is necessary to convert brightness of an image into height data of a component<sup>10</sup>. It is also important to translate the image size into length and width of the component. We explain how to load an image file of a sample component as an illustration.

<sup>&</sup>lt;sup>7</sup> This menu also can be shown by selecting [Edit] on "Menu Bar" or by right-clicking on "Main View".

<sup>&</sup>lt;sup>8</sup> A Sample is set only from [Database] or [File], and an image only from [File].

<sup>&</sup>lt;sup>9</sup> Two or more samples can be added, while only one tip and only one image can be added.

 $<sup>^{10}</sup>$  Unless brightness of the image file is proportional to height of the sample, you may not obtain a meaningful result.

Project E	ditor	×	Import file					? 🗙
Setup type C Ac Ac	DFTB value d Tip • d Sample • Datab d Image •	7856	ファイルの場所(P): 最近使ったファイル デスクトップ マイドキュメント マイドキュメント マイ ニンピュータ マイ ネットワーク	C DFTBTest 単test joc ファイル名(い):	test.jog	<b>v</b>		
Set Im width(A): 50.0 OK	age Width ?X	eigh beigh	t Image IX t(A): OK	eight ? Cancel		Set Value value range(/	e Range	

1. "Project Editor"  $\rightarrow$  "Setup" tab

2. Right-click on "Component" item  $\rightarrow$  context menu<sup>11</sup>

3. [Add Sample]  $\rightarrow$  [File]  $\rightarrow$  "Import File" dialog box  $\rightarrow$  select an image file  $\rightarrow$  [Open]

4."Set Image Width" dialog box  $\rightarrow$  input "width"  $\rightarrow$  [OK]

5."Set Image Height" dialog box  $\rightarrow$  input "height"  $\rightarrow$  [OK]

6."Set Value Range" dialog box  $\rightarrow$  input "value range"  $\rightarrow$  [OK]

In "Set Image Width" and "Set Image Height" dialog boxes, you have to specify the width and length of the sample in angstrom unit, respectively. Next, "Set Value Range" dialog box specifies the vertical height in angstrom unit which corresponds to the brightness of the image from 0.0 (black) to 1.0 (white).

 $<sup>^{11}\,</sup>$  This menu also can pop up by selecting [Edit] on "Menu Bar" or right-clicking on "Main View".

#### [Replace]



- 1. "Project Editor"  $\rightarrow$  "Setup" tab
- 2. Right-click on "Tip" item  $\rightarrow$  context menu
- 3. a. [Replace]  $\rightarrow$  [Database]  $\rightarrow$  "DB View"  $\rightarrow$  double-click a component
  - b. [Replace]  $\rightarrow$  [File]  $\rightarrow$  "Import File" dialog box  $\rightarrow$  select a file  $\rightarrow$  [Open]
  - c. [Replace]  $\rightarrow$  a shape model  $\rightarrow$  an input parameter dialog box  $\rightarrow$  input radius and angle value  $\rightarrow$  [OK]

Note that you right-click on "Tip" item (not "Component" in case of Addition) to replace with another tip. Of course "Sample" or "Image" can also be replaced in a similar way. Note also that the first item in a pop-up menu becomes [Replace], not [Add Tip].

#### [Remove]

Project E	ditor	X		
Setup	DFTB			
type		value		
🖻 Compo	nent			
<b>-</b>	Tip			
÷-	Position	Replace +		
	×	0 Remove		
	у	0 Show		
	- z	0 3100		
<b>-</b>	Rotation			
	alpha	0		
	Deta	0		
<b></b> (	Sizo	0		
T .	- W	25		
	d	25		
	h	19.2124		
<b>—</b>	Property			
	density	1		
	young	2.66667		
	poisson	0.333333		
	- namaker	1		
	w	0		

1. "Project Editor"  $\rightarrow$  "Setup" tab

- 2. Right-click on "Tip" item  $\rightarrow$  context menu
- 3. [Remove]

When the specified data are removed, the "Tip" item will be removed from under "Component". At the same time, the tip image also disappears on "Main View".

#### (2) Initial layout setting (position, rotation, reset)

There are three kinds of component such as tip, sample and image. We here explain about a tip as an example.

#### (Position)

Project Editor		×
Setup DFTB		
type	value	^
🖻 Component		
😑 🧇 Tip	🗇 tip_si4	
🖨 Position		
×	-7	
	-7	
" Z	12.000000	=
Rotation		
alpha	0	
beta	0	
gamma	0	
🖃 Size		
W	6.24	
d	5.41	
	2.02466	
Property	1.0	
density	1.0	
young	2.000000	
poisson bomeleer	1.0	
E SconAmo	1.0	
- ScanArea	15	
d d	15	
h	1	
DistanceFromSamples	2.74252	~

Project Editor	×	3
Setup DFTB		
type	value	
😑 Component		
🚊 🧇 Tip	🗇 tip_si4	
😑 Position		
×	-7	
y	-7	
Z	12	
🖨 Rotation		
alpha	0	
beta	0	
gamma	0	
⊐ Size		
W	6.24	
d	5.41	4
h h	2.02466	
₽ Property	4.0	
density	1.0	
young	2.666666	
poisson	0.333333	
namaker	1.0	
🖃 ScanArea	15	
W	15	
	10	
DistanceFromSamples	2.742520	

Let us move a tip along the z-direction.

1. "Project Editor"  $\rightarrow$  "Setup" tab

2. a. "Tip"  $\rightarrow$  "Position"<sup>12</sup>  $\rightarrow$  double-click on "value" of "z"  $\rightarrow$  spin box

b. "Tip"  $\rightarrow$  double-click on "value" of "DistanceFromSamples"<sup>13</sup>  $\rightarrow$  spin box<sup>14</sup>

3. Input a value<sup>15</sup>  $\rightarrow$  Enter key

<sup>&</sup>lt;sup>12</sup> There are coordinates of the component in angstrom unit under "Position". In case of a tip position, "value" of "x", "y" and "z" stands for the coordinate at the bottommost atom. On the other hand, in cases of a sample or an image position, "value" of "x" and "y" stands for the center of the component ((xmin + xmax)/2, (ymin + ymax)/2). And "value" of "z" stands for the bottom of the component (zmin).

<sup>&</sup>lt;sup>13</sup> "value" of "DistanceFromSamples" in angstrom unit stands for (z-coordinate of the tip bottom) - (z-coordinate of the sample top). If a sample does not exist, this value is set to 0.

 $<sup>^{\</sup>rm 14}\,$  This operation is valid only when moving along z-direction.

By the operation above, the tip is moved to specified coordinates on "Main View".

#### [Rotation]

Project Editor		×
Setup DFTB		
type	value	^
🖻 Component		
aiT 🗇 😑	🧇 tip si4	
Position	•=	
×	-7	
	-7	
z	12	_
🖻 Rotation		=
alpha	90.000000	
beta	0	
gamma	0	
📮 Size		
- W	6.24	
d	2.02466	-
h .	5.41	
Property	1.0	
density	1.0	
young	2.000000	
poisson	1.0	
E ScopArpa	1.0	
- ScanAlea	15	
d	15	
h	1	
DistanceFromSamples	2.74252	~

Let us rotate a tip around the x-direction<sup>16</sup>.

- 1. "Project Editor"  $\rightarrow$  "Setup" tab
- 2. "Tip"  $\rightarrow$  "Rotation"<sup>17</sup>  $\rightarrow$  double-click on "value" of "alpha"  $\rightarrow$  spin box
- 3. Input a value<sup>18</sup>  $\rightarrow$  Enter key

By the above operation, the probe currently displayed on "Main View" rotates the specified angle in degree around x-direction. This is the same around y- and z-direction. However, images can not be rotated.

<sup>&</sup>lt;sup>15</sup> We can move a component by the use of the slider bars on "Main View" or by dragging a component with "Shift" key down on "Main View". Note that in the case of moving and rotating a component with mouse operation on "Main View", the component need to be selected (see section 9) on the "Setup" tab of "Project Editor". When there is no selected component, we can only change the view point, while the layout of the component is fixed.

<sup>&</sup>lt;sup>16</sup> At the present time, we can rotate the components loaded from "\*.pdb", "\*.xyz" and "\*.txyz" data format.

<sup>&</sup>lt;sup>17</sup> There are rotation angles around three axes below "Rotation" in degree. "value" of "alpha", "beta" and "gamma" represent the rotation angles around x-, y- and z-direction, respectively. In case of a tip, the center of the rotation is set at the bottommost atom. While, in case of a sample, the center of the rotation is the same as the center of the sample. Rotations will be made in the order, "alpha"  $\rightarrow$  "beta"  $\rightarrow$  "gamma".

<sup>&</sup>lt;sup>18</sup> The range of rotation angle is between [-180, 180] degrees. You can also rotate a component by dragging a mouse on "Main View".
### [Reset]

D 🖉 C 🖬 🗏 😣	🗢 tip_si4 🔽	٠	Reset Layout

1. "Tool Bar"  $\rightarrow$  [Reset Layout]

By the operation above, position and rotation angle of each component are reset to the value which the project file contains at the time. Simultaneously the layouts of components on "Main View" are also reset.

### (3) Show data, modify attributes

We explain how to show the numerical data of a component and to modify attributes.

Project Editor		×
Setup DFTB		
type	value	^
🖻 Ѩ Sample	Mill boil001 Ifh	
😑 Position	Replace 🕨	
×	Remove	
Ξ.y		
	Show	
E Rotation		
alpha	0	
beta commo	0	
Size	ŏ	
w	14.28498	
- d	13.43396	
<sup>L</sup> h	9.25748	
🖻 Property		
density	1.0	
young	2.666666	
poisson	0.333333	
namaker	1.0	×.

	C:/shinohara/spm_v020110607/debug/data/Tip/ 📰 🔲 🌔							
[		Atom	×	У	z	Relax	Charge	^
	1	Si	-7.10	-7.90	12.00	0	0.00	
	2	Si	-8.96	-8.98	13.42	0	0.00	=
	з	Si	-7.10	-5.76	13.43	0	0.00	
	4	Si	-5.24	-8.98	13.42	0	0.00	
	5	Н	-10.22	-9.71	14.02	0	0.00	
	6	Н	-9.02	-7.62	14.02	0	0.00	
	7	Н	-7.80	-9.71	14.02	0	0.00	
	_		0 01	6 20				
OK Cancel								

- 1. "Project Editor"  $\rightarrow$  "Setup" tab
- 2. Right-click on "Sample" item  $\rightarrow$  context menu  $\rightarrow$  [Show]  $\rightarrow$  "Data View"
- 3. Input<sup>19</sup> the value of "Relax"<sup>20</sup> and "Charge"  $\rightarrow$  [OK]

By the operation above, the attributes of each atom are replaced, and changed values are saved into a project file. When the value of "Relax" of an atom is 1, the atom may move by atomic forces during a simulation. As a result, a molecule may be deformed due to the changes of atom positions.

### Set and show a scanning area

<sup>&</sup>lt;sup>19</sup> For now, you can change the attributes only when using the data of "\*.pdb", "\*.xyz" and "\*.txyz". Note that atomic species and coordinates of the atom are not editable.

<sup>&</sup>lt;sup>20</sup> "Relax" = 0 means "cannot move" in the atom coordinates, and "Relax" = 1 means "can move".



We introduce how to change a height of a scanning area.

- 1. "Project Editor"  $\rightarrow$  "Setup" tab
- 2. "Tip"  $\rightarrow$  "ScanArea"<sup>21</sup>  $\rightarrow$  double-click on "value" of "h"  $\rightarrow$  spin box
- 3. Input a value  $\rightarrow$  Enter key
- 4. Right-click on "Main View"  $\rightarrow$  context menu  $\rightarrow$  [Show Scan Area]<sup>22</sup>

The operation above changes the height of the scanning area, and the range is shown as a blue rectangular box on "Main View". In the same manner, you can change the width and the depth of the scanning area with the use of "w" and "d" at "ScanArea", respectively.

#### Select a simulator and set parameters

We explain below how to select the quantum SPM image simulator (DFTB).

<sup>&</sup>lt;sup>21</sup> There are width (w), depth (d) and height (h) (in angstrom unit) of the scanning range under the "ScanArea". Starting from the bottom position of the tip, the tip scans w, d and h values along x-, y- and z-direction, respectively.

 $<sup>^{22}</sup>$  [Show Scan Area] is a toggle button, so that it makes the scanning area shown and hidden alternately.



Project Editor	imulator Tab
property	value unit 🧹
mode title	DFTB_tipforce_v020_STM Si(001)-c(2x4)
🗁 岁 two_body_parameter_folder	dftbpara¥
😑 tip	
	Ang
	N/m
	kHz
⊟ Ndiv	
X	
Ť,	
Ζ	U
E-CC mmm	
- Movitor	1
INIGATED	
<	>

- 1. "Tool Bar"  $\rightarrow$  "Simulator Combo Box"  $\rightarrow$  [MD/CG/DFTB/FEM]<sup>23</sup>
- 2. "Project Editor" → "Simulator Tab"
- 3. Double-click "value" of each parameter  $\rightarrow$  each input control<sup>24</sup>
- 4. Input data  $\rightarrow$  Enter key

Before setting parameters, you have to select a required simulator. Either among MD/CG/DFTB/FEM can be selected<sup>25</sup> with use of "Simulator Combo Box". According to the selected simulator, the title of "Simulator Tab" is changed automatically (it is DFTB on the figure). Next, each parameter value needs to be input on "Simulator Tab". About the meaning of each parameter, refer to another attached document "Reference Manual". When a new project is created, each parameter contains default values.

Regardless of the selection of a simulator, there is an "Output" item on "Simulator Tab", and a "Directory" item just under that. File names of the calculation results are indicated under "Directory". The results are outputted to them when each simulator is executed. Keep in mind that if same named files already exist, these files are overwritten<sup>26</sup>.

<sup>&</sup>lt;sup>23</sup> A simulator can also be selected by "Menu Bar"  $\rightarrow$  [Simulation]  $\rightarrow$  [Solver].

<sup>&</sup>lt;sup>24</sup> The input control changes automatically such as a spin box in the case of a numerical value and a text box in the case of a letter according to the requested data format.

<sup>&</sup>lt;sup>25</sup> GEO simulator has its own usage. Read chapter 3 section 1 'high-speed mutual prediction - AFM simulator'.

<sup>&</sup>lt;sup>26</sup> The old files are renamed into "filename~" for backup automatically.



```
    "Tool Bar" → "Calculation/Replay Combo Box" → [Calculation/Replay]
    "Tool Bar" → [Start]
```

At first, you choose [Calculate]<sup>27</sup> or [Replay] "Calculation/Replay Combo Box". [Replay] requires the existing calculation results. Next, the [Start] button will start the calculation or replay. During the simulation, the "Main View" shows a movement of the tip and a deformation of the samples in sequence. In addition, the calculation results are rendered on "Result View". The messages from the simulator are shown on "Log View".

### [Stop, pause]



1. "Tool Bar"  $\rightarrow$  [Stop] or [Pause]

After a simulation starts, [Start] button becomes unavailable, while [Stop] button becomes available. If [Stop] button is pressed, the simulation stops.

[Pause] button is available only in the case of replaying. This button suspends the replay. Press the [Start] button again to resume replaying. During the simulation, you see a progress of the simulation on "Progress Bar".

### **Render the result**

[Select data for rendering]

 $<sup>^{\</sup>rm 27}~$  The simulator which is selected on "Simulator Combo Box" is run.



1. "Result View"  $\rightarrow$  [Result Data Combo Box]  $\rightarrow$  select a result outputted file

There is a list of the result files in "Result Data Combo Box"<sup>28</sup>, which is described under "Project Editor"  $\rightarrow$  "Simulator Tab"  $\rightarrow$  "Output"  $\rightarrow$  "Directory". When a file is selected from the list, "Result View" draws a graph, a gradation figure and so on according to the data format.

### [Show values]



1. Right-click on "Result View"  $\rightarrow$  context menu  $\rightarrow$  [Show Data]

### [Save As]

 $<sup>^{\</sup>rm 28}~$  The list changes according to the simulator selected on "Simulator Combo Box".

	-		Save As		<b>&gt;</b>			? 🔀
×	2D-View		保存する場所(I):	🚞 stm_hsi	- 0	• • •	• 📑 📩	
	3D-View		2					
	Isoline		最近使ったファイル					
	z-range Reverse							
			7,7059J					
	Omec-Section *	_ >	マイドキュメント					
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1	Export Image		₹7 IVピュータ					
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	Show Data							
				ファイル名( <u>N</u> ):	filename		•	保存( <u>S</u> )
				ファイルの種類(工):	cube( *.cube)		-	キャンセル

- 1. Right-click on "Result View"  $\rightarrow$  context menu  $\rightarrow$  [Save As...]  $\rightarrow$  "Save As" dialog
- 2. Input a new name  $\rightarrow$  [Save]

By this operation, the result data can be saved as a different data format or as a different name.



### [3D-View of a gradation figure]

1. Right-click on "Result View"  $\rightarrow$  context menu  $\rightarrow$  [3D-View]

### [Change of a viewpoint]



1. Right-click on "Result View"  $\rightarrow$  context menu  $\rightarrow$  [Top/Front/Side]

By this operation, you can get the specific viewpoints such as top view (Top), front view (Front) and side view (Side). In addition, the viewing angle can be changed freely by dragging on "Result View", and the viewpoint can be translated by dragging with "Shift" key down.



### 【Zoom All】

1. Right-click on "Result View"  $\rightarrow$  context menu  $\rightarrow$  [Zoom All]<sup>29</sup>

 $<sup>^{29}</sup>$   $\,$  [Zoom All] button on "Tool Bar" works as the same function.

By this operation, resizing and translation are automatically made for the purpose of storing drawn picture in the whole screen of "Result View".

### (Scaling)

1. a mouse wheel  $\rightarrow$  rotate

Scaling can be carried out to arbitrary magnification by rotating the mouse wheel.

#### 2D-View ausunt vierw C./shinohara/SPMSimulator20111125/release/SampleProject/DFTB/stm\_hsi/current.csv 3D-View 🔍 Zoom All Top (X-Y) Front (Y–Z) 14 7 Perspective Isoline z-range Reverse Color . Cross-Section 🕨 . Display-Type Sample Image Transparency Lighting Export Image 🔚 Save <u>A</u>s... Show Data

### [Perspective]

1. Right-click on "Result View"  $\rightarrow$  context menu  $\rightarrow$  [Perspective]

[Perspective] is a toggle button, so that it makes the view perspective or not alternately.

[Cross section of a gradation figure]



- 1. Double-click on "Result View"  $\rightarrow$  mark a starting point
- 2. Double-click on "Result View"  $\rightarrow$  mark a terminal point
- 3. Right-click on "Result View"  $\rightarrow$  context menu  $\rightarrow$  [3D-View]
- 4. Right-click on "Result View"  $\rightarrow$  context menu  $\rightarrow$  [Cross-Section]  $\rightarrow$  [Clipping]

## 7 Visualization setting

### Show/hide a component

Here we show how to show or hide a component, e.g. a tip.



1. Right-click on "Main View"  $\rightarrow$  context menu  $\rightarrow$  [Show Tip]<sup>30</sup>

Showing/hiding of the samples or the image can be toggled in the same manner.

### Change viewpoint, zoom all, scaling, and perspective

[change of a viewpoint]

<sup>&</sup>lt;sup>30</sup> [Show Tip], [Show Sample] and [Show Image] are toggle buttons, which make each of them visible or not alternately.





1. Right-click on "Main View"  $\rightarrow$  context menu  $\rightarrow$  [Top/Front/Side]

By this operation, you can get the specific viewpoints such as top view (Top), front view (Front) and side view (Side). In addition, when no component is active, the viewing angle can be rotated freely by dragging on "Main View", and the viewpoint can be translated by dragging with "Shift" key down. Three slider bars on "Main View" also translate the viewpoint.

### 【Zoom All】



1. Right-click on "Main View"  $\rightarrow$  context menu  $\rightarrow$  [Zoom All]<sup>31</sup>

By this operation, scaling and translation are automatically made for the purpose of storing components in the whole screen of "Main View".

 $<sup>^{\</sup>rm 31}\,$  This operation also can be made by clicking "Zoom All" on "Tool Bar".

### [Scaling]

1. mouse wheel  $\rightarrow$  rotate

Scaling can be carried out to arbitrary magnification by rotating the mouse wheel.

### [Perspective]



1. Right-click on "Main View"  $\rightarrow$  context menu  $\rightarrow$  [Perspective]

[Perspective] is a toggle button, so that it makes the view perspective or not alternately.

### View option setting

[Switch molecule rendering methods]<sup>32</sup>



- 1. Right-click on "Main View" → context menu
- 2. [View Option] → [Auto/Dot/Ball/Ball & Stick/Cartoon]

[View Option] changes the method of drawing molecules. When [Auto] is selected, an appropriate method is automatically chosen depending on a component data. Note that some methods do not work according to file formats. [Ball & Stick] needs information of atomic bonds, and [Cartoon] corresponds only to PDB file.

### [Toggle translucent/opaque]

<sup>&</sup>lt;sup>32</sup> Currently this operation can be made about the component data only with "\*.pdb", "\*.xyz" or "\*.txyz" extension.



- 1. Right-click on the "Main View"  $\rightarrow$  context menu
- 2. [View Option]  $\rightarrow$  [transparent]<sup>33, 34</sup>

 $<sup>^{\</sup>rm 33}$  [transparent] is a toggle button, so that it makes the picture translucent or opacus alternately.

<sup>&</sup>lt;sup>34</sup> This operation can be made only for the grid formed files which have extensions of "\*.cube", "\*.csv", "\*.bmp" or "\*.jpg".

### [Toggle with/without wire]



- 1. Right-click on "Main View"  $\rightarrow$  context menu
- 2. [View Option]  $\rightarrow$  [with wire]<sup>35</sup> <sup>36</sup>

 $<sup>^{35}</sup>$  [with wire] is a toggle button, which changes the image 'with wire' or 'without wire' alternately.

<sup>&</sup>lt;sup>36</sup> This operation can be made only for "\*.cube", "\*.csv", "\*.bmp" or "\*.jpg" formed files.

## 8 Quit GUI



1. "Menu Bar" → [File] → [Quit]

### 9 Others

### Show/hide window



1. "Menu Bar"  $\rightarrow$  [Display]  $\rightarrow$  [Project Editor/ Result View/ Log View]<sup>37</sup>

The operation above makes each of three windows, "Project Editor", "Result View" and "Log View",

<sup>&</sup>lt;sup>37</sup> [Project Editor], [Result View] and [Log View] are toggle buttons, which makes each of them visible or not alternately.

visible or not alternately<sup>38</sup>. In addition, double click the title bar of each window, so that each window can be separated from or docked to the GUI. However, "Main View" can not be hidden or separated from the GUI.

### Select a component





- 1a. "Tool Bar" → "Component Combo" → select a component name<sup>39</sup>
- 1b. "Project Editor"  $\rightarrow$  click a content of components
- 1c. "Main View"  $\rightarrow$  double-click around a component<sup>40</sup>

You can select a component by any method of 1a, 1b and 1c. If a component is selected, the outer frame of the component changes from green to red on "Main View". The selected component can be translated and rotated by operations of mouse, slider bars and keyboard. When no component is selected, the viewpoint is changed by the same operation.

 $<sup>^{38}</sup>$  A window can be hidden by pressing "x" button on the top of each window too.

<sup>&</sup>lt;sup>39</sup> A list of components contained on "Project Editor" is shown here.

<sup>&</sup>lt;sup>40</sup> By this method component data only with "\*.pdb", "\*.xyz" or "\*.txyz" extension can be selected.

### [Deselect]





- 1a. "Tool Bar"  $\rightarrow$  "Component Combo"  $\rightarrow$  [No Selected]
- 1b. "Project Editor"  $\rightarrow$  click "Component"
- 1c. "Main View"  $\rightarrow$  double-click background

The component can be deselected by any method of 1a, 1b and 1c.

### Registration of components to the database

You can register your own component data to the database as follows. Copy your tip data into

"(installed directory)¥data¥Tip¥",

and your sample data into

"(installed directory)¥data¥Sample¥".

The registered data of tips and samples are now available from "DB View" for tips and samples, respectively. Note that the component data only with "\*.pdb", "\*.xyz" or "\*.txyz" extension can be registered. If there is an image file whose name is "(data file name).gif" at the same directory, the image is shown as icon on "DB View".

### **Export image**

### [Export screen shot of "Main View"]



- 1. "Manu Bar"  $\rightarrow$  [File]  $\rightarrow$  [Export Image]  $\rightarrow$  "Save Capture" dialog box
- 2. Input an image file name  $\rightarrow$  [Save]

### [Export screen shot of "Result View"]

Result View	×					
🥟 🧮 C:/shinohara/spm_v020110607/debug/DFTB/output1/current.cs. 🗸						
2D-View ✓ 3D-View Isoline ✓ z-range Reverse Color Cross-Section > Display-Type Sample Image Transpare ncy Lighting	Save Capture 保存する場所(): DFTB 最近便ったファイル デスクトップ マイドキュメント マイコンピュータ	? ×				
Show Data	マイ ネットワーク					
	ファイル名( <u>N</u> ): Capture ファイルの種類(T): BltMap(*.bmp)	保存(S) キャンセル				
-71 × -8.13e-001	7.9					

- 1. Right-click on "Result View"  $\rightarrow$  context menu
- 2. [Export Image]  $\rightarrow$  "Save Capture" dialog box
- 3. Input an image file name  $\rightarrow$  [Save]

## **Chapter 3**

### **Case study**

Here, we introduce some case studies calculated by SPM Simulator. Using the project files for these studies included in the package of the software, calculation procedure of representative case studies of simulations are described.

### **1** Geometrical Mutual AFM Simulator

### Introduction

Geometrical Mutual AFM Simulator (GeoAFM) provides users with a kind of a three-way data processor, so that it reconstructs the one out of the other two among three geometrical elements, a tip, sample material and its AFM image. Because this module accomplishes numerical calculations for the simulation very rapidly, users can obtain results in a few seconds.

A characteristic of this module is that it can only sort out geometrical data of the tip, the sample material and its AFM image. Thus, it never includes the contribution caused by the van der Waals interaction between the tip and the sample material. Moreover, this simulator assumes that the tip and sample material never suffers from deformation. Hence, the GeoAFM produces a result from only the information of the geometry of the tip, the sample material and the AFM image. Throughout the simulation, this module assumes that the tip always touches the surface of the sample material, so that it scans the surface of the sample in the so-called contact mode.

As mentioned above, the GeoAFM never takes equations of both classical and quantum physics into account. Considering the tip, the sample material and its AFM image to be genuine geometrical objects and assuming the tip and the sample material always to be in the contact mode, this module performs the simulation in a manner of elementary geometry. Thus, this simulator is not suitable for investigating phenomena of the microscopic system, where the quantum effects are significant. In contrast, this module is very suitable for simulating AFM images of semiconductor devices with dimensions on the nanoscale and biological macromolecules. Hence, in general, the users have to apply the GeoAFM to simulating AFM images at mesoscopic scale.

From now on, we look around how to use the GeoAFM with some concrete examples. As mentioned above, the GeoAFM works as a kind of the three-way data processor, so that we can use it in the following three manners:

- Simulation of the AFM image, from the geometrical data of the tip and the sample
- Simulation of the sample surface, from the geometrical tip data and the observed AFM image
- Simulation of the tip surface, from the geometrical sample data and the observed AFM image

Let us try these three simulations one by one in the following subsections.

### Simulation of the AFM image, from the data of the tip and the sample

In this subsection, using the GeoAFM, we derive the AFM image of a single molecule of Glycoprotein (1clg) on HOPG (Highly Oriented Pyrolytic Graphite). In the simulation, we make use of a quadrilateral pyramid probe tip.

First, we create a new project file. Click the item [File] > [New], and you will obtain a dialog box named [Create new project]. In the dialog box, [Project name] is blank. Then, type a string "test-geoafm001" for [Project name], and a new project file, whose name is given by "test-geoafm001.pro", will be generated automatically.

At the second item of [Directory] in the dialog box of [Create new project], the full absolute path of the directory, where the executable file of the SPM Simulators exists, is shown. This implies that a new folder "test-geoafm001" for the current project will be created at this directory. Executing the simulation, the project file and other various data files will be saved in this folder. In this case, this configuration is convenient because we can let related files be together in this folder. Then, click the [OK] button.

Next, we load the geometrical data of both the tip and the sample material as follows. Click the tab [Setup] in the [Project Editor], and you will find the item [Component] at the top of the page. Right-click on the item [Component] and select [Add Tip] > [Pyramid]. Then, a dialog box of [angle (deg)] appears to define the angle at the top of pyramid. The parameter, 32.0 degrees, is given as the default value for the angle, so that we click the [OK] button. Right-click on the item

[Component] again and choose the item [Add Sample] > [Database]. Then, a database appears for typical materials prepared previously. Here, we choose [1clg-HOPG] put on the top of the database.

At present time, the window shows the following images. A light blue square located in the center of the window represents the tip in the shape of the pyramid with viewing from the bottom. The window displays a chain of the molecule and a substrate made out of graphite, as well.



To store up our work done already, we save the project file here. Click the item [File] > [Save], and you can save a new version of the existing project file as "test-geoafm001.pro". While you are working with the project file in the GeoAFM, you have to save it frequently to avoid losing data unexpectedly.

Now, we execute numerical calculations with the GeoAFM. Put the cursor on the window, which displays the tip and the sample, and right-click on it. Then, the following context menu appears.



Let us define a resolution of the AFM image, which we are going to obtain with the numerical simulation. Select the item [GeoAFM] located at the bottom of the context menu, and click [Set GeoAFM Resolution]. Then, you can type the value of the resolution. The resolution represents the minimum length of the numerical calculations for obtaining the AFM image. A unit of the resolution is given by angstrom and you can adjust its value by 0.1. The maximum value of the resolution is given by 10.0. A default value of the resolution is put at 5.0 [angstrom] previously.

Here, let us put the resolution at 1.0 [angstrom]. In the actual process of simulation, you have to adapt it with referring the resolution of the observed AFM image in the real experiments. You need to pay attention to the following fact. If you take a much smaller value for the resolution compared with the typical length of the sample material, the amount of the numerical calculations becomes larger extremely and the simulator freezes. Thus, you have to be careful for tuning the value of the resolution.

Next, choose the item [GeoAFM] at the bottom of the context menu again, and click [Show Simulated Image]. Then, you obtain orange contours in the window. They represent the AFM image derived by the numerical calculation of the GeoAFM.



We can modify a scanning area of the AFM image. When we set the tip position (x, y) at [Tip]>[Position] and set the width and the depth of the scanning area (w, d) at [Tip]>[ScanArea], then the AFM image is displayed in the specified rectangular region. On the other hand, even one of w or d at [Tip]>[ScanArea] is zero, then the scanning area is automatically determined to cover the sample area. Here we choose the scanning area automatically.

To let the AFM image obtained numerically be distinct, we remove original images of the tip and the sample form the window. Putting the cursor on the main window and right-clicking on it, you will open a context menu. Then, remove ticks from items [Show Tip] and [Show Sample] by clicking them, and you can let the window display only the AFM image.

As mentioned above, we reach at the window displaying only the AFM image, which is a result of numerical simulation. Drag the cursor on the main window, and you can rotate the AFM image in a 3D manner freely. Click an icon of a magnifying glass on the toolbar, and you can enlarge the AFM image to let it fit into the main window. For example, you can let the main window afford the fine view of the AFM image of the long chain of the molecule at ease, as shown below.



Now, we store up the AFM image obtained by the numerical calculations as a data file, which is reusable for other purposes later. Putting the cursor on the main window and right-clicking on it, you have a context menu. Then, choose the item [GeoAFM] > [Export Simulated Data] in the context menu, and type the data file name as "test-geoafm001-image". As a result of these operations, you can save the AFM image obtained with the numerical calculations as "test-geoafm001-image.cube". The extension "cube" stands for a data file saved into the SPM simulators' own file format.

After accomplishing the above procedures, choose the items [File] > [Save] and [Close], and you can finish the project.

# Simulation of the sample surface, from the tip data and the observed AFM image

In this subsection, using the GeoAFM, we derive the geometry of the surface of the sample material from the geometrical data of the tip and the observed AFM image. In the simulation, we make use of a quadrilateral pyramid probe tip. Moreover, we use the data file of "test-geoafm001-image.cube" derived in the previous subsection as the AFM image of the single molecule of Glycoprotein (1clg) on HOPG (Highly Oriented Pyrolytic Graphite).

First, we create a new project file. Click the item [File] > [New], and you will obtain a dialog box named [Create new project]. In the dialog box, [Project name] is blank. Then, type a string "test-geoafm002" for [Project name], and a new project file, whose name is given by "test-geoafm002.pro", will be generated automatically.

Second, we load the geometrical data of the tip. Click the tab [Setup] in the [Project Editor]. As explained in the previous subsection, we choose the pyramid probe tip. We use the default value of [angle (deg)], 32.0 degrees, for the angle of the top of the pyramid.

Third, we load the data of the AFM image. As explained in the previous subsection, right-click on the item [Component] and choose the item [Add Image] > [File]. Here, we select the file "test-geoafm001-image.cube" stored up in the directory "test-geoafm001", which we create in the previous subsection.

At present time, clicking an icon of a magnifying glass on the toolbar and enlarging images to let them fit into the frame, we obtain the following window displaying both an image of the tip and the AFM image.



As mentioned in the previous subsection, we put the resolution for simulation of the GeoAFM at 1.0 [angstrom], and click the item [GeoAFM] > [Show Simulated Image]. Then, green contours appear in the main window. These contours represent the geometrical data of the surface of the

sample material.

In the following figure, because both the green contours and the orange contours are shown together, they seem to be a yellow image. On the one hand, the green contours obtained by numerical calculations represent the surface of the sample material. On the other hand, the orange contours represent the AFM image given as the initial data for the simulation.



To clarify the surface image obtained here, we hide the tip and the AFM image from the view. Right-clicking on the main window to open a context menu, you remove ticks of [Show Tip] and [Show Image]. Thus, only the image of the surface of the sample material is left in the main window. As shown in the following figure, to obtain a fine view of the chain of the molecule, you can rotate and zoom in on the image derived with the GeoAFM at ease.



Now, we store up the image of the surface of the sample material, obtained by the numerical calculations, as a data file being reusable for other purposes later. Putting the cursor on the main window and right-clicking on it, you open a context menu. Then, choose the item [GeoAFM] > [Export Simulated Data] in the context menu, and type the data file name as "test-geoafm002-sample". As a result of these operations, you can save the image of the surface of the sample material as "test-geoafm002-sample.cube", which is a data file with the "cube" format.

# Simulation of the tip surface, from the sample data and the observed AFM image

In this subsection, using the GeoAFM, we derive the surface geometry of the tip from the geometrical data of the surface of the sample material and the observed AFM image. In the simulation, we use the data file of "test-geoafm001-image.cube" derived in the previous subsection as the AFM image of the single molecule of Glycoprotein (1clg) on HOPG (Highly Oriented Pyrolytic Graphite).

At first, we create a new project file. Click the item [File] > [New], and you will obtain a dialog box named [Create new project]. Then, type a string "test-geoafm003" for [Project name], and a new project file, whose name is given by "test-geoafm003.pro", will be generated automatically.

Second, we load the geometrical data of the sample material. Right-click on the item [Component] in the tab [Setup], and choose the item [Add Sample] > [Database]. Then, a database for typical materials prepared previously appears. Here, we choose [1clg-HOPG] put on the top of the database.

Third, we load the data of the AFM image. Right-click on the item [Component] and choose [Add Image] > [File]. Here, we select the file "test-geoafm001-image.cube", which has been created already in the previous subsection and put into the directory "test-geoafm001".

At the present time, the window displays the following image.



Here, as mentioned in the previous subsection, we put the resolution for the simulation of the GeoAFM at 1.0 [angstrom]. Then, click the item [GeoAFM] > [Show Simulated Image].

To clarify the tip image obtained here, we hide the sample and the AFM image from the view. Right-clicking on the main window to open a context menu, you remove ticks of [Show Surface] and [Show Image]. After these operations, we obtain the following window, where only the tip is displayed as a geometrical object.



Now, we store up the image of the tip, obtained by the numerical calculations, as a data file being reusable for other purposes later. Putting the cursor on the main window and right-clicking on it, you open a context menu. Then, choose the item [GeoAFM] > [Export Simulated Data] in the context menu, and type the data file name as "test-geoafm003-tip". As a result of these operations, you can save the image of the tip as "test-geoafm003-tip.cube", which is a data file with the "cube" format.

### 2 Finite Element Method AFM simulator

### Introduction

Finite Element Method AFM simulator (FemAFM) carries out numerical calculations for obtaining an AFM image according to the classical theory of elasticity. The van der Waals interaction between a tip and a sample material is taken into account in case of the non-contact mode. On the other hand, the adhesion forces due to the JKR (Johnson, Kendall, Roberts) theory are considered in case of the contact mode. Assuming that the tip and the sample material obey the differential equation of elasticity, the FemAFM solves it with the finite element method. Thus, the tip and the sample material are divided into many small parts with mesh generation, and the solver takes deformation of finite elements into account.

The FemAFM treats three calculation modes as below.

- [Non-contact mode]: A probe tip attached to the front edge of the cantilever scans the surface of the sample material, keeping the distance around a few angstroms.
- [frequency shift image mode]: A cantilever, which is oscillated by an external force with a constant frequency, approaches a sample surface but does not contact with it. A frequency shift caused by an interaction between a tip and a sample is calculated.
- [viscoelastic dynamics mode]: A cantilever is oscillated by an external force with a constant frequency at a single point on the sample surface. A sequential motion of the tip is calculated; the tip contacts to a sample, pushes a sample, and detaches from a sample.

The FemAFM treats the classical mechanics with the van der Waals interaction between neutral molecules and atoms, so that it just only regenerates phenomena of classical physics. In contrast, this simulator cannot regenerates quantum effects at all. Thus, on the one hand, this simulator is not suitable for studying the microscopic system, where the AFM observes atoms and molecules one by one in a direct manner and the quantum effects are significant. On the other hand, this module is very suitable for simulating AFM images of semiconductor devices with dimensions on the nanoscale and biological macromolecules. Hence, in general, the users have to apply the FemAFM to simulations of AFM images at mesoscopic scale.

As mentioned above, this simulator cannot treat quantum effects. If you want simulate the problems related to the Scanning Tunneling Microscope (STM) and other various Scanning Probe

Microscopes (SPMs) which observe material as an atomic-scale image, you should not use this simulator, the FemAFM. To tackle these problems, which belong to realm of quantum physics, we recommend to you the Classical Force Field AFM Simulator (CG) and the Quantum Mechanical SPM Simulator (DFTB) bundled with the SPM Simulators.

In addition to the above, we have to pay attention to the following fact. Using the FemAFM simulator, the AFM is supposed to scan samples in a vacuum environment or the air at room temperature. If you want to simulate the AFM experiments in a liquid environment, you have to use the Soft-Material Liquid-AFM Simulator (LIQ) bundled with the SPM Simulators.

# Simulation of an AFM image of an organic macromolecule (non-contact mode)

In this subsection, we learn how to use the Finite Element Method AFM simulator (FemAFM) with a concrete example using [non-contact mode].

From now on, using the FemAFM, we derive the AFM image of a single molecule of Glycoprotein (1clg) on HOPG (Highly Oriented Pyrolytic Graphite). In the simulation, we assume that a quadrilateral pyramid probe tip scans the surface of the sample. Moreover, we assume the distance between the tip and the sample to be equal to around a few angstroms, so that the AFM detects the van der Waals force with the so-called non-contact mode.

First, we create a new project file. Click the item [File] > [New], and you will obtain a dialog box named [Create new project]. In the dialog box, [Project name] is blank. Then, type a string "test-femafm001" for [Project name], and a new project file, whose name is given by "test-geoafm001.pro", will be generated automatically.

Second, we load the geometrical data of both the tip and the sample material from the item [Component]. Click the tab [Setup] in the [Project Editor], and you will find the item [Component] at the top of the page. Right-click on the item [Component] and select [Add Tip] > [Pyramid]. Then, a dialog box of [angle (deg)] appears to define the angle at the top of pyramid. The parameter, 32.0 degrees, is given as the default value for the angle, so that we click the [OK] button. Right-click on the item [Component] again and choose the item [Add Sample] > [Database]. Then, a database for typical materials prepared previously appears. Here, we choose [1clg-HOPG] put on the top of the database.

Third, we define a resolution for the finite element method, which will be carried out by the FemAFM. Click the tab [FEM] in the [Project Editor], and you will find the item [simulation] > [resolution]. Then, you can type the value of the resolution. Here, let us put the resolution at 2.0 [angstrom].

The resolution represents the minimum length of the numerical calculations for obtaining the AFM image. To be precise, the resolution represents a typical length between neighboring vertices of a mesh, which is prepared for numerical calculations of the finite element method. A unit of the resolution is given by angstrom and you can adjust its value by 0.1. The maximum value of the resolution is given by 10.0, and its default value is put at 2.0 [angstrom]. In the actual process of simulation, you have to adapt it with referring the resolution of the observed AFM image in the real experiments.



At present time, the window displays the following image.

Now, we define an area where the tip scans. Click the tab [Setup], and you will find the item [Sample] > [Size], which tells us that the width, the depth and the height of the sample material are given by 66.861 [angstrom], 156.464 [angstrom] and 23.152 [angstrom], respectively.

Thus, we put the width and the depth of the scanning area at w="68.0" [angstrom] and d="160.0"
[angstrom], respectively. Then, the scanning area includes the sample material completely. Moreover, we pay attention to the fact that the resolution (2.0 [angstrom]) can be divided into the width and the depth of the area (68.0 [angstrom] and 160.0 [angstrom]) exactly, with nothing left over. Thus, we can split the scanning area into squares of the mesh exactly.

In this case, the scanning area consists of 2720 squares, which is given by 34 (the width) multiplied by 80 (the depth). In general, if the number of squares that form the mesh of the scanning area becomes larger than 22500, given by 150 (the width) multiplied by 150 (the depth), the amount of numerical calculations for the FemAFM exceeds the capability of a personal computer and the simulation time increases extremely. Thus, you need to be careful for adjusting the resolution.

The origin of the three-dimensional Cartesian coordinate system is put on the center of a substrate where the sample material lies. Thus, under the item [Tip] in the tab [Setup], enter the starting point for scanning as [Position] x="-36.0", y="-80.0", z="30.0" and specify the scanning area as [ScanArea] w="72.0", d="160.0", h="0.0".

Here, we pay attention to the following fact. To let the numerical calculations of the finite element method be stable and proper, you need to set the value "z" in the item [Tip] > [Position] considerably larger than the value "h" in the item [Sample] > [Size]. If the distance between the tip and the surface of the sample material is not large enough, the van der Waals interaction between the tip and the sample material becomes too strong. This causes unstable numerical calculations. Because the FemAFM regenerates the van der Waals force from the Lennard-Jones potential throughout its numerical calculations, the interaction between the tip and the sample material is in proportion to the inverse of the distance to the sixth power. Thus, the strength of the interaction becomes extremely larger all of a sudden, when the tip approaches the surface of the sample material gradually. This sudden increase in the strength of the interaction sometimes makes the numerical calculations unstable.

After the above operations, right-click on the main window to open a context menu. Then, make a tick at the item [Show Scan Area] in the context menu, and you will obtain the following images in the main window. A purple rectangle shown in the main window represents the scanning area.



Then you should input the physical properties of the tip or the sample, such as Poisson's ratios, Young's moduli and the Hamaker constants, those are placed at [Setup] tab > [Tip] > [Property] or [Sample] > [Property]. The default values are 76.5 [GPa] for [young], 0.22 for [poission], 50 [zJ] for [hamaker], which you can change as you like. We here use the default ones.

The last step before starting your simulation is as follows. You assign the number of threads for the parallel computing in [OpenMP\_threads] in [FEM] tab, where the default value 1 means the single thread computing. If you set 4 for [OpenMP\_threads], your simulation will be done by four cores. There are three choices in [simulation\_mode] in [FEM] tab, where we now use "femafm\_Van\_der\_Waals\_force" (default value).

After the above preparations, choose [FEM] at the "Simulator Combo Box", and select [Calculation] at "Calculation/Replay Combo Box" on the toolbar. Then click an arrow icon on the toolbar to start the simulation. You can display an AFM image obtained as results of the numerical calculations by choosing the item [Display] > [Results] in the menu bar.



Right-click the result window to open a context menu. Then choose [3D-View] to get to see the following 3D image.



When you look at the above AFM image obtained by the FemAFM, you have to pay attention to the following fact. In the AFM image, the numerical value given at every point on the surface of the sample material represents strength of force acting on the tip, not a height of the sample surface. During the simulation, the tip moves on the scanning area (the xy plane), keeping the tip-to-sample distance unchanged. The unit of the force acting on the tip is given by [N] (Newton).

Simulation of an AFM frequency shift image of a crystalline silicon

#### surface (frequency shift image mode)

In this subsection, we learn how to use [frequency shift image mode] of the FemAFM simulator. A cantilever, which is oscillated by an external force with a constant frequency, approaches a sample surface but does not contact with it. A frequency shift caused by an interaction between a tip and a sample is calculated.

We here simulate an AFM frequency shift image of a crystalline silicon (001) surface using a quadrilateral pyramid probe tip. We assume that the tip oscillates above the surface and never contact with it.

First, we create a new project file. Click the item [File] > [New], and you will obtain a dialog box named [Create new project]. In the dialog box, [Project name] is blank. Then, type a string "test-femafm200" for [Project name], and a new project file, whose name is given by "test-geoafm200.pro", will be generated automatically.

Second, we load the geometrical data of both the tip and the sample material from the item [Component]. Click the tab [Setup] in the [Project Editor], and you will find the item [Component] at the top of the page. Right-click on the item [Component] and select [Add Tip] > [Pyramid]. Then, a dialog box of [angle (deg)] appears to define the angle at the top of pyramid. The parameter, 32.0 degrees, is given as the default value for the angle, so that we click the [OK] button. Right-click on the item [Component] again and choose the item [Add Sample] > [Database]. Then, a database for typical materials prepared previously appears. Here, we choose [si001].

Third, we define a resolution for the finite element method, which will be carried out by the FemAFM. Click the tab [FEM] in the [Project Editor], and you will find the item [simulation] > [resolution]. Then, you can type the value of the resolution. Here, let us put the resolution at 2.0 [angstrom].

At present time, the window displays the following image.



Now, we define an area where the tip scans. Click the tab [Setup], and you will find the item [Sample] > [Size], which tells us that the width, the depth and the height of the sample material are given by 14.28665 [angstrom], 13.52978 [angstrom] and 8.16468 [angstrom], respectively.

Thus, we put the width and the depth of the scanning area at w="16.0" [angstrom] and d="16.0" [angstrom], respectively. Then, the scanning area includes the sample material completely. Moreover, we pay attention to the fact that the resolution (2.0 [angstrom]) can be divided into the width and the depth of the area (16.0 [angstrom] and 16.0 [angstrom]) exactly, with nothing left over. Thus, we can split the scanning area into squares of the mesh exactly.

The origin of the three-dimensional Cartesian coordinate system is put on the center of a substrate where the sample material lies. Thus, under the item [Tip] in the tab [Setup], enter the starting point for scanning as [Position] x="-8", y="-8", z="26" and specify the scanning area as [ScanArea] w="16", d="16", h="0".

After the above operations, right-click on the main window to open a context menu. Then, make a tick at the item [Show Scan Area] in the context menu, and you will obtain the following images in the main window. A purple rectangle shown in the main window represents the scanning area.



Then you should input the physical properties of the tip or the sample, such as Poisson's ratios, Young's moduli and the Hamaker constants, those are placed at [Setup] tab > [Tip] > [Property] or [Sample] > [Property]. The default values are 76.5 [GPa] for [young], 0.22 for [poission], 50 [zJ] for [hamaker], which you can change as you like. We here use the default ones.

The other required physical properties are adjustable in [FEM] tab. Input a density of the tip and a spring constant of the cantilever in [density] and [spring\_constant], respectively, under [Tip] > [Property]. The default values are 2329.0 [kg/m<sup>3</sup>] and 0.05 [N/m], respectively. Input also the surface tension in [surface\_tension] under [Sample] > [Property], where the default value is 0.108 [N/m]. We here use the default ones.

We specify the external oscillation of the cantilever in [amplitude] and [frequency] under [simulation] in [FEM] tab. Input 150 [angstrom] and 0.5 [GHz], respectively.

The last step before starting your simulation is as follows. You assign the number of threads for the parallel computing in [OpenMP\_threads] in [FEM] tab, where the default value 1 means the single thread computing. If you set 4 for [OpenMP\_threads], your simulation will be done by four cores. There are three choices in [simulation\_mode] in [FEM] tab, where we now use "femafm\_frequency\_shift".

Setup FEM			
name	value	unit	descriptions
simulation_mode	tematm Van der Waals tor 😒		
🖻 - Tip	femafm_Van_der_Waals_force		
Property	femafm frequency shift		
density	femafm_JKR	kg/m3	
spring_constant		N/m	
🖻 Sample			

After the above preparations, choose [FEM] at the "Simulator Combo Box", and select [Calculation] at "Calculation/Replay Combo Box" on the toolbar. Then click an arrow icon on the toolbar to start the simulation. You can display an AFM frequency shift image obtained as results of the numerical calculations by choosing the item [Display] > [Results] in the menu bar. In this 2D image, the height dimension is [Hz].



Right-click on the result window to open a context menu. Then choose [3D-View] to get to see the following 3D image.



# Simulation of an AFM viscoelastic dynamics analysis of a crystalline silicon surface (viscoelastic dynamics mode)

In this subsection, we learn how to use [viscoelastic dynamics mode] of the FemAFM simulator. A cantilever, which is oscillated by an external force with a constant frequency, approaches a specified point on a sample surface. The simulator calculates the tip motion; the tip contacts with the surface, is pushed into the surface, and is about to be detached from the surface.

We here simulate a viscoelastic dynamics of a crystalline silicon (001) surface using a quadrilateral pyramid probe tip. We assume that the tip oscillates above the surface.

First, we create a new project file. Click the item [File] > [New], and you will obtain a dialog box named [Create new project]. In the dialog box, [Project name] is blank. Then, type a string "test-femafm300" for [Project name], and a new project file, whose name is given by "test-geoafm300.pro", will be generated automatically.

Second, we load the geometrical data of both the tip and the sample material from the item [Component]. Click the tab [Setup] in the [Project Editor], and you will find the item [Component] at the top of the page. Right-click on the item [Component] and select [Add Tip] > [Pyramid]. Then, a dialog box of [angle (deg)] appears to define the angle at the top of pyramid. The parameter, 32.0 degrees, is given as the default value for the angle, so that we click the [OK] button. Right-click on the item [Component] again and choose the item [Add Sample] > [Database]. Then, a database for typical materials prepared previously appears. Here, we choose [si001].

Third, we define a resolution for the finite element method, which will be carried out by the FemAFM. Click the tab [FEM] in the [Project Editor], and you will find the item [simulation] > [resolution]. Then, you can type the value of the resolution. Here, let us put the resolution at 2.0 [angstrom].

At present time, the window displays the following image.



Now, we define an area where the tip scans. In fact, [viscoelastic dynamics mode] does not require the scanning area because the tip contacts with a specified point. We now set it routinely but note that the specified point must be in the scanning area.

Click the tab [Setup], and you will find the item [Sample] > [Size], which tells us that the width, the depth and the height of the sample material are given by 14.28665 [angstrom], 13.52978 [angstrom] and 8.16468 [angstrom], respectively.

Thus, we put the width and the depth of the scanning area at w="16.0" [angstrom] and d="16.0" [angstrom], respectively. Then, the scanning area includes the sample material completely. Moreover, we pay attention to the fact that the resolution (2.0 [angstrom]) can be divided into the width and the depth of the area (16.0 [angstrom] and 16.0 [angstrom]) exactly, with nothing left over. Thus, we can split the scanning area into squares of the mesh exactly.

The origin of the three-dimensional Cartesian coordinate system is put on the center of a substrate where the sample material lies. Thus, under the item [Tip] in the tab [Setup], enter the starting point for scanning as [Position] x="-8", y="-8", z="6" and specify the scanning area as [ScanArea] w="16", d="16", h="0".

After the above operations, right-click on the main window to open a context menu. Then, make a tick at the item [Show Scan Area] in the context menu, and you will obtain the following images in the main window. A purple rectangle shown in the main window represents the scanning area.



Then you should input the physical properties of the tip or the sample, such as Poisson's ratios, Young's moduli and the Hamaker constants, those are placed at [Setup] tab > [Tip] > [Property] or [Sample] > [Property]. The default values are 76.5 [GPa] for [young], 0.22 for [poission], 50 [zJ] for [hamaker], which you can change as you like. We here use the default ones.

The other required physical properties are adjustable in [FEM] tab. Input a density of the tip and a spring constant of the cantilever in [density] and [spring\_constant], respectively, under [Tip] > [Property]. The default values are 2329.0 [kg/m<sup>3</sup>] and 0.05 [N/m], respectively. Input also the surface tension in [surface\_tension] under [Sample] > [Property], where the default value is 0.108 [N/m]. We here use the default ones.

We specify the external oscillation of the cantilever in [amplitude] and [frequency] under [simulation] in [FEM] tab. Input 150 [angstrom] and 0.5 [GHz], respectively.

We also specify a single point on the surface where the tip contacts with it, by the use of [ix] and [iy] under [JKR\_position] in [FEM] tab. [ix] and [iy] are the running numbers along x- and y-direction of divided cells in the scanning area. [ix] and [iy] start from 1 from the bottom left corner. Now we input [ix] = 5 and [iy] = 1.

The last step before starting your simulation is as follows. You assign the number of threads for the parallel computing in [OpenMP\_threads] in [FEM] tab, where the default value 1 means the single thread computing. If you set 4 for [OpenMP\_threads], your simulation will be done by four cores. There are three choices in [simulation\_mode] in [FEM] tab, where we now use "femafm\_JKR".

After the above preparations, choose [FEM] at the "Simulator Combo Box", and select [Calculation] at "Calculation/Replay Combo Box" on the toolbar. Then click an arrow icon on the toolbar to start the simulation.

After the calculation finishes, the result file "femafm\_simulation\_tip\_delta\_force.csv" is created in "test-femafm300" folder. You may open the file by Excel or other graphic tools. There are four columns; the number of time step, the elapsed time [sec], the displacement [m] of the tip and the external force [N] of the tip suffered from the sample.

The next figure shows the definition of the displacement of the tip and the external force. The displacement  $\delta$  refers to the depth of the top of the tip, where  $\delta = 0$  corresponds to the original sample surface. The external force of the tip *F* is positive along outward direction from the surface. *F* is originated from the interaction between the tip and the sample, and does not include the force from the cantilever applied by exciting force.



The next figure shows the force vs. the displacement of the tip.



To see the detail, expand the upper right region ( $-0.1 \le \delta \le 0.1$ [nm],  $-1.2 \le F \le 0.2$ [nN]):



The tip moves along the red arrow. First, the tip contacts with the sample surface which swells a little, and the tip is pushed into the surface. After the tip goes to a point where the adhesion force is zero, the tip turns outward from the sample. The FemAFM simulates until the tip is about to detach from the sample.

In our example, the spring constant of the cantilever is small, so that the slope of the F- $\delta$  curve is almost horizontal where the tip slips in JKR theory range from van der Waals range. The FemAFM

does not simulate a process when the tip detaches from the sample. This is because the tip may be thrown much far away when the tip slips out to van der Waals range from JKR theory range in case of a small spring constant of the cantilever.

# 3 Soft Material Liquid AFM Simulator

#### Introduction

Throughout this section we will explain examples which "Soft Material Liquid AFM Simulator" is able to compute. While currently still under development, this simulator calculates followings.

- Selastic bending or twisting dynamic of cantilever oscillation.
- Siscous fluid dynamics around the cantilever.
- Resonance curve of the cantilever.
- Tapping on soft material samples

When a cantilever is forced to vibrate in the liquid, it arise the fluid fields by stirred liquid around the cantilever. So the cantilever feels strong fluid force during the oscillation.



And the cantilever also feels a force when it taps on soft material sample with its probe tip. Due to these forces, the cantilever makes elastic bending or twisting motion. So we have developed a numerical simulator that analyzes the dynamics of both elastic bending of a cantilever and viscous fluid of liquid around the cantilever. This software used to simulate the cantilever dynamics combined with fluid dynamics. And more this is useful for designing and analyzing cantilever motion tapping on visco-elastic soft materials as rubbers or surface of cells in liquid.

As the initial setting parameter to execute this simulation, there are three groups of settings; such as cantilever, liquid and samples. There are following input parameters

#### ➤Cantilever setting

- structure(length, width, tilt angle)
- density, Young's Modulus, Poisson's ratio
- amplitude, frequency, twist angle, ...
- ≻Fluid setting



- density, viscosity
   > Sample setting
  - structure
  - Young's modulus, damper, meniscus force

This software can output many kinds of data for further analysis. Time evolution of height, amplitude bending force probe tip feels etc. and the resonance curve can be calculated.



### Motion of the cantilever in water

We here introduce an example of LiqAFM simulation where a cantilever is vibrated in water. We can view the vibrating motion of the cantilever.

First of all, launch [liqgui.exe] and create a new project file with the name you like.



The cantilever is shown in the main display on the right, while the setting controller is placed on the left.

Let us look at [Project Editor] on the left.

Project Editor 🛛 🛛
Setup LIQ
Simulation Mode Point oscillation
Input data Mode setting Cantilever
Vacuum     Fluid
2-dim scan Sample Length unit um  resolution
Parameter scan Frequency start 20 kHz end step
Sub Oscilation Mode
*Number of CPUs 1 🗘

This figure shows the [LIQ] - [Mode setting] tab. Now we set the parameters indicated by red frames.

- ① The simulation mode
- 2 condition of the calculation
- ③ setting of multiple threading

At first, select [Point oscillation] at simulation mode, where the cantilever oscillates a specified number of times at a single site. On the other hand, we provide a simulation mode where the cantilever scans on the sample surface (under development).

Next, we set the environment as follows.



We can choose one of two environments, [Vacuum] and [Fluid], where the cantilever oscillates. We here select [Fluid] because we are to simulate in water.

At last, we set the parallel computing. We can specify the number of threads as follows.

*Number of CPUs	2	<b>\$</b>
-----------------	---	-----------

Notice that this parameter is available only for the calculation in the fluid. The fluid calculation is very time consuming, so the parallel computing is expected to reduce the calculation time.

We have completed [Mode setting] and turn to edit [Input data] tab, shown below. The initial input parameters here are set by default.

Input da	ata	Mode setting	Cantilever	
name			value	unit
🖻 - fluid				
⊡… n	nat	erial		
	·	kviscosity	0.891e-06	m^2/s
		density	<u>997.0</u>	kg/m <sup>-</sup> 3
	·	impulse	0.0e-06	N/ms
⊡… bar		avial		
	nat	density	2330.0	ka/m3
		voune	130	GPa
	i	poisson	0.28	Gird
⊟… s	stru	cture		
		length	400	um
		width	50	um
		depth	4	um
		angle	0.0	deg
	2	twist	16	ueg
	<u></u>	tin	10	
	1	- position	400	um
		width	0.0	um
		radius	1.0	nm
6	ġ	spotlight		
		position	400	um
		distance	1000.0	um
	1	angle	0.0	deg
		body	001010	
		section	0.0 1.0 1.0	
	not	ion section	1.0 1.0 1.0	
	3	frequency	20	k Hz
	·	amplitude	3	nm
_	i	baseheight	50	um
· · · · [	Dist	tanceFromSamples	1.0	nm
⊡… samp	ble			
	nat	erial		
		point	1.0-+05	0.0-
		young	1.06+05	ura No (um
		tension	0.0	nszum nN
		touch	15	nm
		detach	1.1	
s	stru	icture		
6	=			
				um
		k		um
⊟ simu	lat	ion		
	ime	stens ner cuolo	1024	
		max cycles	2	
. E- 0	on	vergence	-	
	i	criterion	0.01	
🖻 – Outp	ut			
<b>.</b>	Dire	ectory	.¥output	
		🛒 height	height.csv	
	ļ	🛋 height amplitude	height amplitude.csv	
		tinforce	tinforce cev	
			uproree.esv	
		Movie	movie1.mvc	
	š	🎫 bar_motion	barmotion.bar	

The important items are  $\textcircled{4}\sim\textcircled{1}$  indicated by red frames, and the brief descriptions are as follows.

④ setting of fluid

- (5) setting of material properties of the cantilever
- 6 setting of the structure of the cantilever
- T setting of oscillation of the cantilever
- 8 setting the distance from tip to sample
- (9) setting of material properties of the sample
- (1) time step of calculation, convergence criteria

This sample project file has the values of water properties at 4 and silicon cantilever at 5 in advance. If you want to simulate with the other material, modify these values.

(6) is set the basic structure of the cantilever. If you want to simulate more detail, increase the value of [sections]. Keep in mind that the calculation time may be consumed in that case.

At (B), we set the distance from the tip point of the needle to the top of sample. Considering the cantilever amplitude (O [amplitude]) and the height from sample (O [touch]), set this distance properly where the tip comes across the sample softly enough.

As the calculation in liquid takes much time, adjusting the value at (10) will reduce the time. On the contrary, the calculation in vacuum finishes comparatively early.

For example, input about "30" to the [simulation] > [time] > [max\_cycles] in case of calculation in liquid, and input about "300" to that in case of that in vacuum. In [convergence] > [criterion], input "0.0".

😑 simulation		
🖃 time		
steps_per_cycle	1024	
- max_cycles	48	
convergence	7	
criterion	0	

For details of the other parameters, please refer to the Reference Manual.

We can change the [unit] of several parameters as shown in the right figure. When you double-click the unit, then a list of the supported units is displayed. Please select one of them you like. Note: [um] and [ang] stand for "µm" and "Å", respectively.



Finally, we set the structure of the cantilever. Click the [Cantilever] tab as follows.

Input data Mode setting Cantilever	
Basic Structure	
Length: 400 um	
Width: 50 um	
Tip Position	m
	<b>)</b>
Slit length um ▼ width um ▼	63)

The contents of  $\textcircled{1}^{3}$  are, respectively,

- 1 tip position
- ① structure of the cantilever
- (1) structure of hole of the cantilever

We make a shape of the cantilever at 1. In the figure below, the gray-colored polygon is the cantilever, and the light-green-colored diamond is the tip. The front edge of the cantilever is on the right side.



As you move the slider bars (horizontal) and (b) (vertical), the front edge of the cantilever will be

sharpened. The slider bar  $\bigcirc$  transfers the tip position.

In order to make a single slit in the cantilever, we use the [Slit] option. Check [Slit] so that you can input a length and a width of the slit in the cantilever. Move the slider bar <sup>(D)</sup> to transfer the slit position.



The cantilever whose shape has been modified is shown in the main window as follows.



We have finished the setting mentioned above. Saving the project file, you can start the simulation with it. Click [Display] > [Result View] from the menu bar to display the graphic window before the simulation. Click the start button, then the calculation starts, and you can see the motion of the cantilever.



The case of the simulation in fluid, fluid velocity around the cantilever is shown as below.



When you right-click on the main window, the following pop-up menu opens.

	show bar
	enlarge motion
	shrink motion
	show fluid velocity
	enlarge velocity
	shrink velocity
_	

show bar ...... show / hide the cantilever enlarge motion ...... enlarge the motion of the cantilever shrink motion...... shrink the motion of the cantilever show fluid velocity .......show / hide fluid velocity around the cantilever enlarge velocity ..... enlarge the fluid velocity shrink velocity..... shrink fluid velocity

The calculated results are written in the output files. At the same time, the result is updated on the result view.



The output items are listed below.

Output items	File name	File format
Movie of the cantilever motion	barmotion.bar	Binary
Fluid velocity (only in liquid mode)	fluidmotion	Binary
Time evolution of the tip height	height.csv	Text (CSV)
Time evolution of the amplitude of the	height_amplitude.csv	Text (CSV)
cantilever at specified points		
Force working on the tip	tipforce.csv	Text (CSV)

The folder path which contains the output files, is shown at the top of the [Result View] window. You can open and use those files by Excel or your own application.

We show the simulated result of the time evolution of the cantilever oscillation by changing the calculation conditions; x-axis is the time, and y-axis is the height of the front edge of the cantilever where the tip is attached. The red line is the simulated result in vacuum without a sample, the green line in vacuum with an elastic sample, the blue line in water with an elastic sample.



In vacuum without a sample (red line), the cantilever oscillation makes an artistic sine curve. Although our simulation assumes a sample as a simple elastic material, you can see the obvious modulation of the cantilever motion by tapping a sample.

The next figure shows the difference of the time evolution of the cantilever amplitude between in vacuum and in water.



As the calculation proceeds, the amplitude of the cantilever oscillation converges to certain values for both cases. Note that an inappropriate initial condition may result in a divergence of the amplitude. We can make a triangle-shaped cantilever and simulate with it as follows. Various kinds of the cantilevers will be available before long.



We now explain the difference between two output files, "height.dat" and "height\_amplitude.dat". For an illustration, we use a sample project "test\_nv\_001" at "SampleProject/LIQ/non\_viscoelastic/". We make a graph of the resulted output files, "height.dat" and "height\_amplitude.dat", obtained from this project as follows.





Illustration of "height.dat", which is the time evolution of the tip height. x-axis is the time, and y-axis is the

Illustration of "height\_amplitude.dat", which is the time evolution of the amplitude of the cantilever. x-axis is the

height at the front edge of the cantilever where the tip time, and y-axis is the amplitude of the exciting is attached. frequency caused by an external force.

"height.dat" describes the time evolution of the height at the front edge of the cantilever. On the other hand, "height\_amplitude.dat" describes the amplitude of the exciting frequency caused by an external force.

In the project, the cantilever is oscillated by an external force with a frequency 4.0 [kHz] and an amplitude 5.0 [nm]. The figure of "height.dat" tells us that the head of the cantilever starts oscillating smoothly from time at t = 0.

However, in fact, the oscillation of the cantilever at around t = 0 is superimposed by a lot of components with different frequencies, not only a main frequency at 4.0 [kHz]. These straggled frequencies are caused by the inherent frequency of the cantilever itself and by the fluctuation due to the resistance of the fluid. Note that the figure of "height.dat" plots data points every 32 steps, so we cannot see the small fluctuation.

After a while, a main frequency component of the cantilever converges to 4.0 [kHz] corresponding to the exciting frequency caused by an external force. As the system approaches the steady states, the noisy frequency component will disappear. The figure of "height\_amplitude.dat" demonstrates that situation.

#### Frequency spectrum of the cantilever

In this subsection we introduce the frequency spectrum of the cantilever. The frequency spectrum of the cantilever amplitude can be obtained by simulating for a sequence of frequencies.

First, create a new project as before, then select [Parameter Scan(Resonance Curve)] at [Simulation Mode] on [LIQ] tab in [Project Editor]. Then the items at [Parameter Scan] becomes available.

Project Editor		×
Setup LIQ		
Simulation Mode	Parameter Scan(Resonance Curve ) -	
Input data	Point oscillation Parameter Scan(Resonance Ourve )	
environment –		
• Vacuum • Fluid		

Select [Vacuum] at [environment] because we will now simulate in vacuum. In vacuum case, [Number of CPUs] is not available because the calculation finishes early.

Next, we set the frequency range. Input the values of [start], [end] and [step] respectively from [Parameter Scan] which is the frequency range providing to the cantilever basement.

Parameter so	can
Frequency	
start	20 kHz 🔻
end	50
step	11

For example, if you input values as above, the cantilever is oscillated with divided the range from 20.0 kHz to 50.0 kHz into eleven points. Thus you can set a sequence of frequencies.



We then set [Input data] tab similar to the previous subsection, but note the following three items.

The first is  $\bigcirc$  [motion] – [frequency].

🛛 🗆 motion		
- frequency	20.0 50.0 11	kHz
amplitude	3	nm
baseheight	50	um

You cannot edit this parameter here, which you have already set at [Parameter Scan] in [Mode Setting] tab.

The second is (B[DistanceFromSamples]. In this simulation, the tip does not touch the sample. In order to keep the tip from the samples far away, change the unit into "mm".

ampirtado	0	11111
baseheight	50	um
DistanceFromSamples	1.0	mm
🖃 - sample		

The third is (1) [simulation]. In this simulation, the cantilever amplitude should converge at a certain level. We, therefore, have to set a large [max\_cycles] so that the oscillation repeats a number of times. The maximum value is now 100 cycles. Note that it is very time consuming in case of the simulation in water.

🖻 simulation		
🖃 time		
steps_per_cycle	1024	
🦾 max_cycles	48	
Convergence	7	
criterion	0	

Input "0.0" at [convergence] > [criterion].

The other parameters and the shape of the cantilever are the same as the previous subsection.

We are now ready to start the simulation. Save the project, then click the start button. Waiting for a while, you can obtain a resonance curve in [Result View] as follows.



The horizontal axis represents frequency [Hz], and the vertical axis represents the amplitude [m].

When you examine the detailed behavior around the resonance frequency, change the frequency range and the number of steps, and simulate again.



Recalculate around the circled range.

The next graph shows the frequency spectrum of the cantilever. These lines are results of three conditions, in vacuum, in air, and in water respectively. Thus the resonance frequency shifts by condition.



As a summary, we have developed a numerical simulator for cantilever dynamics strongly combined with fluid dynamics. We consider this simulator is useful for designing and analyzing cantilever motion tapping on soft materials in liquid.

## Cantilevers having complex shapes

In this subsection, we explain how to design cantilevers that have complex shapes. As s concrete example, we use a project file, "test\_nv\_002.pro", which is put into the directory, "/non\_viscoelastic/test\_nv\_002".



In this project file, the cantilever is given as the following figure:

The shape of the cantilever shown in the above figure is described with elements surrounded by tags <bar><structure> and </structure> in the project file. The elements given by the tags <bar><structure> and </structure> in "test\_nv\_002.pro" are as follows:

```
<body display="false">
     <section display="false">0.0 1.0 1.0</section>
     <section display="false">0.75 1.0 1.0</section>
     <section display="false">1.0 0.4 1.0</section>
</body>
<split display="false">
     <section display="false">0.125 0.0 0.1</section>
     <section display="false">0.25 0.0 0.1</section>
</split>
<split display="false">
     <section display="false">0.125 0.25 0.35</section>
     <section display="false">0.25 0.25 0.35</section>
</split>
<split display="false">
     <section display="false">0.375 0.0 0.1</section>
     <section display="false">0.5 0.0 0.1</section>
</split>
```

<split display="false"></split>
<section display="false">0.375 0.25 0.35</section>
<section display="false">0.5 0.25 0.35</section>
<split display="false"></split>
<section display="false">0.625 0.0 0.1</section>
<section display="false">0.75 0.0 0.1</section>
<split display="false"></split>
<section display="false">0.625 0.25 0.35</section>
<section display="false">0.75 0.25 0.35</section>

To define the complex shape of the cantilever, you have to take care of the following points. Looking at the above figure of the cantilever, we notice that the cantilever is divided into eight parts with equal lengths along the beam. Thus the cantilever is constructed with eight blocks, whose lengths of sides along the beam are equal to one eighth of whole length of the cantilever.

To simulate the dynamics of the cantilever with finite difference equations, it is convenient to divide the cantilever into blocks, whose number is equal to a multiple of eight. Moreover, the solver of the software requires that the domain is made separate into parts more than twice as much as appearance of blocks shown as the previous figure. Hence, in the present case, we have to divide the cantilever along the beam into parts, whose number is a multiple of eight and equal to or larger than sixteen. From these reasons, we divide the cantilever into thirty-two parts along the beam.

Then, we have to write down the number "32" into the project file, "test\_nv\_002.pro", as the number of grid points along the beam. We define this number with a tag, <sections>, which is located after the <bar><structure> tag. Here, we have to pay attention to another point. When we specify the number of grids with the <section> tag, we write actually down the number which is equal to one plus the number of grids. Thus we have to describe "<section>33</section>" in the project file.

Finally, we explain a relation between the visualization of the flow velocity and the number of grids. As shown in the following figure, during both the "Replay" and the "Calculation" modes, time evolution of movement of the cantilever and flow velocity are drawn in the main window. The velocity fields of the fluid are sketched on cross sections being perpendicular to the cantilever. Every cross section is put at four-grid-point interval. Thus, if you let the number of grids, which divide the cantilever along the beam, be equal to a multiple of four, the image shown in the main window becomes favorable. Hence, you had better input the number, being equal to a multiple of four plus one, to the <bar><structure><sections>tag in the project file.



### Simulation with viscoelastic dynamics

In this subsection, we explain how to simulate viscoelastic dynamics of the cantilever's tip and a sample, which exhibits both viscous and elastic characteristics. The Soft Material Liquid AFM Simulator prepares an option for carrying out simulation of the viscoelastic dynamics. Using this option, the Johnson-Kendall-Roberts (JKR) theory is assumed for describing the contact dynamics of the cantilever's tip and the viscoelastic sample, and we can treat adhesion phenomena caused by surface tension of the samples. If we use this option, for example, we can output time evolution data of external force that the viscoelastic sample exerts on cantilever's tip at ease.

Sample projects for simulating viscoelastic contact dynamics are put in the directory, "/viscoelastic". As shown in the following table, three project files are prepared. You can use them in accordance with your various purposes.

testp_v_001	AFM in vacuum environment. Young's modulus of the cantilever is small.

testp_v_002	AFM in vacuum environment. Young's modulus of the cantilever is large.
testp_v_003	Liquid-environment AFM. Young's modulus of the cantilever is large.

To carry out the simulation of AFM with viscoelastic contact dynamics, we need to describe some important parameters in the project files. We explain them in the following table.

<liq><fluid><material><kviscosity></kviscosity></material></fluid></liq>	Kinematic viscosity of the fluid. Its unit is [m^2/s].
<liq><fluid><material><density></density></material></fluid></liq>	Density of the fluid. Its unit is [kg/m^3].
<liq><fluid><material><impulse></impulse></material></fluid></liq>	Impulse of force that molecules give to the fluid at random. Its unit is [N/ms].
<liq><bar><material><density></density></material></bar></liq>	Density of material used for making the cantilever. Its unit is [kg/m^3].
<liq><bar><material><young></young></material></bar></liq>	Young's modulus of material used for making the cantilever. Its unit is [GPa].
<liq><bar><material><poisson></poisson></material></bar></liq>	Poisson's ratio of material used for making the cantilever. It is dimensionless.
<liq><bar><material><friction></friction></material></bar></liq>	Coefficient of friction of material used for making the cantilever. It is dimensionless.
<liq><bar><material><hamaker></hamaker></material></bar></liq>	Hamaker constant of material used for making the cantilever. Its unit is [J].
<liq><bar><structure><tip><radius></radius></tip></structure></bar></liq>	Radius of the tip of the cantilever. Its unit is [nm].
<liq><bar><motion><frequency></frequency></motion></bar></liq>	Frequency of the oscillation of the cantilever with external force. Its unit is [kHz].
<liq><bar><motion><amplitude></amplitude></motion></bar></liq>	Amplitude of the oscillation of the cantilever

	with external force. Its unit is [nm].
<liq><bar><motion><baseheight></baseheight></motion></bar></liq>	Distance between the surface of the sample and the center of the cantilever in the initial position. Its unit is [nm]. (To let the tip of the cantilever touch the surface of the sample, it has to be nearly equal to the amplitude of the oscillation of the cantilever.)
<liq><bar><distancefromsamples></distancefromsamples></bar></liq>	Put a value which is equal to <baseheight>. Its unit is [nm].</baseheight>
<liq><sample><material><point> <young></young></point></material></sample></liq>	Young's modulus of the sample. Its unit is [GPa].
<liq><sample><material><point> <poisson></poisson></point></material></sample></liq>	Poisson's ratio of the sample. It is dimensionless.
<liq><sample><material><point> <damper></damper></point></material></sample></liq>	Damping coefficient of the sample. Its unit is [Ns/m]. (This coefficient is made use of to generate the damping force, which is linearly dependent upon the velocity.)
<liq><sample><material><point> <tension></tension></point></material></sample></liq>	Tension between the tip of the cantilever and the sample when they touch. Its unit is [uN].
<liq><sample><material><point> <touch></touch></point></material></sample></liq>	Distance between the surface of the sample and the tip of the cantilever in the initial position. It has to be less than zero. (Multiply the value of <basehight> by (-1) and put it.) Its unit is[nm].</basehight>
<liq><sample><material><point> <detach></detach></point></material></sample></liq>	Distance between the point where the tip is released from sample and the initial position of

	the tip of the cantilever. It has to be less than zero. (Put a value being equal to the value of <touch>.) Its unit is [nm].</touch>
<liq><sample><material><point> <hamaker></hamaker></point></material></sample></liq>	Hamaker constant of the sample. Its unit is [J].
<liq><sample><material><point> <adhesive></adhesive></point></material></sample></liq>	The surface tension of the sample. Its unit is [N/m].
<liq><simulation><time> <max_cycles step="smooth">1.6</max_cycles></time></simulation></liq>	A period of the cycle of the cantilever's oscillation caused by the external force during the whole simulation. It is dimensionless. A suitable value of this quantity is 1.6 around.
<liq><output><directory> <delta_tipforce <br="" interval="1" where="head">displaytype="1D" ctrl="label"&gt;delta_tipforce.csv</delta_tipforce></directory></output></liq>	Time evolution of a distance and forces of attraction and repulsion between the cantilever's tip and the sample is output into a file, "delta_tipforce.csv".

We can carry out simulation of viscoelastic contact dynamics in the following manner. First, look at the project editor window put in the left-hand side of the GUI. Then we find the "viscoelaticity" button located under the "LIQ->Mode setting" tab. Second, we click the "viscoelaticity" button and let it be an "on" state. (In the default setting, it is an "off" state.) Third, click the arrow icon to start "Calculation" as similarly to the other solvers.



For example, let us carry out the simulation with the project file, "testp\_v\_002.pro", which is put in the sample project folder, "/viscoelastic/testp\_v\_002". Then, we obtain the following force curve from the output file, "delta\_tipforcr.csv". (The following figure is saved in the file,

"delta\_tipforce\_ref.xlsx", which is put in the sample project folder, "/viscoelastic/testp\_v\_002/output".)



In the above graph, relation between the displacement of the cantilever's tip and the external force applied to the tip is plotted. The vertical and horizontal axes represent the external force and the displacement, respectively. The tip is in contact with the sample. The spring constant of the cantilever is large and the AFM measurement is performed in vacuum. As shown in the following figure, the origin of the displacement is put on the surface of the sample and the z-axis points down. The positive axis of the external force points upwards.



As a summary, we have developed a numerical simulator for cantilever dynamics strongly combined with fluid dynamics. We consider this simulator is useful for designing and analyzing cantilever motion tapping on soft materials in liquid.

## 4 Classical Force Field AFM Simulator

#### Introduction

In this section, we will introduce the examples of the simulation using the Classical Force Field AFM simulator. The simulator calculates the tip-sample interaction and considers the deformation of the molecule. The simulator has two method to calculate the molecular deformation, one of which you can choose.

- CG-AFM Simulator: Get the stable conformation of a molecule by searching the energy minimum of the molecular system.
- MD-AFM Simulator: Monitoring the time evolution of a molecular conformation by calculating the Newton's equation of motion.

Calculation procedures of these simulators are roughly as follows.

- 1. Select the model tip and sample, and set each position.
- 2. Set the input parameter.
- 3. Simulate and view the result.

For more information about the input parameter, see the "reference manual".

# Example of calculating the frequency shift image of pentacene in vacuum

We introduce the atomic scale AFM simulation in vacuum environment by using CG-AFM Simulator. The sample project file of this example is saved as "SampleProject\CG\NC\_pentacene\NC\_pentacene.pro".

We will simulate AFM images by the use of a pentacene molecule as a sample, and a CO molecule as a modified tip. We assume the pentacene molecule keeps its conformation, and fix the positions of the all atoms of the molecule.


You can edit and set the input parameters in the "Project Editor" on the left of the window. The key parameters are listed below. (See the reference manual for more information.)

- 1. Initial tip position
- 2. scanning area (Setup  $\rightarrow$  Tip  $\rightarrow$  ScanArea)
- 3. environment of the simulation system (CG  $\rightarrow$  AFMMode)
- 4. scan mode (CG  $\rightarrow$  Tip\_Control  $\rightarrow$  scanmode)
- 5. pixel size (CG  $\rightarrow$  Tip\_Control  $\rightarrow$  delta\_xy)
- 6. number of tip movement toward z-axis (CG  $\rightarrow$  Tip\_Control  $\rightarrow$  NC\_Mode\_Setting  $\rightarrow$  ThetaStepNumber)
- 7. tip amplitude (CG  $\rightarrow$  Tip\_Control  $\rightarrow$  NC\_Mode\_Setting  $\rightarrow$  TipZamplitude)
- 8. spring constant of cantilever (CG  $\rightarrow$  Tip\_Control  $\rightarrow$  NC\_Mode\_Setting  $\rightarrow$  SpringConst)
- 9. resonance frequency (CG  $\rightarrow$  Tip\_Control  $\rightarrow$  NC\_Mode\_Setting  $\rightarrow$  ResoFreq)

Project Editor	
Setup CG	
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×	-9
y	-5
Z	45
Rotation	<u>^</u>
alpha	U
Deta	0
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- h	1.128
🖨 Property	
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young	2.666666
poisson	0.333333
Soon ürop	
- Ocarimrea	18
d	10
L L L	11
- DistanceFromSamples	: 45
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Position	
T H-x	0
y	0
z	0
🖨 Rotation	
alpha	U
beta	U
Size	U
G120	1389104
- d	492
– – h	0
Property	-
density	1
young	2.666666
poisson	0.333333
hamaker	1

Project Editor			(
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	taStenNumber	10	
- Tin	7amplitude	06	Ane
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Res	:oFrea	23.165	kHz
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resetSti	ruct_at∠max	No	
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- Forcer leid	traStatio	6-evo L LooCutoff	
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+ RISM	513110	100	
Output			
Director	ry .	1	
···· 🗾	Fx	cgafm_fx.csv	
···· 📓	Fy	cgafm_fy.csv	
···· 🛒	Fz	cgafm_fz.csv	
···· 🛒	PotentialEnergy	cgafm_pot_ene.csv	
···· 🛒	FrequencyShift_2D	cgafm_frq.csv	
···· 🛒	EnergyDissipation_2D	cgafm_eng.csv	
···· 🛒	TipPositionZ	cgafm_tipz.csv	
· 🎫	Movie	cgafm_mov.mvc	
<			3

You may view the result of the frequency shift image at "Result View". (Select the menu bar  $\rightarrow$  "Display"  $\rightarrow$  "Result", and select "cgafm\_frq.csv" at the upper box on "Result View" window.)



#### Example of calculating force curve in aquatic environment

Next, we introduce the example of the CG-AFM calculation in aquatic environment. The sample project file of this example is saved as 'SampleProject\CG\HOPG\_RISM\HOPG\_RISM.pro'. The tip

model is a carbon nanotube, and the sample is a graphene sheet (HOPG). In this calculation, we assume these structures are not deformed. Therefore, we set to fix all the atoms in the structures.



We can set the input parameters from the 'Project Editor', which is on the left side of the GUI. When we want to simulate under the aquatic environment, we need to set the value of the system environment (column 'AFMMode' in the CG-tab) to be 'CG\_RISM'. Moreover, we need to check the value for all the parameters because almost all the parameters affect the result of the simulation under the aquatic environment. As for the meaning of the parameters, see the reference manual. We show the results of the force curve (left panel in the figures below) and the variation of total free energy of the system (right panel) when we gradually decrease the tip-sample distance. We can see the vibrational behavior, which is the typical feature of the AFM measurement under the aquatic environment.

This CG-AFM solver outputs the result of the calculated solvent-solvent correlation function, whose file name is 'CG\_RISM\_Corr\_VV.csv' in the output directory. (However, we cannot view the data in this file by the result view in the GUI.



#### Example of calculating force curve of chain alkane

We introduce the atomic scale and dynamical AFM simulation by using MD-AFM Simulator. The sample project file of this example is saved as "SampleProject\MD\FCurve\_octane4\FCurve\_octane4.pro".

We simulate the force curve by the use of four octanes as the sample, and a CNT molecule as the tip. We assume the lower edges of the octanes are bonded on the substrate, so that we make the position of the bottom atoms fixed. The four octanes are evenly distributed on the substrate. The simulator calculates a force onto the tip during the CNT tip comes close to the molecules on the substrate.



You can edit and set the input parameters in the "Project Editor" on the left of the window. The key parameters are listed below. (See the reference manual for more information.)

- (1) Initial tip position (Setup  $\rightarrow$  Tip  $\rightarrow$  Position )
- (2) scanning area (Setup  $\rightarrow$  Tip  $\rightarrow$  ScanArea  $\rightarrow$  h)
- (3) Initial samples position (Setup  $\rightarrow$  Sample  $\rightarrow$  Position )
- (4) scan mode (MD  $\rightarrow$  Tip\_Control  $\rightarrow$  scanmode)
- (5) pixel size (MD  $\rightarrow$  Tip\_Control  $\rightarrow$  delta\_z)
- 6 time step (MD  $\rightarrow$  MD\_Setting  $\rightarrow$  TimeStep)
- ⑦ step number (MD → MD\_Setting → StepNumber)
- (8) temperature (MD  $\rightarrow$  MD\_Setting  $\rightarrow$  Temperature)

Project	Editor		×
Setup	MD		
type		value	^
🖻 - Con	nponent		
<u>i</u>	🗟 Tip	Nanotube-10x0-Height12A.txvz	
	i⊒ Position		
	×	28	
	— y	28	
	Z	20	
	- Rotation		
	alpha	0	
	Deta	0	
	Eamina Size	0	
	- W	7.989	
	- d	7967	
	h	12,076	
	Property		
	density	1	
	young	2,666666	
	poisson	0.333333	
	hamaker	1	
	🖃 ScanArea	1	
	d	1	
	h	10	
	DistanceFromSamples	11.5966	
<u> </u>	Sample	octane txyz	
	- Position		
		Ω	
	ÿ	õ	-
		0	
	Rotation		
	alpha	-90	
	beta	-11	
	- gamma	-84	
	- Size	6.06097075467049	
	- Ca	276045028765274	
	h	8 40344253327965	
	- Property	0.10011200021000	
	density	1	
	young	2,666666	
	poisson	0.333333	
	hamaker	1	
	📷 Sample	🔂 octane.txyz	
	😑 Position		
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	- Rotation	-00	
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	gamma	-84	
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value	unit	descriptions	
ForceCurve			
05	Ang		
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You may view the result of the frequency shift image at "Result View". (Select the menu bar  $\rightarrow$  "Display"  $\rightarrow$  "Result", and select "fz.csv" at the upper box on "Result View" window.)



You can view the simulated movie of the tip and the samples when you select "Replay" mode and click the Play button.

## 5 Quantum Mechanical SPM Simulator

#### Introduction

In this section, we introduce some case studies calculated by "Quantum Mechanical SPM Simulator". The project files for these studies are included in the package of the software. The calculation procedure in this simulator is roughly described as follows:

- i. Set up the tip and the sample models (in the "Setup tab")
- ii. Set up the other input parameters (in the "DFTB tab")
- iii. Start calculation
- iv. After the calculation, see its results

We have described how to operate the Graphical User Interface software in the previous section. For more information about the input parameter, see the "reference manual".

#### Example of AFM (frequency shift) image simulation

First, we introduce the example of AFM (frequency shift) image simulation, whose function is equipped in the other simulators in our software. (The project file of this example is "SampleProject\DFTB\afm\_hsi\afm\_hsi.pro") You can use this simulator when you would like to analyze the AFM related physical quantities precisely.



In this simulation, we use the modeled Si tip apex hydrogenated and the hydrogenated Si(001) surface.

We comment on the important parameters in this example. Most important ones are enclosed by the red squares. (When we simulate on another system, we need to pay attention to the other parameters, which are not enclosed by the red squares. Please refer to our "reference manual" for confirming their meaning.)

- ① Starting point of the scanning region
- 2 Size of the scanning region. When we want to calculate the value of the frequency shift, please set the value of the tag "h" to be larger than 0.
- ③ Calculation mode. Choose "DFTB\_AFM".
- ④ Physical parameters for cantilever
- (5) Parameters for the macroscopic figures of the tip

Note. We cannot calculate the relaxation of the structures at now.



We can see the image of the frequency shift simulated on this setting by using our Result View in

the GUI (see the figure below). In this setting, when the tip position is on the hydrogen atoms of the surface, the value of the frequency shift is larger than the other tip position. It is because that the attractive force between the tip and the surface enlarges on such tip positions.



#### **Examples of STM simulation**

#### (1) Simulation for a tunneling current image

Next, we introduce two example of STM simulation, whose function is not equipped in the other simulators in our software. The first example is the image calculation of the tunneling current. (The project file of this example is "SampleProject\DFTB\stm\_hsi\stm\_hsi.pro")

In this simulation, we use the modeled Si tip apex and the hydrogenated Si(001) surface with one defect.



We comment on the important parameters in this example. Most important ones are enclosed by the red squares. (When we simulate on another system, we need to pay attention to the other parameters, which are not enclosed by the red squares. Please refer to our "reference manual" for confirming their meaning.)

- ① Starting point of the scanning region
- ② Size of the scanning region. The value of the tag "h" forces to be 0.
- ③ Calculation mode. Choose "DFTB\_STM".
- ④ Tip voltage. (In case of "DFTB\_STM" mode, the value of the tag "minimum" is used.)
- (5) Division number of k-points in samples' Brillouin zone for calculating samples' states.



We can see the image of the tunneling current simulated on this setting by using our Result View in the GUI (see the figure below). In this setting, when the tip position is on the hydrogen atoms of the surface, the value of the frequency shift is larger than the other tip position. It is because that the attractive force between the tip and the surface enlarges on such tip positions. The value of the current enlarges when the tip position is on the defect, where there is a dangling bond.



We compose four other project files for image simulation of the tunneling current in the package. Si tip and Si(111) DAS 7x7 surface:

SampleProject\DFTB\stm\_das7\stm\_das7.pro,

Fullerene tip and Si(111) DAS 7x7 surface:

SampleProject\DFTB\stm\_das7\_c60\stm\_das7\_c60.pro,

Si tip and Si(001)-2x1:H surface:

SampleProject\DFTB\stm\_si001\_2x1h\stm\_si001\_2x1h.pro,

Si tip and Si(001)-3x1:H surface:

SampleProject\DFTB\stm\_si001\_3x1h\stm\_si001\_3x1h.pro.

#### (2) Calculation of Scanning Tunneling Spectroscopy

The second example is the calculation of the scanning tunneling spectroscopy. (The project file of this example is "SampleProject\DFTB\sts\_si001\_3x1h\sts\_si001\_3x1h.pro")



In this simulation, we use the modeled Si tip apex and the Si(001)-3x1:H surface.

We comment on the important parameters in this example. Most important ones are enclosed by the red squares. (When we simulate on another system, we need to pay attention to the other parameters, which are not enclosed by the red squares. Please refer to our "reference manual" for confirming their meaning.)

- ① Tip position (Calculation is done only at this position in the STS mode.)
- 2 Calculation mode. Choose "DFTB\_STS".
- ③ Tip voltage. (Minimum value, maximum value, and division number between them.)
- (4) Division number of k-points in samples' Brillouin zone for calculating samples' states.

Project Editor		Project Editor	
Setup DFTB		Setup DFTB	
type	value	property	value
Component		mode	DFTB_STS
🔺 🖬 Tip	🖬 tip_si4.xyz	title	Si(001)-3x1h
<ul> <li>Position</li> </ul>		two_body_parameter_folder	h-c-si
x	1.564105	⊳ tip	
у	-1.31559	CG_param	
Z	10.93618	Broyden_param	
Rotation		MaxIter	300
alpha	0	TolEnergy	0.1
beta	0	output_eigenvalue	on
gamma	0	⊳ Fvdw	
▲ Size		tip_bias_voltage	
w	6.24	minimum	-4
d	5.41	maximum	4
h	2.02466	Ndiv	100
✓ ScanArea		Ndiv_kpoints	4
w	0	electron_temperature	50
d	0	tip_charge_neutrality	
h	0	translational_vector	
DistanceFromSa	mples 3.4	6 A	
Geometry		X	11.51877
⊿ 🖬 Sample	si001_3x1h.xyz	Y	0
Position		Z	0
x	0	⊿ b	
y	0	x	0
z	0	Y	3.83959
A Rotation		Z	0
alpha	0	4 C	
beta	0	x	0
gamma	0	Y	0
▲ Size		Z	100
w	10.80738	Output	
d	2.63117		
h	7.53618		
		<	4

We can plot the results of the tunneling current and its spectrum simulated on this setting by using our Result View in the GUI (see the figures below). The left figure is the I-V curve, and the right one is the differential conductance curve (dI/dV)/(I/V) - V. The voltage V of the horizontal axis is the tip bias compared to the sample one.





#### Simulation for a KPFM simulation

We introduce one example of KPFM simulation. (The project file of this example is "SampleProject\DFTB\kpfm\_c6\kpfm\_c6.pro")

In this simulation, we use the modeled Si tip apex hydrogenated and the Si(001)-c(4x2) surface.



We comment on the important parameters in this example. Most important ones are enclosed by the red squares. (When we simulate on another system, we need to pay attention to the other parameters, which are not enclosed by the red squares. Please refer to our "reference manual" for confirming their meaning.)

- ① Starting point of the scanning region
- ② Size of the scanning region. The value of the tag "h" forces to be 0.
- ③ Calculation mode. Choose "DFTB\_KPFM".
- ④ Charge neutrality of tip. (Minimum value, maximum value, and division number between them.) Note that we cannot use the bias as the control parameter because of our adopted calculation model.



We can see the image of the local contact potential difference simulated on this setting by using our Result View in the GUI (see the figure below). In this setting, we can see the region with the large potential difference. This region coincides with the lines connecting the up dimer Si atoms.



We compose three other project files for KPFM image simulation in the package. Si tip and Si(001)-c(4x2) sample surface, but with a different tip-sample distance : SampleProject\DFTB\kpfm\_c4 \kpfm\_c4.pro, Si tip and Si(001)-c(4x2) sample surface with an impurity: SampleProject \DFTB\kpfm\_n6\kpfm\_n6.pro,

SampleProject\DFTB\kpfm\_n4\kpfm\_n4.pro.

#### band calculation

Finally, we introduce an example of band calculation.

In this calculation, we use titanium dioxide as a sample.



We comment on the important parameters in this example. Most important ones are enclosed by the red squares. (When we simulate on another system, we need to pay attention to the other parameters, which are not enclosed by the red squares. Please refer to our "reference manual" for confirming their meaning.)

- ① Calculation mode. Choose "DFTB\_BAND".
- ② Band calculation parameters (lattice type, division number of k-points, and division number of lines.)

Project Ec	litor	and the second
ietup	DFTB	
pe		value
Comp	onent	
4	) Тір	🖬 tip_hsi4.xyz
4	Position	
	x	0
	у	0
	Z	0
4	Rotation	
	alpha	0
	beta	0
	gamma	0
4	Size	
	w	6.24
	d	5.41
	h	3.50466
4	Property	
	young	76.5
	poisson	0.22
	hamaker	50
۵	ScanArea	
	w	0
	d	0
	h	0
	DistanceFromSamples	-1.4795
4 🗖	Sample	TiO2.xyz
4	Position	
	x	0
	у	0
	Z	0
	Rotation	
	alpha	0
	beta	0
	gamma	0
4	Size	
	w	3.69782
	d	3.69782
	h	1.4795
4	Property	

We can see the band structure on this setting by using out Result View in the GUI (see figure below).



# **Chapter 4**

# **Image Comparison**

### **1** Overview

When we use the GUI-module for comparing between measured images and simulated images (we call 'Analyzer-module' below), we can display and visualize various data formats such as the measured SPM image data, the output data from various analyzing software, the result data of our SPM Simulator, and the image data captured from scientific papers. We can easily compare these images by displaying them in parallel. Moreover, we can use this module as software to transform the data format because this module can save the inputted data as your favorite format. This module also equips the functions to correct inclination of an image automatically, to estimate a tip shape, and to remove influences of tip shape included in a measured image. We can use the functions of the Fourier analysis, the high-resolutionization algorithm, and the neural-net analysis. Furthermore, the 'Analyzer-module' provides several functions as the digital image processing tool; such as the binary image generation using a threshold, the contrast adjustment (gamma correction), the edge extraction (Sobel filter processing) and the noise reduction (median filter processing).



# 2 Part names and functions



The followings are the explanations about main functions in each part.

#### [Analyzer]

The name of the entire window.

#### [Menu Bar & Tool Bar]

Bars for the operation to input and save the file, and for the operation to align and close the sub-windows

#### [Image View]

Windows to visualize an input data as a 2D or 3D image. We can change the point and the size of view by the mouse and keyboard operations. In the windows we can set a cut plane by the mouse operation. The cross section is displayed on the Cross Section View. As we can see, we can display multiple windows of the Image View simultaneously and align them in parallel.

#### 【Cross Section View】

Window to display the cross section that is specified in an Image View.

#### [Data View]

Window to display the data content as the tabulated text format.

# 3 Start up and quit Analyzer-module

#### [Start up]





1. Select "Menu Bar" in the main GUI  $\rightarrow$  [Tool]  $\rightarrow$  [Analyzer]

A window for Analyzer-module is started up by the above operation.

#### 【Quit】



1. Select "Menu Bar" in the Analyzer-module  $\rightarrow$  [File]  $\rightarrow$  [Exit]

The Analyzer-module quits and the window is closed, though the main GUI does not quit by this operation.

## 4 File manipulations

In the Analyzer-module, we can input not only image data measured by various SPMs, but also result data from our SPM Simulator, image data captured from scientific paper, and other ones. Also, we can convert the input data to another format and save it. Moreover, this module has a function

to capture the visualization on Image View as to a picture file. In this section, we explain how to operate to input and save the data file, and to capture the visualization.



- 1. Select "Menu Bar"  $\rightarrow$  [File]  $\rightarrow$  [Open]<sup>41</sup>. (Displayed the "Open File" dialog)
- 2. Choose the file you want to open  $\rightarrow$  push [Open]

<sup>&</sup>lt;sup>41</sup> We can also perform this operation by clicking the "Open" icon on the "Tool Bar".

In the Analyzer-module, we can open the picture files of the general format (jpeg, bmp, png, tif), the Cube-like format (the output format of this SPM Simulator), and the files of the format adopted by the SPM hardwares and the analyzation softwares (Unisoku, Omicron, Gwyddion, WSxM, SPIP, Asylum, Surf). After the data file chosen on the dialog is loaded without error, the Image View window is emerged. On the window, a 2D-image<sup>42</sup> generated from the data file is displayed.

[Input from the "Recent Files" menu]

<u>File Window T</u> ool	
🧭 Open Ctrl+O	
Recent Files	C:/SPM/testdata/SPIP/case05/Gold_1_1_0_stp.asc.cube     C:/SPM/testdata/SPIP/case04/m18_ori_tb0.asc.cube     C:/SPM/testdata/SPIP/case05/Cell_stp.asc.cube     C:/SPM/testdata/SPIP/case05/Hard_disk_stp.asc.cube     C:/SPM/testdata/SPIP/case05/DNA_stp.asc.cube     C:/SPM/testdata/SPIP/case05/SI1CIT01_stp.asc.cube     C:/SPM/testdata/SPIP/case05/C60_on_Silicon_stp.asc.cube     C:/SPM/testdata/SPIP/case03/m17_ori_tb0.asc.cube     C:/SPM/testdata/SPIP/case01/m16_ori_tf0.asc.cube

1. Select "Menu Bar"  $\rightarrow$  [File]  $\rightarrow$  [Recent Files]  $\rightarrow$  a list of data file<sup>43</sup>  $\rightarrow$  choose a data file

#### [Input of a file of the general picture format]

The file of a general picture format such as gif and jpg has information of the brightness, which is not the information of the tip-height on the SPM measurement. Thus, when we input a file of the general picture format, we need to specify the correspondence relationship between the value of the brightness and that of the tip-height. In addition, we need to set the scale of the picture in real system. In this subsection, we explain how to input such kind of the files.

<sup>&</sup>lt;sup>42</sup> We describe how to change styles of the visualization in the following sections.

<sup>&</sup>lt;sup>43</sup> The maximum number of the files in the list is 10.



- 1. Select "Menu Bar"  $\rightarrow$  [File]  $\rightarrow$  [Open]<sup>44</sup>  $\rightarrow$  Displayed "Open File" dialog
- 2. Choose the data file  $\rightarrow$  Push [Open]
- 3. Displayed "Set scale" dialog  $\rightarrow$  Input the data "Distance between pixels"  $\rightarrow$  Push [OK]
- 4. Displayed "Set Value Range" dialog  $\rightarrow$  Input the data "value range"  $\rightarrow$  Push [OK]

We can set the pixel size in real system (Å) from the "Set scale" dialog. Similarly, we can set the difference in height in real system (Å), which corresponds to the difference of the brightness between black and white in the picture, from the "Set Value Range" dialog.

#### Save the data file

In Analyzer-module, we can save the input data as the file with another name and another format.

<sup>&</sup>lt;sup>44</sup> We can also perform this operation by clicking the "Open" icon on the "Tool Bar".

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- 1. a. "Manu Bar"  $\rightarrow$  [File]
  - b. Right click on "Image View"<sup>45</sup>  $\rightarrow$  "Context menu" is displayed.
- 2. Select [Save As]<sup>46</sup>  $\rightarrow$  "Save As" dialog is displayed  $\rightarrow$  input a file name  $\rightarrow$  Push [Save]

#### Save the image

We can capture the visualization on Image View as to a picture file.

<sup>&</sup>lt;sup>45</sup> When there are the Image View windows on the module, we need to right click on the window displayed the data that we want to save.

<sup>&</sup>lt;sup>46</sup> We can also perform this operation by clicking the "Save" icon on the "Tool Bar".



1. a. Select "Manu Bar"  $\rightarrow$  [File]

b. Right click on "Image View"  $\rightarrow$  "Context menu" is displayed.

2. Select [Export Image]<sup>47</sup>  $\rightarrow$  "Export Image" dialog is displayed  $\rightarrow$  input a file name  $\rightarrow$  Push [Save]

## 5 Fourier analyses and high-resolutionizing of images

We can do image-processing by the Fourier analysis and high-resolutionizing of images by the Lanczos interpolation algorithm.



[Draw data]

<sup>&</sup>lt;sup>47</sup> We can also perform this operation by clicking the "Export Image" icon on the "Tool Bar".



- Right click on "Image View" → "Context menu" is displayed → Select [Image Processing] → "Image Processing View" is displayed
- 2. Select "Cartesian"/"Fourier"/"Power Spectrum" from "Mode Combo Box" on "Tool Bar"

We can switch the displayed content by using the "Mode Combo Box" among "Cartesian" (real-space image), "Fourier" (Fourier-space image<sup>48</sup>), "Power Spectrum" (plotting of the power spectrum<sup>49</sup>).

[Image-processing]

<sup>&</sup>lt;sup>48</sup> The scale of the Fourier-space image is logarithmic one.

<sup>&</sup>lt;sup>49</sup> The power Spectrum is plotted on the log-log axes.



We can change the slope of the power spectrum by moving the handle of the "Slider Bar". As we move the handle, both the real and the Fourier space images change. When we move the handle to left (right), we obtain the image on which the low (high) frequency component is emphasized.

When we push the [Reset] button, the handle is set to the origin and we obtain the original image.



#### [Correction of the tone]



 Right click on "Image Processing View" → "Context menu" is displayed → Select [Tone Correction]

The menu [Tone Correction] is a toggle-button. Thus, we can change the on and off of the tone correction by clicking the button one by one.

# Tone Correction Export to Analyzer



#### [Export to Analyzer-module]

 Right click on "Image Processing View" → "Context menu" is displayed → Select [Export to Analyzer]

By this operation, we can export the image on the "Image Processing View" window to the image on the "Image View" in Analyzer-module. The data is automatically saved as the file of the Cube-like format.

#### High-resolutionize of an image



1. Push [Up Resolution / Down Resolution] on "Tool Bar"

By pushing [Up Resolution / Down Resolution] button, we can increase / decrease the resolution of

the image<sup>50</sup>. The size of the image is displayed in the textbox on the right of "Tool Bar".

## 6 Neural-net simulator

The neural-net simulator learns the relation between two inter-related image data, such as the original and observed data, and the low and high resolution data. For example, consider that we force the simulator to learn the relation between the known standard sample structure data (trainer data) and the tip-height data measured by a SPM (input data). In this case, we consider that the network learns the effect of the tip shape to the measured SPM image data. After the learning, when we input the image data measured by the tip same as above to the network, we can obtain the image data from which the effect of the tip-shape is removed.



<sup>&</sup>lt;sup>50</sup> We use the interpolation of the Lanczos filter algorithm.

#### Start up the Neural-net Simulator



1. Select as follows on the Analyzer-module: "Menu Bar"  $\rightarrow$  [Tool]  $\rightarrow$  [Neuralnet Simulator]

Settings of the data to learn

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1. Operate as follows on the Neural-net Simulator: "Menu Bar"  $\rightarrow$  [File]  $\rightarrow$  [Open]<sup>51</sup>  $\rightarrow$  choose

<sup>&</sup>lt;sup>51</sup> We can also perform this operation by clicking the "Open" icon on the "Tool Bar".

the input data on "Select observed images" dialog  $\rightarrow$  [Open]

2. Choose the trainer data on "Select original images" dialog  $\rightarrow$  [Open]

The data to learn are set to the neural-net simulator by the above operation. We can set multiple input/trainer data though the number of the data must be equal each other. The images of the data are displayed on the right of the simulator window (upper: the input image, lower: the trainer image). When we set the multiple data, only one pair of the image is displayed.

#### Start up, quit, and pause the learning

#### [Start up]



1. Select "Tool Bar" → [Start]

When we push the [Start] button, the learning by the neural-net simulator starts. The message from the simulator is displayed on the Log View window.

#### [Quit/Pause]



- 1. Select "Tool Bar" → [Stop/ Pause]
- 2. Select "Menu Bar"  $\rightarrow$  [Display]  $\rightarrow$  [Error View]

When we start the learning, the [Start] button cannot become unavailable and the [Stop] and [Pause] buttons become available. If we push the [Stop] button, the learning process stops and the learning is initialized. If we push the [Pause] button, we can pause on the learning process. When we want to restart, we push the [Start] button. On pausing we can check the time dependence of the mean square error (MSE) between the output data from the neural-net and the trainer data from the "Error View". The value of the MSE in each time also shows on the "Log View".

#### Save and load the result of learning

#### [Save]

When the neural-net learning stops, we can save the result of the learning at that time<sup>52</sup>. In this subsection, we explain how to save this result.

<sup>&</sup>lt;sup>52</sup> If we push the [Stop] button at this time, the learning is initialized. Thus, we need to save the result of the learning before we push the [Stop] button.
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- Operate as follows: "Menu Bar" → [File] → [Save Weight File] → "Save Weight File" dialog is displayed
- 2. Input a file name to save  $\rightarrow$  Push [Save]

## [Load]

The neural-network can load the saved result file of the learning. In doing so, we can reproduce the condition of the learning when the file is saved.

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- Operate as follows: "Menu Bar" → [File] → [Load Weight File] → "Load Weight File" dialog is displayed
- 2. Input a file name to load  $\rightarrow$  Push [Open]

### Check the result of the learning / trial to another input data

# [Check of the result]



1. Select "Tool Bar" → [Check] → "Input Image/Reconstructed Image/Difference Image"

When the neural-net learning stops, we can check the result of the learning visually. When we push the [Check] button on the Tool Bar, the images of the input and result (reconstructed) data are displayed. Also, the difference image between the result data and the trainer data is displayed.

【Trial to another input data】



- 1. Select "Tool Bar" → [Trial] → "Open File" dialog is displayed
- Input a file name of data for trial → Push [Open] → "Input Image/Reconstructed Image/Difference Image"

When the learning is finished, we can check the neural-network by using new data that is different from the input one. We can input the new data from the "Open File" dialog that is displayed by selecting the [Trial] button on the "Tool Bar". Then, the new data is inputted to the network at this time and its reconstructed image is displayed.

#### Show/Hide of the window, erase the Log View data



# [Show/hide of the window]

 Select "Menu Bar" → [Display] → [Training Data Set / Input Image / Reconstructed Image / Difference Image / Error View]

When we want to show/hide a window, we check its title in the [Display] menu. Each [Training Data Set / Input Image / Reconstructed Image / Difference Image / Error View] buttons are the toggle ones. By repeating the click, we can switch the display / non-display of the window corresponding to the button.

[Erase the Log View data]



1. Select "Tool Bar"  $\rightarrow$  [Clear]

The [Clear] button on the "Tool Bar" makes the "Log View" cleared.

# 7 Estimation of tip shape / Removal of tip-shape influence

We can estimate the tip shape using only the measured data. Moreover, we can reproduce the image on which the effect of the tip shape is removed from the measured data.

### Estimation of the tip shape



- 1. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Select [Tip Estimation]
- 2. Input the number of pixels in x-axis direction from "Tip Nx" dialog  $\rightarrow$  Push [OK]
- 3. Input the number of pixels in y-axis direction from "Tip Ny" dialog  $\rightarrow$  Push [OK]
- 4. Input the tolerance of the tip size from "Parameter" dialog  $\rightarrow$  Push [OK]

After loading a measured data on the "Image View" window, we select the [Tip Estimation] in the context menu. Next, input the tip region as the numbers of pixels parallel to the x and y-axis from "Tip Nx" and "Tip Ny" dialogs, respectively. Finally, input the tolerance of the tip size from "Parameter" dialog. The range of the tolerance is from 0.0 to 1.0. Since we cannot choose one

result from infinite candidates of the estimated tip shape only in our tip estimation algorithm, we use the value of this parameter to obtain the result. When we set the value 0.0 (1.0), the largest (smallest) size of tip is selected. Data of this estimated tip shape is automatically saved as the file 'tip\_result.cube' in the same directory as the measured data. Moreover, the image of the shape is displayed on a new "Image View" window.

# Removal of the effect of the tip shape



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- Right click on "Image View" window → Context menu is displayed → Select [Eliminate Tip Effect]
- 2. Input a file name of the tip data from "Select Tip" dialog  $\rightarrow$  Push [OK]

After loading a measured data on the "Image View" window, we select the [Tip Estimation] in the context menu. Next, we select a tip data. Then, the data obtained by removing the influence of the tip from the measured data is automatically saved as the file 'image\_eliminated\_tip\_effect.cube' in the same directory as the measured data. Moreover, the image of the data is displayed on a new "Image View" window.

# 8 Visualization setting

#### How to change among various visualization modes

[Change between 2D and 3D view]

We explain how to change from the 2D-view to the 3D-view. The operation from '3D' to '2D' is in the same way.



- 1. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Select [3D-View]
  - Image: Second total column
     Image: Second total column

     Image: Second total column
     Image: Second to

[Display the cross section]



- 1a. To set the starting point , double click on "Image View"
- 1b. To set the end point, double click on "Image View"
- 2a. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Select [3D-View]
- 2b. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  [Cross-Section]  $\rightarrow$  [Clipping]

We can set starting and end points only when the "Image View" is in the 2D-view mode. After we set the end point, the "Cross Section View" is automatically displayed and the graph of the cross section is shown. When we change the view mode to the '3D' and choose the [Clipping] menu on the "Context menu", we can see the cross section on the 3D-view mode. If we want to see the ordinary 3D image, we choose the [Clipping] menu again. When we choose the [Clear] menu, the position data of the starting and end points is cleared.

[Reversal of the color bar direction]



1. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Push [z-range Reverse]<sup>53</sup>

#### [Normalization of the z-coordinate value]



1. Right click on "Image View"  $\rightarrow$  Context Menu is displayed  $\rightarrow$  [z-range Normalize]<sup>54</sup>

<sup>&</sup>lt;sup>53</sup> The [z-range Reverse] button is a toggle one. By repeating the click, we can switch the direction of the color bar.

<sup>&</sup>lt;sup>54</sup> The [z-range Normalize] button is a toggle one. By repeating the click, we can switch the normalization/denormalization.

When the difference in height is too small compared to the sizes of the width and depth, the visualization on the 3D-view is like a plane. In this situation, we can display the data with an emphasis of the difference in height by normalizing the values of the z-component.

[Set color for visualization]





- 1. Right click on "Image View"  $\rightarrow$  Context menu is displayed
- 2. Select [Color] → [Monocrome / Gradation / Rainbow]

# [Lighting]



1. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Select [Lighting]<sup>55</sup>

We can do this operation only when the view mode is the 3D-view.

[Isoline]

<sup>&</sup>lt;sup>55</sup> The [Lighting] button is a toggle one. By repeating the click, we can switch the presence/absence of the lighting.



1. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Select [Isoline]<sup>56</sup>

# [Surface display type]

<sup>&</sup>lt;sup>56</sup> The [Isoline] button is a toggle one. By repeating the click, we can switch the presence/absence of the isoline.



- 1. Right click on "Image View" → Context menu is displayed
- 2. Select [Display-Type]  $\rightarrow$  [Fill / Wire Frame]

We can do this operation only when the view mode is the 3D-view.



There are several operations available only when the view mode is the 3D-view.

[change a viewpoint]



- 1. Right click on "Image View" → Context menu is displayed
- 2. Select [Top/Front/Side]

We can change a viewpoint from Top, Front, and Side, by this operation. By dragging the mouse on the "Image View" we can change the view angle freely. In order to shift a viewpoint in parallel, we drag the mouse while holding down the [Shift] key.

【Zoom All】



1. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Select [Zoom All]

After selecting the [Zoom all] button, scaling and translation are automatically done and all the components are displayed so as to fit to the "Image View" window.

### [Scaling]

1. The mouse wheel  $\rightarrow$  rotate

Scaling can be carried out to an arbitrary magnification by rotating the mouse wheel.

# [Perspective]



1. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Select [Perspective]<sup>57</sup>

<sup>&</sup>lt;sup>57</sup> The [Perspective] button is a toggle one. By repeating the click, we can switch the perspective view on and off.

# 9 Digital image processing functions

The Analyzer-module provides four functions as the digital image processing tool;

- Binary image generation using a threshold
- Contrast adjustment (gamma correction)
- Edge extraction (Sobel filter processing)
- Noise reduction (median filter processing)

Note that we cannot use any two functions of them at the same time for one image data. For example, after the edge extraction for one "cube" formatted file, we cannot immediately apply the contrast adjustment to the result data. When you intend to apply such two or more processing for one "cube" file, save the result data as another "cube" file after any step of the processing, and then reopen the new "cube" file to apply the next step of the processing.

The reason why any two functions of the digital image processing cannot be applied to one image data at the same time is as follows. Typically, the result data may different due to the order of the processing functions applied to the starting image data. To be briefly, a function A after a function B is different from a function B after a function A. Therefore, you should be careful about the order of the processing, and should write down the parameters used at each processing.

#### Binary image generation using a threshold

We can obtain the binary image (black-and-white image) data from a "cube" formatted image data as follows.

Right-click on the image window to open the context menu. After selecting [Black and while] from the menu, you see a [Threshold] window.



Input the threshold for the binary image generation, from 0.0 to 1.0. The default value is 0.5. Click [OK] to generate the binary image.

The "cube" image data has (x, y, z) values, where z value stands for heights. Set the highest z value as 1.0, the lowest z value as 0.0, and the averaged value of z as 0.5. We convert z values into the range [0.0, 1.0] according to the kinked line shown in the right figure. In an image, we repaint white beyond the threshold, and black otherwise. That is the origin of the word "binary".

Here is the example. This is the original image data before the binary image generation. (Provided by Prof. Hirayama at Tokyo Institute of Technology, Department of Materials & Engineering.)









This is the result of the binary image generation with a threshold 4.0.



This is the result of the binary image generation with a threshold 6.0.

### Contrast adjustment (gamma correction)

We can utilize the contrast adjustment for a "cube" formatted image data as follows. Right-click on the image window to open the context menu. After selecting [Contrast adjustment (Gamma correction)] from the menu, you see a [Gamma] window.

Input the contrast adjustment parameter  $\gamma$  from 0.25 to 4.0, where the default value is 1.0. Click [OK] to generate the result image.

[ Gamma	? ×
Fillers	
ОК	Cancel





Here is the example. This is the original image data before the contrast adjustment, where the brightness is wholly too high to distinguish the difference in height. This is the result of the contrast adjustment with  $\gamma$  = 0.33. The image has been improved to see the small difference in height of the object.

(The original image data is provided by Prof. Fukui at Surface/Interface Chemistry Group in Department of Materials Engineering Science, Osaka University.)

#### Edge extraction (Sobel filter processing)

We can utilize the edge extraction for a "cube" formatted image data as follows. Right-click on the image window to open the context menu. After selecting [Edge detection (Sobel filter)] from the menu, you see the result data.

Here is the example. This is the original image data before the edge extraction. (Provided by Prof. Hirayama at Tokyo Institute of Technology, Department of Materials & Engineering.)









Open the "cube" file saved a moment ago, and then apply the contrast adjustment with  $\gamma$  = 2.0. You see the edges more clearly.

### Noise reduction (median filter processing)

We can utilize the noise reduction for a "cube" formatted image data as follows. Right-click on the image window to open the context menu. After selecting [Noise reduction (median filter)] from the menu, you see the result data.





Here is the example. This is the original image data before the noise reduction, where you see small noises. This is the result of the noise reduction. You find the noises in the original image have been removed.

(The original image data is provided by Assistant Prof. Hashimoto at Solid-State Quantum Transport Group, Quantum Condensed Matter Physics, Department of Physics, Tohoku University.)

# 10 Mesurement of angle among three points

We can measure an angle among any three points A, B and C on the "Image View". We obtain not only the angle  $\angle ABC$  but also the length AB and BC.





(1) Here is the example. We intend to measure the

(2) At first, zoom in the image to magnify

angle and the lengths of the rhomboidal lattice surrounded by a green border. (Provided by Prof. Hirayama at Tokyo Institute of Technology, Department of Materials & Engineering.) the target area. Use the mouse wheel to zoom in the image, and the mouse drag to move the image in parallel.



(3) Next, right-click on the image window to open the context menu. After selecting [Measurement of lines and their angle] from the menu, double click on the image successively three times to specify three points A, B and C.

🎦 Line 😐 💷 💌
point-A: (1665.34, -2061.9)
point-B: (1642.84, -2048.82)
point-C: (1668.38, -2037.87)
length of line AB: 26.024
length of line BC: 27.7885
angle ABC: 53.3587

(4) After that, a window appears and tells us the angle  $\angle$  ABC and the length AB and BC.

# **11 Others**

Display the value of data

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/1 Soline       5       -25.8989       -26.3637       0         /1 And       z-range Reverse       6       -25.8989       -26.1283       0         /2 - range Normalize       Color       6       -25.8989       -26.1283       0         /2 - range Normalize       Color       6       -25.8989       -25.8929       0         /2 - range Normalize       Color       8       -25.8989       -25.6575       0         /2 - 25.8989       -25.4221       0       10       -25.8989       -25.1867       0	Show, Data	- 1 01-	4	-25.8989	-26.5991	0
/ CAng       2 -range Reverse         · z-range Normalize       6         Color       7         Cross-Section       8         Export Image       -8.42         Save As       10	Isoline		5	-25.8989	-26.3637	0
✓ z-range Normalize Color       7       -25.8989       -25.8929       0         Image       0       8       -25.8989       -25.6575       0         Image       -8.42       9       -25.8989       -25.4221       0         Image       Image       10       -25.8989       -25.1867       0	z-range Reverse	-	6	-25.8989	-26.1283	0
Color       Color       8       -25.8989       -25.6575       0         Cross-Section       9       -25.8989       -25.4221       0         Export Image       10       -25.8989       -25.1867       0	YEAng <b>∃</b> ✓ z−range Normalize	-	7	-25.8989	-25.8929	0
-27.3	Color •		8	-25.8989	-25.6575	0
-27.3 Export Image -25.8989 -25.1867 0		-8,42	9	-25.8989	-25.4221	0
-27, 3 Save As	_ Export Image	-	10	-25.8989	-25.1867	0
	-27,3 <b>- 27</b> ,3 <b>- 2</b> ,3 <b>-</b> Save <u>A</u> s		11	-25.8989	-24.9513	0

1. Right click on "Image View"  $\rightarrow$  Context menu is displayed  $\rightarrow$  Select [Show Data]

We can see the tabulated numerical data on the "Data View".

Adjustment of tilt

#### 🚰 Analyzer - [m18\_ori\_tb0.asc.cub.. 🛃 Analyzer – [m18\_ori\_tb0.asc.cub... 📃 📑 <u>F</u>ile <u>W</u>indow <u>T</u>ool 📑 Eile 🛛 Mindow 🔤 🛛 - 8 × F 0 🖬 🖻 🥟 🖬 🖻 ✓ 2D-View 3D-View Z[Ang] Show Data Isoline 6 z−range Reverse z-range Normalize YEA YEF Color . \* Export Image -5000.0 5000.0 🔚 Save <u>A</u>s.. X[Ang] -5000.0 X[Ang] 4960.9 4960 Tip Estimation . Eliminate Tip Effect

1. Right click on "Image View" → Context menu is displayed → Select [Correct tilt]

## Align / close Image View

【Tile-type alignment】



1. Select "Menu Bar"  $\rightarrow$  [Window]  $\rightarrow$  [Tile]

# [Cascade-type alignment]



1. Select "Menu Bar"  $\rightarrow$  [Window]  $\rightarrow$  [Cascade]

# 【Close Image View(s)】



1. Select "Menu Bar"  $\rightarrow$  [Window]  $\rightarrow$  [Close All]

All the Image View windows are closed by this operation.

# Chapter 5

# **Sample Modeling**

In this chapter, we introduce how to use supporting tools for SPM Simulator by which we make a model of a sample structure. There are two types of tools at present; 1) modeling of thin films and 2) modeling of molecules. Since each tool has a Graphical User Interface (GUI), we can model a sample structure visually.

# **1** Modeling of thin films

#### Introduction

In the SPM Simulator, the Modeling Tool is included. We can make a model of a thin film with an idealized surface by using the tool. The output format of the tool is suitable for the input format of the SPM Simulator. Although the target of the tool is mainly atomic scale structures, the structure data made by the tool may be used on the simulators for the macroscopic measurements. In the tool, we can add, move, and delete an arbitrary atom on the model structure. Moreover, the tool has functions to import a molecular model to another structure model and to make the carbon nanotube/fullerene structure model. In this section, we describe how to use the Modeling Tool.

# **Modeling Tool GUI**

	Modeling Tool Ver.2014101	7			
Menu Bar 🗕	<u>File E</u> dit <u>W</u> indow <u>H</u> elp				
	Difference Controller	لک کہ <u>یک اور ک</u> کہ کہ کو ک			
Tool Bar	Welcome New Slab Structure	Make CNT			
	Modeling To	ol Ver.20141017			
	Advanced Algorithm	i and Systems, Co., Ltd.	IVIa	ain view	
	-Start from				
	a new slab Make	a new slab by entering the space group,			
	lattice	e parameters, and the Miller index.			
	a past data Open a file saved in past.				
	L		Log View	₽×	
	Church	- Controller		bg View	
	Structur	e Controller			
(					
Structure Controller Welcome New Slab Structu	re Make CNT	Structure Controller Welcome New Slab Structure Make CNT		Structure Controller Welcome New Slab Structure	Make CNT
Title:		Duplex / Change Spec x [ang] y [ang] z [ang]		Options to make carbon nanotube	
Spe. No. Sub No. Crystal s	system Std. symbol	0.00000 0.00000 0.00000 Charge MM3Type Opt	Duplex Delete	swont v (mode> ty	pe of CNT
-Lattice Parameters			Add	0 (Chy>: y~c	component of chiral vector
a (ang) b (ang) c (ang 0.00000 0.00000 0.00000	gj alpha (degj beta (degj gamma (deg) 90.00000 90.00000 90.00000	No. Spec x [ang] y [ang] z [ang	] Edit Charge	3 <ncell>: nu</ncell>	mber of unit cell
Atom Positions Spec x/a y/b	z/c Add Delete			temp.txyz Loutput file	Make CNT
0.00000 0.00000	0.00000 Modify Clear all			Options to make graphene sheet	
opec in a	y/b 2/c			1.412 C-C nearest d	istance [ang]
				20 x-length of gra 20 y-length of gra	aphene [ang] aphene [ang] Make Graphene
Miller Index	r of Cell	<ul> <li>III</li> </ul>	>		
x y z x	y z	Chemical Formula			
	Make Surface	Bor	Bond Hydrogenate		
"Nev	v Slab" Tab	"Structure"	Tab	"Make (	CNT" Tab
IVEV		Structure		Wake	

We describe the main function on the Modeling Tool GUI.

#### 【Menu Bar】

Start to make a new model, operate a file such as load and save, and display/hide on the sub-windows.

[Structure Controller]

Make/edit a model. This editor is composed of the four tab view: the "Welcome" tab displays the initial message. On the "New Slab" tab, we make a model of a thin film. On the "Structure" tab, the coordinate data of atoms is displayed, and also we can edit the information of atoms. On the "Make CNT" tab, we make a model of the carbon nanotube and its derivatives.

#### [Main View]

Visualize the model on 3D. We can change our point of view by operating the mouse and keyboard. Also, we can select and move a cluster of atoms in the model.

[Log View]

Display messages from the GUI.

#### Start the Modeling Tool

After the SPM Simulator is installed properly, the shortcuts to the Modeling Tool are generated on your desktop and in your start-menu. Double-click the shortcut so that the GUI of the Tool starts up<sup>58</sup>.

#### How to operate the GUI

We can operate the equipped functions by using the mouse on the "Main View", such as the change of the point of view, selecting of an atom we want to edit, and moving and rotating the selected atom. In this subsection we introduce such kind of mouse operations on the "Main View".

#### [Setup before the operation]

At first, open any model by the Modeling Tool. One of the easiest ways is to click the "Make CNT" button at "Make CNT" tab. A carbon nanotube model is then created and displayed on the "Main View".

#### [Operation modes]

There are five operation modes on "Main View"; such as "View Mode", "Edit Mode", "Link Mode", "Distance Mode" and "Angle Mode". Now we use "View Mode", mainly applied to change the point

<sup>&</sup>lt;sup>58</sup> The entity of the Modeling Tool is mkatmstruct.exe in the installed directory, which is at "C:/Program Files/SPMSimulator" by default.

of view. The other modes are introduced later. Click the corresponding icon on the toolbar to change your operation mode. They are exclusive toggle button so that only one of them can be toggled.



The keyboard shortcut [Ctrl+E] also changes those five modes in a sequential order.

#### [Type of visualization]

We can change the types of visualization of the structure from "Auto", "Dot", "Ball", "Ball&Stick", and "Cartoon" in the "View Option" on the context menu. When we choose the "Auto", the Tool automatically determine the suitable type for the structure data. We comment that there are cases in which the structure is not displayed. When we want to display the structure by the "Ball&Stick" type, the data of the structure needs to have the information of the bonds between atoms. When displaying the structure by the "Cartoon", the format of the data needs to be a special PDB one.



#### [Change of the point of view]

We can change the point of view by choosing from "Top", "Front", and "Side" in the context menu. When we want to change the visualization to the perspective one, we are supposed to check the "Perspective" in the context menu. We can change the scaling of the visualization by rotating the mouse wheel.

In the "View Mode", we can also change the visualization by operating the mouse as follows on the "Main View.

View Mode

drag	Rotate the point of view	
Shif Key + drag	Translate the point of view	
Ctrl Key + drag	Scaling	
Rotate the wheel	scaling	

Moreover, we can change the visualization by operating the keyboard, too.

Key Operation	Action	
$[\uparrow,\downarrow,\leftarrow,\rightarrow]$	Translate the point	
	of view	
[Shift]+[↑, ↓]	Rotate the point of	, 🔫 [Right]
$[Shift]+[\leftarrow,\rightarrow]$	view as the right	[Left]
[Shift] + [PageUp, PageDown]	figure	[Up] "Main View [PageDown] [Down] [PageUp]
[Ctrl]+[↑, ↓]	Scaling	
[PageUp], [PageDown]		

### 【Zoom All】

A hand glass icon on the tool bar is used to resize and translate the model in order to store the model in the whole screen.



This function is effective only when the drawn model consists of two or more atoms and "Perspective" is switched off.

#### [Compass]

There is a three-dimensional compass on the bottom right corner, which shows the x-, y- and z-direction for your eyeshot.



Click "Show Compass" on the context menu repeatedly, to show or to hide the compass.

#### [Show supercell]

The model range is shown as a parallelepiped by toggling the parallelepiped icon on the toolbar.

Click it again to hide the frame.



When you made up a model from the "New Slab" tab with lattice information, you may see a parallelepiped supercell. On the other hand, when you load a model file without the lattice vectors, you may see a rectangular solid which just fit the model range.



With lattice information



Without lattice information

#### Make a thin film

In order to model a thin film, we need to obtain the information about the crystal lattice, such as the space group, the lattice parameter, the fractional atomic positions, the Miller index, and the number of cells. These data are basically obtained from academic magazines.

#### [How to model a Si (001) surface]

We explain how to make a new model of a thin film that imitates a Si (001) surface. When we make a model from scratch, we open the "New Slab" tab by clicking the "a new slab" button on the "Welcome" tab. We can also open the "New Slab" tab by clicking the tab

Structure Controller (
Welcome New Slab Structure Make CNT
Title:
Space Group Spg. No. Sub No. Crystal system Std. symbol
- Lattice Parameters
a [ang] b [ang] c [ang] alpha [deg] beta [deg] gamma [deg] 0.00000 0.00000 0.00000 90.00000 90.00000 90.00000
Atom Positions         Spec         x/a         y/b         z/c         Add         Delete           0.00000         0.00000         0.00000         Modify         Clear all
Spec x/a y/b z/c
Miller Index     Number of Cell     Hydrogenation       X     y     z     1     1       0     0     1     1     1
Make Surface

heading directly. We input the information of the crystal lattice on the tab. We can input an arbitrary string on the "Title" form. When we omit the input, the name of the space group that will be set below is automatically inputted. We recommend using the 1-byte alphanumeric characters to input to all the forms.

We set the information of the space group in the second form. When we click the "Spg. No." button, the "Space group" window is emerged and the list of the space group is displayed on the window. We can choose a suitable number of the space group for the thin film. We can also input the number directly without using the list. Select or input 227 for the fcc silicon, then click OK.

The forms in the third column are for the lattice constants. Although we can input to them directly, the number of the forms we can input is restricted by the space group number. The units of the length and the angle are Å and degree, respectively. In the case of the fcc silicon, the values for all the forms are 5.4, 5.4, 5.4, 90.0, 90.0, and 90.0. However, we are sufficient to input the first '5.4' for the reason stated above.

In the next column, we input the type and the fractional coordinates of atoms in the Bravais lattice. In order to input the atomic element, push "Spec" button to open "Periodic Table" dialog.



You see a periodic table. When you push a "Si" button, then the dialog is closed and the atomic symbol "Si" is input in the form. As for the fractional coordinates, we input their values to the forms of the "x/a", "y/b", and "z/c", respectively, and push "Add" button. We need to repeat this procedure as many as the number of atoms. In case of the fcc silicon, there is a single fractional coordinate, say 0.0, 0.0, 0.0. We can modify and delete the data for an atom by using the "Modify" and "Delete" buttons, respectively. Also, we can clear all the data of the list by clicking the "Clear all" button.

In the last column, we input the information for a surface and a thin film, which is the direction of the surface, the size of the film, and the hydrogenation of the boundary. We input the direction by the "Miller index". The size of the film can be assigned from the "Number of cells" forms, which generates a supercell with the inputted numbers in each direction of the unit cell. We here specify 0,
0, 1 at "Miller index" to make Si(001) surface, and 3, 3, 1 at the "Number of cells".

If all the inputted data are valid to make the model, the structure of the thin film is displayed on the "Main View" after pushing the "Make Surface" button. The value of the translational vectors is displayed on the "Log View". As for the meaning of the value, please read the "Notification to use on SPM Simulator" subsection.

When the structure is displayed, we can edit the data of each atom in the structure from the "Structure" tab. The way to edit is described on the following subsection "Edit of model data". As for the way to save the data of the generated model, see the following subsection "Saving the model structure".



#### [How to model a Si (111) surface]

How to make a new Si (111) surface is almost the same as the previous work. Note that "Title" is now "Si111" and "Miller index" is now "1, 1, 1". Push "Make Surface" button to make and display the new model. The value of the translational vectors is displayed on the "Log View".

If all the inputted data are valid to make the model, the structure of the thin film is displayed on the "Main View" after pushing the "Make Surface" button. The value of the translational vectors is displayed on the "Log View". As for the meaning of the value, please read the "Notification to use on SPM Simulator" subsection.

[How to model a graphite (0001) surface]

The information of the graphite crystal is as follows.

Lattice constant	2.464 2.464 6.711 90.0 90.0 120.0
Space group	194 (P 63/m m c)
Fractional atom	C1 0.00000 0.00000 0.25000
coordinate	C2 0.33333 0.66667 0.25000

Although the precise value of the fractional coordinate of one carbon is (1/3, 2/3, 0.25), we cannot input the value of the numerator/denominator-style. In such a situation, we need to input the number with the 5 significant figures as above.

In the case of hexagonal and rhombohedral structures, it is possible to use the Miller index which has 4 numbers (h k i l). Though the four numbers are not independent each other. In the Modeling Tool, we are supposed to input three numbers: (h k l).

#### Save the model structure

[Save as a data file]

We can save the displayed structure model as the structure data. First, we select the "Save as" in the "File" menu on the menu bar. Next, we choose one from the "in XYZ format" (format for the Quantum Mechanical Simulator), "in Tinker format" (format for the Classical AFM Simulator), and "in Modeling Project". When the saving file dialog is opened, please input the file name and save it. When we save the data as "in Modeling Project", we need to input the data on the "New Slab" tab properly.



Before saving the model data as "in Tinker format", we have to assign the type of atoms (see page 188) that is necessary to simulate by CG/MD solvers in the SPM simulator.

[Save as a picture file]

We can save the visualization displayed on "Main View" as a picture file. When we select the "Export Image" in the "File" menu on the menu bar, the "Save Capture" dialog is displayed. We can input an arbitrary file name and select the format of the picture file from PNG (\*.png), Bitmap (\*.bmp), and JPEG (\*.jpg).

We can also save as a picture file by right-clicking on the "Main View" and selecting the "Export Image" on the context menu.

## Load of a model data

We can load the existing data and display the model on the GUI. By selecting the "Open" in the "File" menu on the menu bar, the "Open File" dialog opens.



The formats that the Tool can load are xyz (\*.xyz), tinker (\*.txyz), PDB (\*.pdb), Modeling project (\*.mpro), CIF (\*.cif) and VASP (\*.vasp). Select a file you like from the dialog.

You can also load a prepared file by drag and drop the file icon.

After loading a xyz-, txyz, or pdb-file, the data of the structure is displayed on the "Structure" tab and visualized on the "Main View". The interatomic bonds are taken into account contained in txyzand pdb-file.

On the other hand, after loading a mpro-, cif- or vasp-file, the information of the crystal structure is input automatically on "New Slab" tab, so that you may avoid the boring manual input (see page 178).

	Structure Controller 🛛 🛛 🛛
	Welcome New Slab Structure Make CNT
ファイル(E) 編集(E) 🌺	Title: SiO2
	Space Group
<b>2</b> 24	Spg. No. Sub No. Crystal system Std. symbol
(Ourseland)	152 1 Trigonal (Rhombohedral) P 31 2 1
Guartz.cm	Lattice Parameters
種類: CIE フ 1 07 - B 🛛 🔍 マイー・	a [ang] b [ang] c [ang] alpha [deg] beta [deg] gamma [deg]
	<b>4,92100 4,92100 5,41630 90,00000 90,00000 120,00000</b>
	Atom Positions
	Spec x/a y/b z/c Add Delete
Shucture Controller Welcome New Stab Structure Make CNT	0.00000 0.00000 0.00000 Modify Clear all
Modeling Tool Ver.20140508	Spec x/a y/b z/c
Advanced Algorithm and Systems, Co., Ltd.	Si 0.46980 0.00000 0.33333 O 0.41510 0.26750 0.21390
Start from	
a new slab Male a new slab by entering the space group, lattice parameters, and the Miller index.	
a past data Open a file seved in past.	
	Miller Index
	x y z x y z
	0 0 1 1 1 1 none
	Make Surface

A CIF file may have two or more crystal information. When you open such kind of CIF files, the dialog shown below asks you which data block you would like to use.

🐻 Select a CIF data_block 🛛 ? 🗙	🐻 Select a CIF data_block	? 🗙
data_block name data_CP995 CK	data_block name data_CP995 audit_creation data_CP995 audit_creation data_100K data_125K data_125K data_125K data_175K data_175K data_200K journal_date_rev_data_235K journal_date_acc data_235K journal_date_acc data_235K journal_date_acc data_235K journal_date_acc data_235K journal_date_acc data_235K journal_date_acc data_235K journal_date_acc data_235K journal_date_acc data_235K journal_page_first journal_page_first journal_page_first journal_page_category journal_coeditor_code IFNFV: journal_coeditor_code	

We can load the data from the files used recently. We select the "Recent Files" in the "File" menu on the menu bar and choose a file we want to load from the list. The number of files in this list is at most 10.

## Import of model data

We can add an existing data to the data of the displayed model. On the condition that a data is already loaded as a base model on the Tool, we select the "Import" in the "File" menu on the menu bar and choose the file of which we want to add the data.

The added model is set above the base model so as not to overlap each other. The formats of the data that can be imported properly are xyz (\*.xyz) and tinker (\*.txyz).

You can also import a prepared file by drag and drop the file icon while still pressing the [Ctrl] key.

## **Quit of the Modeling Tool**

To quit the Modeling Tool, select the "Quit" in the "File" menu on the menu bar, or click [X] button at the upper-right corner.



At that time, if your model data has been edited and not saved yet, the dialog shown below asks you to save the model or not.



Click [Save] button to open the save dialog, then the Tool quits after saving your model data. Click [Discard] button to quit anyway without saving your model. [Cancel] button does nothing but

continues the Tool.

You can also quit the Tool without saving your model by the quit-operation while still pressing the [Shift] key.

#### Edit of model data

When we use the "Structure" tab, we can add, edit, and remove an atom in the model data.

#### [Add an atom]

When we want to add an atom, we use the "Duplex" button. First, we select the column of the atom whose position we consider to the origin from the list in the "Structure" tab<sup>59</sup>. Next, we input the coordinates of the atom we want to add in relative value from the origin. Finally, we push the "Duplex" button. The added atom is displayed on the "Main View". Although the same atom as the selected one is added, we can change the atom type by using the "Spec" button.

Structure (	Controller				×
Welcome	New Sla	b Struct	ure Mak	e ONT	
Duplex / Spec Si	Change × [ang] 0.00000 Charge 4.00000	y [ang] 0.00000 MM3Type -1	z [ane] 0.00000 9 Opt 0	Duplex Change	Delete Withdraw
No.	Spec	x [ang]	y [ang]	z [ang] Edit	Charge 📩
1	Si	-6.07500	-6.07500	-0.67500	4.000
2	Si	-7.42500	-7.42500	-2.02500	4.000
3	Si	-6.07500	-3.37500	2.02500	4.000
4	51	-3,37500	-6.07500	2,02500	4,000
6	Si	-7.42500	-4.72500	-0.07500	4,000
7	Si	-4 72500	-4.72500	-2.02500	4,000
8	Si	-4.72500	-7,42500	0.67500	4,000
9	Si	-0.67500	-6.07500	-0.67500	4.000
10	Si	-2.02500	-7.42500	-2.02500	4.000
11	Si	-0.67500	-3.37500	2.02500	4.000
12	Si	2.02500	-6.07500	2.02500	4.000
13	51	202500	-3,37500	-U.D./5UU	4,000
14	Si	-2.02000	-4.72500	-2.02500	4,000
16	Si	0.67500	-742500	0.67500	4,000
17	Si	4,72500	-6.07500	-0.67500	4.000
18	Si	3,37500	-7.42500	-2.02500	4.000
19	Si	4.72500	-3,37500	2.02500	4.000 🗸
22	<u>c</u> .	7 (0000	007500	0.00500	1000
		- 100			
				Bond	Hydrogenate
				Bond clear	Bond/MM3

#### [Edit an atom]

When we want to edit an atom, we use the "Change" button. First, we select the column of the atom we want to edit from the list. When we input the value of the relative coordinate and push the "Change" button, the value of the coordinate of the selected atom changes. If another atom already exists on the destination, we cannot change the value of the coordinate. When we want to change the type of the selected atom, we push the "Spec" button, choose the type, and click the "Change" button.

#### [Delete an atom]

When we want to delete an atom, we use the "Delete" button. After we select the column of the atom we want to delete from the list, we push the "Delete" button. Instead of the "Delete" button,

<sup>&</sup>lt;sup>59</sup> In order to select the multiple columns, we can use the clicking with the Ctrl-key. In order to select the region of the columns, we can use the clicking with the Shift-key. When we push the 'A' with the Ctrl-key, we can select all the columns. As we explain in the following subsection, we can select on the "Main View" graphically.

we can use the Delete-key on the keyboard.

#### [Selection of an atom to edit]

We can select an atom to edit its data by using the mouse in addition to use the "Structure" tab. The way to operate is in the following tables, which is separated in the "View Mode" and the "Edit Mode".

View Mode	
Double click the blank region	Unselect all the atoms
Double click an atom object	Select/Unselect (toggled)

Edit Mode	
Double click the blank region	Unselect all the atoms
Click an atom object	Select/Unselect (toggled)
Drag from an point on blank region	Add the atoms in the specified
	region as the selected ones
Drag with Shift-key from an point on blank	Unselect the atoms in the
region	specified region
Drag with Ctrl-key from an point on blank region	Change the status of either
	Select or Unselect for the atoms
	in the specified region

By selecting atoms as the above mouse-operations, the corresponding columns in the "Structure" tab are also selected. In this situation, we can edit their information by the operations stated in the previous subsections.



## [Movement and rotation of atoms]

When the atoms are selected in the "Edit Mode", we can move and rotate them by the mouse operations. The values of the atom coordinates in the "Structure" tab are modified in conjunction.

In "Edit Mode" and when there are the selected atoms		
Drag the selected atoms	Translate the atoms	
Drag the selected atoms with Shift-key	Rotate the atoms	

## Edit of inter-atomic bond information

In addition to the operation stated in the previous subsection, by using the "Structure" tab, we can calculate bond information, add hydrogen atoms, and assign the type of atoms.

## [Calculation of bond information]

When we push the "Bond" button, the information of the bonds between atoms is calculated and updated. When we choose the "Ball&Stick" on the "View Option" menu, we can see the visualization of the bonds. When there are a lot of atoms in the model, it takes too long to calculate.

## 【Delete bond information】

When we push the "Bond delete" button, the information of the bonds between atoms is deleted. When we edit the structure data with the information, it occasionally takes too long to process the data. When we encounter such a situation, we recommend deleting the bond information temporally. After we finish editing the data, we may update the bond information.

#### [Add hydrogen atoms]

When we push the "Hydrogenate" button, the hydrogen atoms are added to the atoms that have the inadequate number of the bonds, and the number of the bonds to the atoms becomes suitable one.

#### [Assignment of the type of atoms]

When we push the "Bond/MM3" button, the Tool automatically assign the type of all the atoms in the structure. The information of the type is needed when we use the structure data on the Classical AFM Simulator. We suppose to use this function like a following flow: (1) load the xyz-format file on the Tool, (2) assign the type of atoms, (3) save the data as Tinker-format file, and (4) use the file on the Classical AFM Simulator.

#### Link Mode

In "Link Mode", we can create or destroy atomic bonds between any atoms. Click the "Link Mode" icon switch to "Link Mode".

In order to create a bond between atoms A and B, click the atoms successively on the "Main View". Clicking atoms sequentially, we can create a sequence of bonds.



Click the blank region on the "Main View" to unselect all the atoms and to cancel the link operation.

On the contrary, in order to destroy a bond between atoms A and B, click the atoms successively on the "Main View" while pressing the [Shift] key. Clicking atoms sequentially while pressing the [Shift] key, we can destroy a sequence of bonds.



As in "View Mode", we can change the point of view (rotate, translate and scaling) by operating the mouse.

#### **Distance Mode**

In "Distance Mode", we can measure a bond length between any atoms. Click the "Distance Mode" icon  $\checkmark$  to switch to "Distance Mode".

In order to measure a bond length between atoms A and B, click the atoms successively on the "Main View". Then you see a dashed line between them, and the distance is shown on the "Log View".

aniline.xyz     Modeline, Tool Ver.20140617       Eile     Edit       Window     Help       Window     Help       Structure Controller     Welcome       Welcome     New Slab	Distance Mode Q ▲ ♪ ₽
Duplex / Change           Spec         x [ang]         z [ang]           0.00000         0.00000         0.00000           Charge         MM3Type         Opt           **         -1         0	x Delete Withdraw Add
No.         Spec         x [ane]         y [ane]         z [ane]         z [ane]           1         6         -12999         -122494         00000           2         C         -000001         -139508         000000           3         C         13001         -122494         00000           4         C         -123001         -139584         000000           5         C         000000         995648         000000           6         C         122999         015634         000000           7         N         -000001         235277         000000           8         H         -211334         -173495         000000           90         H         -000002         -295608         000000           10         H         -211334         -173495         000000           110         H         211386         017638         000000	Edit Charee 4000 4000 4000 4000 4000 4000 1000
15 H 0.62931 2.95508 0.62931 14 H -0.62932 2.95508 0.62931	1000 1000 1000 1000 1000 y ≥ Now loading Completed to load. Distance = 3.75771 A [No.7 (N) - No.1 (C)]
Chemical Formula C 6 H 7 N 1 (14 atoms) Bond Bond clear	Hydrogenate ar Bond/MM3

Click the blank region on the "Main View" to unselect all the atoms and to cancel the measurement.

As in "View Mode", we can change the point of view (rotate, translate and scaling) by operating the mouse.

## Angle Mode

In "Angle Mode", we can measure an angle among any three atoms. Click the "Angle Mode" icon to switch to "Angle Mode".

In order to measure an angle  $\angle$  ABC among three atoms A, B and C, click the atoms successively on the "Main View". Then you see a kinked line and an arc among them, and the angle is shown on the "Log View".

🕘 aniline.xyz – Modeling Tool Ver.20	140617	Angle Mode	
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Structure Controller		₽×	
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Charge MM3Type Opt			
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	Ac		
No. Spec x [ang] y [ang]	z [ang] 🛛 Edit 🔹 Cha	rge	
1 C -1.22999 -1.22494	0.00000 4.00		
3 C 1.23001 -1.22494	0.00000 4.00		
4 C -1.23001 0.19534	0.00000 4.00		
6 C 1.22999 0.19534	0.00000 4.00	5 I I I I I I I I I I I I I I I I I I I	
7 N -0.00001 2.32577 8 H -211334 -1.73495	0.00000 5.00		
9 H -0,00002 -2,95508	0.00000 1.00		
10 H 2.11336 -1.73493 11 H -211336 0.70533			
12 H 2.11334 0.70535	0.00000 1.00		8 ~
14 H -0.62931 2.95508	0.62931 1.00	) <pre><!-- compare the "C: } </pre--></pre>	arrode percon releases¥aniline x
		yz" >>> Now loading	
		Completed to load.	
<		Angle = 70,8937 deg. [N	o.7 (N) - No.1 (C) - No.3 (C) ]
Chemical Formula C 6 H 7 N 1 (14 atoms)			
	Bond Hydroge	nate	
	Bond clear Bond/M	IM3	

Click the blank region on the "Main View" to unselect all the atoms and to cancel the measurement.

As in "View Mode", we can change the point of view (rotate, translate and scaling) by operating the mouse.

## Undo and redo the operation

You can undo or redo the various operations on the Modeling Tool. Undo command restores the information about the model before an editing work (the number of atoms, atomic species, coordinates, bonds, editing status). You can undo/redo using [Edit] menu on the menu bar, or using the corresponding keyboard shortcuts:

Function	By menu	By keyboard
undo	Menu bar→[Edit]→[undo]	Ctrl+Z
redo	Menu bar→[Edit]→[redo]	Ctrl+Y

As soon as undo is executed, the redo command also becomes active.

The list of editing works which are able to be undone or redone is shown below.

1	Import a model.
2	Add atoms.
3	Delete atoms.
4	Change atoms.
5	Make bonds.
6	Clear bonds.
7	[Hydrogenate] operation.
8	[Bond/MM3] operation.
9	Translate or rotate atoms by the mouse during the edit mode.
10	Make a new model by [Make Surface] button on "New Slab" tab.
11	Make a new model by [Make CNT] button on "Make CNT" tab.
12	Load a prepared model while destroying the displayed model, by the use of
	[File]→[Open] or drag&drop.
13	Import a prepared model to the displayed model, by the use of [File] $ ightarrow$ [Import] or
	Ctrl+drag&drop.
14	Load a [Recent Files].

The Undo command can be repeated up to 30 times.

The undo/redo history is cleared when the following commands are executed.

1	Run the Modeling Tool.
2	[File] $\rightarrow$ [New]. Discard the present model, and reset all settings.
3	Quit the Modeling Tool.

The so-called clean index is set when the following commands are executed.

1	[File] $\rightarrow$ [New]. Discard the present model, and reset all settings.
2	[File]→[Recent Files].
3	[File]→[Save as]→[in XYZ Format].
4	[File]→[Save as]→[ in Tinker Format].
5	[Make Surface] button on [New Slab] tab to make a new surface model.
6	[Make CNT] button on [Make CNT] tab to make a new carbon nanotube model.

In all case, the clean index is set when a prepared file is loaded or when a model is saved to a file.

When you edit a displayed model, then the file name on the title bar will be attached by [\*], which means the present model is not saved yet. If you intend to quit the GUI with [\*] on the title bar, a message dialog appears and asks you to save the model.

On the other hand, once the clean index is set by e.g. saving the model data, the [\*] of the file name on the title bar disappears. Now you can quit the GUI without any dialog.

#### **Tool bar**

The toolbar is located at the head of the GUI. The various functions equipped with the GUI are available by the use of the buttons on the toolbar. The available functions are as follows:

<u>F</u>ile <u>E</u>dit <u>W</u>indow <u>H</u>elp 📰 🖉 🗠 🤩 💦 🔧 🏈 🍳 🔔 🥐 🗊 (5)(6)(7)(8)(12)(13)(14)(15) 4) (9 (10)(11) 3

	Function	Alternate command
1	Discard the present model, and reset all settings.	[File]→[New]
2	Discard the present model, and load an existing model.	[File]→[Open]
3	Save the present model as *.xyz, *.txyz or *.mpro format.	[File]→[Save as]
4	Save the visualization displayed on "Main View" as a picture file.	[File]→[Export Image]
5	Undo.	[Edit]→[undo]
6	Redo.	[Edit]→[redo]

0	Switch to "View Mode". It is a toggle button.	[Ctrl+E]
8	Switch to "Edit Mode". It is a toggle button.	[Ctrl+E]
9	Switch to "Link Mode". It is a toggle button.	[Ctrl+E]
10	Switch to "Distance Mode". It is a toggle button.	[Ctrl+E]
1	Switch to "Angle Mode". It is a toggle button.	[Ctrl+E]
12	Resize and translate the model in order to store the model in	
	the whole screen.	
13	Show or hide the compass. It is a toggle button.	Context menu
		ightarrow [Show Compass]
14)	Change the view option.	Context menu
		$\rightarrow$ [View Option]
(15)	Show or hide a supercell. It is a toggle button.	

## Make carbon nanotube data

In this Tool, we can make carbon nanotubes (CNT) and their derivatives.

## [Procedure]

- 1. Open the "Make CNT" tab.
- 2. Set the value of parameters.
- 3. Push the "Make CNT" button.
- 4. The result is displayed on the "Structure" tab and the "Main View".



#### [The meaning of parameters]

#### <mode>: type of CNT:

We can choose one of the four types of CNT listed below:

- 1. swcnt: Single wall CNT
- 2. sheet: graphene sheet
- 3. fuller: fullerene
- 4. capped: CNT with caps

#### <Chx>, <Chy>: x, y-component of chiral vector:

The chiral indices of CNT: (n,m) = (<Chx>, <Chy>)

The size and the helix angle are dependent on the values of the parameters.

The values are integer and  $0 \le |m| \le n$ .

When we choose the mode of "sheet", "fuller", and "capped", the available values of both n and m are the multiple of 5.

#### <Ncell>: The number of unit cells in CNT

The length of the unit cell is naturally determined by the value of the chiral indices. The length of the generated model CNT is <Ncell> times of the length of the unit cell.

#### [output file]: output file name

File name of output data, which cannot be edit.

<mode> = swcnt</mode>	<mode> = sheet</mode>	<mode> = fuller</mode>	<mode> = capped</mode>
<chx> = 10</chx>	<chx> = 20</chx>	<chx> = 5</chx>	<chx> = 5</chx>
<chy> = 5</chy>	<chy> = 20</chy>	<chy> = 5</chy>	<chy> = 5</chy>
<nce  > = 2</nce  >	<nce  > = 1</nce  >	<nce  > = 1</nce  >	<nce  > = 5</nce  >
V1ew Mode	View Mode	View Mode	View Hode

## [Example]

## Make graphene data

We can make single layered graphene sheets.

## [Procedure]

- 1. Open the "Make CNT" tab.
- 2. Input the options to make a graphene sheet.
- 3. Push the "Make Graphene" button.

The result is displayed on the "Structure" tab and the "Main View".



## [options]

#### C-C nearest distance [ang]:

The nearest neighbor distance between carbon atoms, which is fixed at 1.412 Å by default.

## x-length of graphene [ang]:

Transversal length of graphene sheet. The default value is 20 Å.

#### y-length of graphene [ang]:

Longitudinal length of graphene sheet. The default value is 20 Å.

We can make a graphene sheet with any size specified in those options.



After that, if necessary, do [Hydrogenate] to add hydrogen atoms, do [Bond/MM3] to assign the type of atoms and save your model.

## Notification to use on SPM Simulator

We can use the structure file generated by the Modeling Tool on the Classical AFM Simulator and the Quantum Mechanical SPM Simulator. However, it is necessary to note the following points on use.

- It is possible to generate a structure file in which atoms are placed too closely. We cannot obtain the proper results by the simulations with such a file. When we select a spherical object on the "Main View" and the entire of the object is not highlighted with yellow, the object can consist of multiple atom objects, that is, the tool can generate atoms placed too closely. In this case, we recommend you to check again the value of the input parameters, especially, of the fractional atom coordinate.
- The translation vectors dumped to the "Log View" are calculated from the crystal data and their value is not for slab calculations. When we use a structure data on the SPM Simulator, we supposed that you set the length of the so-called 'c'-vector to be too large (e.g, (0.0 Å, 0.0 Å, 100.0 Å)).
- In the files of the Tinker-format, information of the atom types, which describes the states of the atom, is contained. For example, the carbon atom has different states such as sp3, sp2, and so on. The Modeling Tool automatically assigns only for the atom types of Si, C, and H. When you cannot simulate with the files of the Tinker-format, you need to edit the files without using the Tool.
- When you want to a model for tip structure, you can easily generate it by using the corner of the crystal model. You can generate a stable structure by terminating the entire surface with hydrogen. We supposed to delete a hydrogen atom on the tip apex if necessary.

• Notification to load a CIF file.

As you probably know, a CIF has occupancy of an atomic site. The occupancy may have a fractional value (0.0 - 1.0) e.g. in case of the presence of a defect. Unfortunately, the Modeling Tool does not deal with a fractional occupancy but set it as "1.0", which means that an atom fully exists on the specified site. When loading such a kind of CIF file, the log view tells us some warning as below.

```
<<< Load a file "C:\sample\sample.cif" >>>
Now loading ...
Warning: incomplete occupancy 0.3333 for 0w at 0.736 0.368 0.491
Completed to load.
```

Now the crystal structure on "New Slab" tab is shown like this.

Spec	x/a	у/Ъ	z/c	
Zn	0.50000	0.00000	0.00000	
V	0.00000	0.00000.0	0.25250	
0	0.66667	0.33333	0.88230	
0	0.15340	0.30680	0.82190	
0	0.00000	0.00000.0	0.50000	
0	0.73600	0.36800	0.49100	
Н	0.66667	0.33333	0.75000	

The log view tells us the fractional coordinate of the atomic site that has a fractional occupancy. You will see the relevant atom is oxygen as indicated in the figure above. You can make a model by [Make Surface] button, but the resulted model is obviously invalid.



There are three oxygen atoms very close to each other. The occupancy 0.3333 implies that one of those three oxygen atoms exists within the yellow circle, and is randomly distributed when a lot of unit cells are provided.

The Modeling Tool cannot make an appropriate model if there is a fractional occupancy in CIF file. When you see a warning about the occupancy on the log view, notice that the relevant model may be questionable.

# 2 Molecular Modeling

## Introduction

We introduce how to use ChemSketck and OpenBabel. These are the tools for constructing the input file of molecular structure. The file made by this method can input to AFM image simulator for atoms/molecules/nanostructures.

In AFM image simulator for atoms/molecules/nanostructures, in order to simulate the AFM image, we used MM3 force field parameters developed by Allinger. The following figure shows the elements supported by MM3

1																	18
1 H 1.0079	2	_										13	14	15	16	17	2 <b>He</b> 4.0026
3 Li 6.941	4 <b>Be</b> 9.0122		IVIIVI3 element									6 C 12.011	7 <b>N</b> 14.007	8 <b>O</b> 15.999	9 F 18.998	10 <b>Ne</b> 20.180	
11 Na 22.990	12 <b>Mg</b> 24.305	3	4	5	6	7	8	9	10	11	12	13 Al 26.982	14 <b>Si</b> 28.086	15 P 30.974	16 <b>S</b> 32.065	17 Cl 35.453	18 <b>Ar</b> 39.948
19 K 39.098	20 <b>Ca</b> 40.078	21 Sc 44.956	22 <b>Ti</b> 47.867	23 V 50.942	24 Cr 51.996	25 <b>Mn</b> 54.938	26 Fe 55.845	27 Co 58.933	28 <b>Ni</b> 58.693	29 Cu 63.546	30 <b>Zn</b> 65.409	31 Ga 69.723	32 Ge 72.64	33 <b>As</b> 74.922	34 <b>Se</b> 78.96	35 Br 79.904	36 <b>Kr</b> 83.798
37 Rb 85.468	38 <b>S1</b> 87.62	39 <b>Y</b> 88.906	40 <b>Z1</b> 91.224	41 <b>Nb</b> 92.906	42 <b>Mo</b> 95.94	43 Tc (98)	44 <b>Ru</b> 101.07	45 <b>Rh</b> 102.91	46 <b>Pd</b> 106.42	47 <b>Ag</b> 107.87	48 Cd 112.41	49 <b>In</b> 114.82	50 <b>Sn</b> 118.71	51 <b>Sb</b> 121.76	52 <b>Te</b> 127.60	53 I 126.90	54 Xe 131.29
55 Cs 132.91	56 <b>Ba</b> 137.33	57-71 *	72 <b>Hf</b> 178.49	73 <b>Ta</b> 180.95	74 W 183.84	75 <b>Re</b> 186.21	76 Os 190.23	77 <b>Ir</b> 192.22	78 Pt 195.08	79 <b>Au</b> 196.97	80 Hg 200.59	81 <b>TI</b> 204.38	82 <b>Pb</b> 207.2	83 <b>Bi</b> 208.98	84 <b>Po</b> (209)	85 At (210)	86 <b>Rn</b> (222)
87 Fr (223)	88 <b>Ra</b> (226)	89-103 #	104 <b>Rf</b> (261)	105 Db (262)	106 <b>Sg</b> (266)	107 <b>Bh</b> (264)	108 Hs (277)	109 Mt (268)	110 Ds (281)	111 <b>Rg</b> (272)	112 <b>Uub</b> (285)	113 <b>Uut</b> (284)	114 <b>Uuq</b> (289)	115 <b>Uup</b> (288)	116 <b>Uuh</b> (291)		118 <b>Uuo</b> (294)
	* Lant seri	hanide ies	57 <b>La</b> 138.91	58 <b>Ce</b> 140.12	59 <b>Pr</b> 140.91	60 <b>Nd</b> 144.24	61 <b>Pm</b> (145)	62 <b>Sm</b> 150.36	63 <b>Eu</b> 151.96	64 <b>Gd</b> 157.25	65 <b>Tb</b> 158.93	66 <b>Dy</b> 162.50	67 <b>Ho</b> 164.93	68 E1 167.26	69 <b>Tm</b> 168.93	70 <b>Yb</b> 173.04	71 Lu 174.97
	# Actin serie:	ide s	89 Ac (227)	90 <b>Th</b> 232.04	91 <b>Pa</b> 231.04	92 U 238.03	93 <b>Np</b> (237)	94 <b>Pu</b> (244)	95 <b>Am</b> (243)	96 Cm (247)	97 <b>Bk</b> (247)	98 Cf (251)	99 Es (252)	100 <b>Fm</b> (257)	101 Md (258)	102 No (259)	103 La (262)

MM3 distinguish not only atom elements but also chemical environment.

## Download ChemSketch

ACD/ChemSketch is free software released by Advanced Chemistry Development Co., Ltd. You have to register for downloading and using this tool. In this section, we introduce how to download the software.

①First, open the web site of URL: <u>http://www.acdlabs.com/resources/freeware/chemsketch/</u>

②Click the icon at the bottom of the page.

③Click the "Create an Account".

④Fill in the forms of below figure, and Click the "Register".

## **Registration:**

Mr 🗸 *	
First Namo:	Last Namo
First Name.	Last Name.
E-Mail:	Confirm E-Mail:
	*
Deseword:	Confirm Decoword
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Address 1:	Address 2:
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*	
Phone:	Fax:
Company:	Position:
	*
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Areas Of Interest Areas of Interest: NMR Chromatography MS UV-IR UV-IR	Applications: Compound Identification Metabolite ID Method Development Analytical Data Processing and Interpretat
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⑤Receive the E-mail and make your account.

⑥Open the web site of URL: <u>http://www.acdlabs.com/resources/freeware/chemsketch/</u>

O Click the icon at the bottom of the page.



#### ①Click "Next" button

ACD/Labs Software S	Setup Wizard
1	Welcome to the ACD/Labs Software Setup Wizard
<u>S</u>	The Setup Wizard will extract ACD/Labs Software distribution package to your computer and run installation.
Copyright © 1994-2007 Advanced Chemistry Development Inc. All Rights Reserved 110 Yonge Street,	It is strongly recommended that you exit all Windows programs before running this Setup Wizard. Click Cancel to quit Setup and then close any programs you have running. Click Next to continue with the Setup.
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	< <u>Back</u> Next > X Cancel

1Read the license agreement, select "I accept the terms in the License Agreement", and click the Next button.

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End-User License Agreement	and
Please read the following license agreement carefully	
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## ①Click "Next" button

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<sup>3</sup>Click "Next" button

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## ① Click "Next" button

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15Click "Install" button

🛃 ACD/Labs Software Setup Wizard	
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Customer Information User Name: ACD/Labs User Company: User Company The software to be installed ACD/Labs Software, v12.00 Distributive path C:\DOCUME~1\takagi\LOCALS~1\Temp\acdstp.001\Disk1 Destination path where files will be copied C:\Program Files\ACDFREE12 Start menu folder where shortcuts will be placed ACDLABS 12.0 Components that will be installed ACD/3D Viewer FreeWare	
< <u>B</u> ack <u>I</u> nstall	🗙 Cancel

## (6)Click "Finish" button

ACD/Labs Software S	Setup Wizard
Copyright © 1994-2007 Advanced Chemistry Development Inc. All Rights Reserved 110 Yonge Street, 14th floor, Toronto, Ontario, Canada MSC 1T4 Toll-Free: (800) 304-3988 Tet: (416) 368-35396 http://wwww.acdlabs.com	Completing the ACD/Labs Software Setup You have successfully completed ACD/Labs Software Setup Wizard. To apply changes and close the wizard click Finish. Thank you for choosing ACD/Labs Software.
AC	D/Labs Software, v12.00, Build: Jun/18/2010
	< <u>B</u> ack <u>E</u> inish X Cancel

①Click "ChemSketch".



(B)Click "OK" button



(19)Click "Select All" then click "Yes" button.

File Associations (C:¥Program Files¥ACDFREE12¥CHEMSK.EXE)	×
This program is not your default extension handler for the below file types, you can make it default for opening the selected files.	
Available Formats          ISIS/Sketch (*.skc)         Windows Metafiles (*.wmf)	
Associated with:	
Always perform check when starting the program.	
Select All Unselect All 🗸 Yes 🚫 No 🗶 Cancel ? Help	

## ②Click "OK" button

Tip of the Day
🐺 Did you know that in Structure Mode
You can disable or enable template shadowing , the action center, and the highlighting of hidden atoms by choosing <b>Preferences</b> from the <b>Options</b> menu, and then selecting the required options in the <b>Structure</b> tab.
Show Tips at Startup

Finished.

## Download OpenBabel

OpenBabel is free software distributed under the GPL license. This is used to convert the file format of chemical information. You have to register for downloading and using this tool. In this section, we introduce how to download the software.

- 1 Open the web site of URL:<u>http://openbabel.org/wiki/Main\_Page</u>
- 2 Click "Download".



3 Click "Download v2.3.2 Installer" under Windows category.

### Category:Installation

Open Babel is available for Windows, Linux and Macintosh.

Windows	Linux	Macintosh					
• OpenBabelGUI	Compile from source	• iBabel & *recommended*					
Provides a graphical user interface for Open	Compile Open Babel:	A graphical interface to Open Babel.					
Babel, as well as a command-line interface. This	Download 2.3.2 stable release 🗗	To get the command-line tools you need to choose					
is what most users are looking for	or Get latest development code (today)	one of the following:					
Download v2.3.2 Installer 🗗	How to compile 🗗	Download v2.3.1 Installer №					
Documentation 🗗	How to use obabel 🚱	ChemSpotlight 2.0 P Includes Open Babel					
• Python module 🖉 (requires OpenBabelGUI	How to develop with Open Babel 🚱	for 10.5 and 10.6					
above)	Scripting language modules:	• Fink @					
Provides access to the Open Babel libraries from	Perl 🕼, Python 🕼, Ruby 🕼, Java 🕼, Mono 🕼	MacPorts ፼					
Python. The current version is 1.7.		Compile the source code					

4 Click "実行".



5 Click "実行する".



6 Click "Next" button.



7 Read the license agreement and click "I Agree".

6	OpenBabel 2.3.2 Se	etup 🔲 🗖 🗙
	200	License Agreement
		Please review the license terms before installing OpenBabel 2.3.2.
	Press Page Down to see t	he rest of the agreement.
	GN '	VU GENERAL PUBLIC LICENSE
	Copyright (C) 1989, 199 51 Franklir Everyone is permitted to of this license document	1 Free Software Foundation, Inc. 1 St, Fifth Floor, Boston, MA 02110-1301 USA 0 copy and distribute verbatim copies , but changing it is not allowed.
		Preamble
	The licenses for most so	oftware are designed to take away your
:	If you accept the terms o agreement to install Oper	f the agreement, click I Agree to continue. You must accept the Babel 2.3.2.
Nul	soft Install System v2,46	< Back I Agree Cancel

8 Click "Next" button.

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	Choose Install Location Choose the folder in which to install OpenBabel 2.3.2.
Setup will install OpenBa Browse and select anot	abel 2.3.2 in the following folder. To install in a different folder, click her folder. Click Next to continue.
Destination Folder	penBabel-2.3.2 Browse
Space required: 20.9ME Space available: 16.5Gf Nullsoft Install System v2.4	3 46 <u>Back Next</u> Cancel

9 Click "Install" button.

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Select the Start Menu fo can also enter a name to OpenBabel 2.3.2	older in which you would like to create the program's shortcuts. You o create a new folder.
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Do not create shortd Nullsoft Install System v2,4	uts 16

10 Click "Finish" button.



Finished.

## Construct the octane by ChemSketch

Now we introduce how to construct chain alkane of octane. ( $C_8H_{18}$ )

- 1. Start "ChemSketch".
- 2. Make the carbon chain.
  - ① Select "Structure".
  - 2 Select C (Carbon) on the left toolbar.
  - ③ Select "Draw Normal" on the top toolbar.



④ Click in an empty space to draw methane CH<sub>4</sub>. From here, you can undo and redo by keyboard shortcuts Ctrl+Z and Ctrl+Y, respectively.



(5) Point to CH<sub>4</sub> to select it (you will see a rectangle around the methane formula), and then click it to add a methyl group to it while creating ethane CH<sub>3</sub>—CH<sub>3</sub>.



(6) Repeat to click the right-most carbon atom until the number of carbon atoms reaches eight.



- $\bigcirc$  An octane molecule has been constructed.
- 3. Click "3D Optimization" button. Then H elements are attached to the carbon chain and

#### 3-dimentional structure is constructed.



- 4. Output the model.
  - ① From the File menu, choose "Save As" to open "Save Document As" dialog.
  - ② In the dialog box, specify the name and location of the file to which the work should be placed. In the "Save as type" box, select "MDL Molfiles [V2000] (\*.mol)" and click "Save".
  - ③ The output file will be converted into \*.txyz format by the use of the OpenBabel software (see below).

Much more simple way to create an octane molecule is to use "Draw Chains" tool on the top toolbar. Hold down SHIFT key and drag right to create a carbon chain and take a look at the carbon counter (C #) located beside the mouse arrow. Continue the dragging until the counter reaches C 8, then release the mouse button to finish the chain. That's all.



## **Construct the Quinine by ChemSketch**

We make a kind of alkaloid, (-)-Quinine (C<sub>20</sub>H<sub>24</sub>N<sub>2</sub>O<sub>2</sub>) from scratch, whose structure is shown by:



- 1. Start "ChemSketch".
- 2. Make a bone structure.
  - ① Select "Structure".
  - 2 Select a benzene ring on the right toolbar.

2	ACD/ChemSketch (Freeware) - [noname01.sk2]																																					
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1-0	nem	OKEL	un i	<u>-</u> -Di	aidi	Jas	0 3	-On	ento	Jour																												

- ③ Click in an empty space to draw a benzene ring.
- ④ Click a bond on the right side of the benzene to make a naphthalene structure. Substitution to a heteroatom is done later.





(5) Select C (Carbon) on the left toolbar and "Draw Normal" on the top toolbar.

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Any	10		5	· ·	
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H	201				

6 Make a carbon chain one by one. To extend a new single C-C bond, drag from a starting carbon atom to an end carbon position. Substitution to a heteroatom is done later.



 $\bigcirc$  Make the bone structure on the methoxy group side (-O-CH<sub>3</sub>) in the same manner.



- 3. Replacement of atoms, addition of functional groups, modification of bonds.
  - ① To replace C (carbon) with N (nitrogen) atom, select N on the left toolbar. Click the carbon atom site to replace with N.



② To replace C (carbon) with O (oxygen) atom, select O on the left toolbar. Click the carbon atom site to replace with O.



③ To add a hydroxyl group, select O on the left toolbar. In the same manner as the bone structure, we add a hydroxyl group.



④ Make a C=C double bond. Select C on the left toolbar and select "Draw Normal" on the top toolbar. Click a C-C bond to change it to a C=C double bond.



(Click a bond repetitively to switches between available bond types: single, double, or triple.)

(5) Specify a stereo structure. Select "Up Stereo Bonds" on the top toolbar.

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Q.	I I		1.	~~ [	/ 10 <sup>10</sup> .	A REAL

Click a bond to draw stereo bonds that are facing towards the viewer.



(Click again to change the wedge direction. "Down Stereo Bonds" next to "Up Stereo Bonds" makes a bond facing away from the viewer.)

6 Now we have the structure below:


- 4. 3D Optimization.
  - Click "3D Optimization" button. Then H elements are attached to the carbon chain and 3-dimentional structure is constructed.

					[		$\mathbf{X}$
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- ② We will see a dialog, and then click "No" to keep the stereo structure.
- ③ Now we have the structure below:



④ Select "3D Rotation" on the top toolbar. Drag on the workspace to rotate the 3D model.





- 5. Output the model.
  - ① From the File menu, choose "Save As" to open "Save Document As" dialog.
  - ② In the dialog box, specify the name and location of the file to which the work should be placed. In the "Save as type" box, select "MDL Molfiles [V2000] (\*.mol)" and click "Save".
  - ③ The output file will be converted into \*.txyz format by the use of the OpenBabel software (see below).

## Convert the file format by Open Babel

We have made the MDL mol format file by using "Open Babel". Now, we introduce how to convert to txyz format that is available for the simulator.

- 1. Start "Open Babel GUI".
- 2. Convert the file format from MDL mol to ttxyz.



1) Select "mol – MDL MOL format" from "INPUT FORMAT" box.

2) Select "txyz – Tinker MM2 format" from "OUTPUT FORMAT".

📥 Open Babe IG UI			
Eile Yiew Blugins Help			
INPUT FORMAT		OUTPUT FORMAT	
mol MDL MOL format Y Format Info	CONVERT	toyz - Tinker MM2 format	Format Info
Use this format for all input files (ignore file extensions)		png PNG files with embedded data	
CVSDMWwrri/EniderW83_creation/Major (eV		pov POV-Ray input format	
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riput beow (ignore input ne)	Continue with next object after error, if possible	report – Open Babel report format	elox
		rxn MDL RXN format	1
	L Delete nydrogens (make implicit)	sd MDL MOL format	A
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	Center Coordinates	tdd Thermo format	
	Combine mols in first file with others having same name	tmol – TurboMole Coordinate format	
	Convert only if match SMARTS or mols in file:	txt Title format	
		unitez UniChem XY25format	
	Filter: convert only when tests are true:	vmol – ViewMol format	-
		xed XED format xvz XVZ cartesian coordinates format	
	Add properties from descriptors	yob YASARA.org YOB format	-
	Delete properties in list	an ZINDO input format	<u> </u>
	Append properties or descriptors in list to title:		
	Join al input molecules into a single output molecule		
	Output disconnected fragments separately		
	add or replace a property (SDF)		
	Add or replace molecule title		
	Append text to title		
	Output multiple conformers separately		
	Append output index to title		
	Additional file output		
	Append input index to title		
	Canonicalize the atom order		
	Eil the unit call (strict or keenconnect)		
	Generate 2D coordinates		
	Generate 3D coordinates		
	Generate allases as an alternative representation.		
	Calculate partial charges by specified method		
	Adjacent conformers combined into a single molecule		
	Sort by descriptor(~desc for reverse)		
	inchi remove duplicates by descriptor		
	determine chirality from atom parity flags		
	read title only		
L	read title and properties only	v .	

3) Uncheck "Input below" and enter MDL file made in advance.



4) Check "Input below" and enter the name of output file.

A Open Babe Ki Ui	
Eile View Plugins Help	
INPUT FORMAT	OUTPUT FORMAT
mal MDL MOL format Y Format In	Info GONVERT tog2 - Tinker MH2 format M Format Info
Use this format for all input files (ignore file extensions)	
:#SPM#workFolder#81_fullerene_octane#octane_chemsketch#	Start import at molecule # specified Output file
Tanachem.mol	End import at molecule # specified
In ut below (ignore input file)	Continue with next object after error, if possible
and the support of the support	Attempt to transite keywords
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0.387 -10.3060 -1.0344 C 0 0 0 0 0 0 0 0 0 0 0	Center Coordinates
10.3450 -9.5997 -0.3360 C 0 0 0 0 0 0 0 0 0 0 0	Combine molis in first file with others having same name
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13.6326 -10.3691 1.5061 C 0 0 0 0 0 0 0 0 0 0 0 0	Add properties from descriptors
14.6448 -9.4608 2.2065 C 0 0 0 0 0 0 0 0 0 0 0 0	Delete properties in list
0 7.4721 -10.6594 -3.4045 H 0 0 0 0 0 0 0 0 0 0 0	Append properties or descriptors in list to title:
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6.1819 -10.1539 -2.2334 H 0 0 0 0 0 0 0 0 0 0 0 0	add or replace a property (SDF)
8.6228 -8.9377 -2.3514 H 0 0 0 0 0 0 0 0 0 0 0 0	0 Add or replace molecule title
7.6906 -9.1140 -0.8046 H 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Append text to title
0	Output multiple conformers separately
9.8482 -11.0617 -1.8504 H 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Append output index to the
10.7563 -8.8634 -1.0693 H 0 0 0 0 0 0 0 0 0 0 0	Automative the couple
9.8381 -9.0402 0.4858 H 0 0 0 0 0 0 0 0 0 0 0	0 Adds hydrogen to poly atoms only
11.0714 -11.1593 0.9736 H 0 0 0 0 0 0 0 0 0 0 0	Canonicalize the atom order
11.9990 -10.9836 -0.5820 H 0 0 0 0 0 0 0 0 0 0 0 0	Fill the unit cell (strict or keepconnect)
0 12 0016 -0.7015 -0.2072 H -0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.	Generate 2D coordinates
0	Generate JD coordinates
11.98995 -8.9752 1.7652 H 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Calculate nartial charges by specified method
13.2228 -11.0989 2.2367 H 0 0 0 0 0 0 0 0 0 0 0	Adjacent conformers combined into a single molecule
14.1352 -10.9146 0.6790 H 0 0 0 0 0 0 0 0 0 0 0 0	Sort by descriptor(~desc for reverse)
	right remove duplicates by descriptor
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	determine chirality from atom parity flags
14.1161 -0.5007 2.6476 H 0 0 0 0 0 0 0 0 0 0 0 0	In read title only
	upset title and preparation only

## 5) Click "CONVERT" button.

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mol MDL MOL format Y Format Info	CONVERT	bryz – Tinker MM2 format 🛛 🖌 For	mat Info
Use this format for all input files (ignore file extensions)			
C:#SPM#workFolder#81_fullerene_octane#octane_chemsketch#	Start import at molecule Do conversion (Alt C)	<ul> <li>Output file</li> </ul>	
Istaneshem ma	End import at molecule # specified	C:VSPMWworkFoldenW81_fullerene_octaneWoctane_chemskets	<u>n</u>
Disput below (ignore input file)	Continue with next object after error, if possible	Output below only (no output file) Display in firefox	
	Attempt to translate keywords		
ACD/Labs04081112013D	Delete hydrogens (make implicit)	24 IAD suspension	-
26 25 0 0 0 0 0 0 0 0 1 1 2000	Add hydrogens (make explicit)	1 C 7.203900 -10.579100 -2.329300 1 2	9
7.2039 -10.5791 -2.3293 C 0 0 0 0 0 0 0 0 0 0 0	Add hydrogens appropriate for this pH	10 11 2 6 9 204000 9 662200 1 621200 1 1	
8.2049 -9.6637 -1.6217 C 0 0 0 0 0 0 0 0 0 0 0 0	Berrows all but the largest continuous framment	12 13	5
9.3387 -10.5060 -1.0344 C 0 0 0 0 0 0 0 0 0 0 0 0	Center Coordinates	3 C 9.338700 -10.506000 -1.034400 1 2	4
10.3450 -9.5897 -0.3360 C 0 0 0 0 0 0 0 0 0 0 0	Combine mols in first file with others having same name	4 C 10.345000 -9.599700 -0.335000 1 3	5
0 11 4924 -10 4225 0 2402 C 0 0 0 0 0 0 0 0 0 0 0	Convert only if match SMARTS or mols in file:	16 17 5 C 11.483400 -10.433500 0.240200 1 4	6
0		18 19	°.
12.4928 -9.5211 0.9388 C 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Filter: convert only when tests are true:	6 C 12.492800 -9.521100 0.938800 1 5 20 21	7
13.6326 -10.3691 1.5061 C 0 0 0 0 0 0 0 0 0 0 0 0 0	Add monosting from descriptory	7 C 13.632600 -10.369100 1.506100 1 6	8
0 14.6448 -9.4608 2.2065 C 0 0 0 0 0 0 0 0 0 0 0	Delete remerties in list	22 23 8 C 14.644900 -9.460800 2.206500 1 7 :	24
0	Append properties or descriptors in list to title:	25 26	
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		10 H 7.232200 -11.587800 -3.464300 5 1	
7.2322 -11.5878 -1.8643 H 0 0 0 0 0 0 0 0 0 0 0	Join all input molecules into a single output molecule	11 H 6.181900 -10.153900 -2.233400 5 1	
6.1819 -10.1539 -2.2334H 0 0 0 0 0 0 0 0 0 0 0	Output disconnected fragments separately	12 H 5.622600 -0.037700 -0.351460 5 2 13 H 7.690600 -9.114000 -0.804600 5 2	
0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	add or replace a property (SDF)	14 H 8.921800 -11.226800 -0.298900 5 3 15 H 0.848200 -11.051200 -1.950400 5 3	
7.6906 -9.1140 -0.8046 H 0 0 0 0 0 0 0 0 0 0 0 0 0	Add of replace molecule toe	16 H 10.756300 +8.863400 +1.069300 5 4	
8.9218 -11.2268 -0.2989 H 0 0 0 0 0 0 0 0 0 0 0	Outruit multiple conformers separately	17 H 9.838100 -9.040200 0.485800 5 4 18 H 11.071400 -11.158300 0.973600 5 5	
9.9482 -11.0617 -1.8504 H 0 0 0 0 0 0 0 0 0 0 0	Append output index to title	19 H 11.989000 -10.983600 -0.582000 5 5	
0 10 7563 -8 8634 -1 0593 H 0 0 0 0 0 0 0 0 0 0 0	Additional file output	20 H 12.901600 -8.791500 0.207300 5 6 21 H 11.989500 -8.975200 1.765200 5 6	
0	Append input index to title	22 H 13.222800 -11.098900 2.236700 5 7	
9.8381 -9.0402 0.4858 H 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Adds hydrogen to polar atoms only	23 H 14.135200 -10.914600 0.679000 5 7 24 H 15.394900 -9.104400 1.468400 5 8	
0	Canonicalize the atom order	25 H 14.116100 -8.588700 2.647600 5 8	
0	Generate 20 coordinates	25 H 15.156300 -10.030100 3.010600 5 6	
12.9016 -8.7915 0.2073 H 0 0 0 0 0 0 0 0 0 0 0	Generate 3D coordinates		
11.9895 -8.9752 1.7652 H 0 0 0 0 0 0 0 0 0 0 0	Generate allases as an alternative representation.		
0	Calculate partial charges by specified method		
0	Adjacent conformers combined into a single molecule		
14.1352 -10.9146 0.6790 H 0 0 0 0 0 0 0 0 0 0 0	sort by descriptor(~desc for reverse)		
15.3949 -9.1044 1.4684 H 0 0 0 0 0 0 0 0 0 0 0	Incri remove aupicates by descriptor		
0 14.1161 -8.5897 - 2.6476 H D D D D D D D D D D D D D	determine chirality from atom parity flags	-	
	read title and monenties only	<b>u</b>	

6) txyz file will be made.

# **Chapter 6**

# **Trouble Shooting**

We introduce possible trouble examples and how to fix them when you use the SPM simulator.

## **Troubles on simulation**

#### GUI does not start up normally

If GUI does not start up normally, a version of ig4dev32.dll (which is at C:¥WINDOWS¥system32 usually) may not suit. Please rename this file to other name (for example, ig4dev32.dll\_bak) and start up GUI again. If trouble occurs on other application, rename it back please.

#### The modified setting parameters are not reflected in the simulated results

A user account logging in may not have an authority to write on a folder that has a project file loaded at the time.

The SPM simulator is installed below "C:¥Program Files" by default. The user account who does not have administrator rights cannot change or write in that folder. Windows Vista and later have a special system named *VirtualStore*, that makes a new file at an unexpected folder when you attempt to make a file below "C:¥Program Files". The SPM simulator does not read the new file but the old file below "C:¥Program Files".

There are two ways to solve this problem. The one is to run the SPM simulator with a temporal administrator rights. When you start to run the SPM simulator, right click the simulator icon on the desktop, then select "Run as Administrator". The other way is to copy a sample project. Double click the simulator icon and start the SPM simulator in the usual way. Then load a sample project below "C:¥Program Files¥SpmSimulator¥SampleProject". In order to make a copy of the project, select [File]  $\rightarrow$  [save as] from the menu bar. "Save project" dialog opens. Set a project name and a folder where the project file will be saved. Keep in mind to select the folder where you have a right to write or make a file; e.g. below "C:¥Users¥UserName".

## **Troubles on installation**

We introduce the error codes which may occur on installation, and how to fix them.

### Install error (Error code:0003)

The information of the network connection may not be obtained. When you install the SPM simulator, your PC has to be connected to the internet. The installer checks a network information using an application "ipconfig.exe" attached to Windows system, but fails to obtain a normal connection information.

At first, confirm a connection to the internet. If the connection has no problem and this error code still occurs, please advance to the next procedures.

This error code may occur when the *Path* in the environment variable of Windows does not contain a path to the "ipconfig.exe". Please edit an environment variable according to the following procedures.

Please open "System Properties" dialog as follows. [In case of Windows XP] Right click "My Computer" icon on your desktop or from the start menu, then select "properties". [In case of Windows 7] Click the start button in the lower left of the screen. Then right click the "Computer" and select "properties" from the pop-up menu to open the System control panel. Select "Advanced system settings" on the left side of the panel, then "System Properties" opens.

Next, click "Advanced" tab on the "System Properties" dialog. Then click "Environment Variables" button to open "Environment Variables" dialog. Please be careful not to mistake from here. Select "Path" from the list of "System variables" (not from "User variables"), then click "Edit" button to open "Edit System Variable" dialog. Add values at "Variable value" form as follows. Set a cursor at the end of the form. Copy and paste the following whole line including the first semicolon.

### ;%SystemRoot%;%SystemRoot%\System32;%SystemRoot%\System32\Wbem

Click "OK" button to finish editing the system variable. Then click "OK" to reflect the environment variable. Finally click "OK" to close the "System Properties" dialog. Now the setting of the environment variable is completed. Please start the installer again.